



IAPD Report

CRAIG W PETRELLA II

CRD# 5629515

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IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CRAIG W PETRELLA II (CRD# 5629515)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/11/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LPL FINANCIAL LLC	CRD# 6413	09/20/2021
IA	LPL FINANCIAL LLC	CRD# 6413	09/21/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WOODBURY FINANCIAL SERVICES, INC.	421	STEUBENVILLE, OH	04/02/2014 - 09/27/2021
B	WOODBURY FINANCIAL SERVICES, INC.	421	STEUBENVILLE, OH	04/01/2014 - 09/27/2021
IA	SCF INVESTMENT ADVISORS, INC.	123608	FRESNO, CA	05/08/2013 - 10/14/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **LPL FINANCIAL LLC**
Main Address: 1055 LPL WAY
FORT MILL, SC 29715
Firm ID#: 6413

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	09/20/2021
B	California	Agent	Approved	05/09/2023
B	Florida	Agent	Approved	09/20/2021
B	Georgia	Agent	Approved	09/20/2021
B	Indiana	Agent	Approved	03/10/2023
B	Mississippi	Agent	Approved	09/20/2021
B	North Carolina	Agent	Approved	09/20/2021
B	Ohio	Agent	Approved	09/20/2021
IA	Ohio	Investment Adviser Representative	Approved	09/21/2021
B	Pennsylvania	Agent	Approved	09/20/2021
B	South Carolina	Agent	Approved	09/20/2021
B	Virginia	Agent	Approved	01/25/2022
B	West Virginia	Agent	Approved	09/20/2021



Qualifications

Branch Office Locations

LPL FINANCIAL LLC
110 LOVERS LN
STEUBENVILLE, OH 43953



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
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No information reported.


General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	02/05/2009

State Securities Law Exams

Exam	Category	Date
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 Uniform Securities Agent State Law Examination (S63)	Series 63	04/11/2009
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/02/2014 - 09/27/2021	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	STEUBENVILLE, OH
B	04/01/2014 - 09/27/2021	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	STEUBENVILLE, OH
IA	05/08/2013 - 10/14/2013	SCF INVESTMENT ADVISORS, INC.	CRD# 123608	FRESNO, CA
B	05/08/2013 - 10/14/2013	SCF SECURITIES, INC.	CRD# 47275	STEUBENVILLE, OH
IA	12/20/2011 - 05/10/2013	WALNUT STREET SECURITIES, INC.	CRD# 15840	STUBENVILLE, OH
B	10/23/2009 - 05/10/2013	WALNUT STREET SECURITIES, INC.	CRD# 15840	STUBENVILLE, OH
B	02/06/2009 - 08/24/2009	FIRST INVESTORS CORPORATION	CRD# 305	CANONSBURG, PA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2021 - Present	LPL Financial, LLC	Registered Representative	Y	Steubenville, OH, United States
02/2020 - Present	ABCP Properties, LLC	Owner	Y	Mingo Junction, OH, United States
01/2014 - Present	CHOICE SERVICES INC	PRESIDENT	N	STEUBENVILLE, OH, United States
11/2013 - Present	GASLITE INC	CO-OWNER	N	WINTERSVILLE, OH, United States
04/2012 - Present	C. WILLIAM INDUSTRIES	SOLE MEMBER	N	STEUBENVILLE, OH, United States
04/2012 - Present	Petrell Enterprises	Owner	Y	Steubenville, OH, United States
12/2007 - Present	VILLAGE SLEEP CNT	CO-OWNER	N	STEUBENVILLE, OH, United States
04/2014 - 09/2021	WOODBURY FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	STENBENVILLE, OH, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) 7/19 - Real Estate Rental - C William Rentals - Inv Related - 200 Braybarton Blvd Steubenville OH, 43952
- 2) 1/14 - Real Estate Rental - CHOICE SERVICES INC - Inv Related - 2723 Sunset Blvd Apt 1-3 Steubenville OH 43952; 2725 Sunset Blvd Steubenville, OH 43952
- 3) 3/12 - Real Estate Rental - C William Industries - Inv Related - 488 Dresden Ave Steubenville, OH 43952; 113 Spring Ave Mingo Jct, OH 43938; 115 S Charlotte Ave Mingo Jct, OH 43938; 101 Edwards Street Mingo Jct, OH 43938; 103 Shamrock Mingo Jct, OH 43938; 2918 Linda Way Steubenville, OH 43952; 1646, 1648 Ridge Ave Steubenville, OH 43952; 3826 Stratford Blvd Steubenville; OH 43952; 117 Princeton Ave Steubenville, OH 43952; 104 Harvard Ave Steubenville, OH 43952; 1405 Belleview Blvd Steubenville, OH 43952; 1249 Oak Grove Apt 1-2 Steubenville, OH 43952; 902 Pittsburgh Steubenville, OH 43952; 1221 Oakgrove Steubenville, OH 43952; 1912 Oregon Apt 1-4 Steubenville, OH 43952; 203 Bantam Ridge House Units 1-3 Wintersville, OH 43953; 28 Pioneer Apt 1-6 Wintersville, OH 43953; 137 McDowell Steubenville, OH 43952; 55 Malone Apt 1-4 Wintersville, OH 43953; 493 Dresden Ave Apt 1-3 Steubenville, OH 43952; 911-911 1/2 Main Street Toronto, OH 43964; 1413 Wellesley Ave Apt 1-4 Steubenville, OH 43952; 507 Cedar Ave Steubenville, OH 43952; Lawson Ave 545 Apt A-B Steubenville, OH 43952; 427 McKee Steubenville, OH 43952; 225 Murphy Ave Steubenville, OH 43952; 1224 Oakgrove Steubenville, OH 43952; 1225 1302 1336 1404 1405 1423 1524 Oregon Steubenville, OH 43952; 1529 1600 Plum Steubenville, OH 43952; 1420 1641 Ridge Steubenville, OH 43952; 1211 State Street Steubenville, OH 43952; 0 Petrik Ave Mingo Jct, OH 43938
- 4) 2/01 - Real Estate Rental - C William Center, LLC - Inv Related - Parcel # 08-01489-001, 24-02283-001
- 5) 10/19 - Real Estate Rental - C William Development, LLC - Inv Related - 235 Liming Dr Cadiz, OH 43907; 2690 TR 220 Bloomingdale, OH 43910
- 6) 8/19 - Real Estate Rental - C William George Street, LLC - Inv Related - 100 Woodland Dr Apt 1-10 Mingo Jct, OH 43938; 109-115 117-123 125-131 George Street Mingo Jct, OH 43938
- 7) 4/12 - Real Estate Rental - C William Pottery, LLC - Inv Related - Briarwood Apartments, 83 Woodmont Rd Apt 1-10 Steubenville OH 43952
- 8) 4/12 - Real Estate Rental - Petrell Enterprises - Inv Related - 200 Braybarton Blvd Steubenville, OH 43952
- 9) 2/20 - Real Estate Rental - ABCP Properties, LLC - Inv Related - 268 McLister Ave Mingo Jtn, Ohio 43938
- 10) 4/21 - Business Owner - N/A - Not Inv Related - Steubenville, OH 43952
- 11) 10/17 - Real Estate Rental - Real Estate Holdings - Inv Related - Par # 09-037775-000
- 12) 2/07 - Outside/W-2 Employment - Retail Mattress store - Not Inv Related - Stuiville, OH
- 13) 3/22 - DBA for LPL Business (entity for LPL business) - PETRELLA WEALTH MANAGEMENT - Inv Related - Steubeville, OH 43953 - Start Date 05/01/2013 - 140 Hours Per Month/140 Hours During Securities Trading.
- 14) 03/30/2022 - Petrella Wealth Management, LLC - Investment Related - At Reported Business Location(s) - Non-Variable Insurance - President or Agent - Start Date - 05/15/2013 - 20 Hours Per Month/ 20 Hours During Securities Trading.
- 15) 08/11/2022- C William Property Management, LLC- Investment Related- Steubenville OH 43953- Real Estate Rental- Start Date- 04/12/2021- 15 Hours Per Month/ 5 Hours During Securities Trading
- 16) 3/8/2023 - Anita Petrella Irrevocable Income Trust - Not Investment Related - At Reported Business Location(s) - Act in a Fiduciary Capacity - Trustee - Start Date - 12/30/2022 - 2 Hours Per Month/1 Hours During Securities Trading



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Termination	1
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Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source: Firm

Firm Name: SCF SECURITIES, INC.

Termination Type: Discharged

Termination Date: 10/14/2013

Allegations: FAILURE TO FOLLOW FIRM PROCEDURES REGARDING SUBMISSION OF CORRESPONDENCE AND ADVERTISING FOR REVIEW AND APPROVAL.

Product Type: No Product

Reporting Source: Individual

Firm Name: SCF SECURITIES, INC.

Termination Type: Discharged

Termination Date: 10/14/2013

Allegations: FAILURE TO FOLLOW THE FIRM PROCEDURES REGARDING SUBMISSION OF CORRESPONDENCE AND ADVERTISING FOR REVIEW AND APPROVAL.

Product Type: No Product



End of Report

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