



IAPD Report

MICHEL SCOTT SMITH

CRD# 5712504

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MICHEL SCOTT SMITH (CRD# 5712504)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/16/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	STATE FARM VP MANAGEMENT CORP.	CRD# 43036	09/28/2009
IA	STATE FARM INVESTMENT MANAGEMENT CORP.	CRD# 3487	03/12/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 5 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **STATE FARM INVESTMENT MANAGEMENT CORP.**
Main Address: ONE STATE FARM PLAZA
B-2
BLOOMINGTON, IL 61710-0001
Firm ID#: 3487

	Regulator	Registration	Status	Date
IA	Idaho	Investment Adviser Representative	Approved	03/12/2024
IA	Montana	Investment Adviser Representative	Approved	03/13/2024
IA	Oregon	Investment Adviser Representative	Approved	03/19/2024
IA	Utah	Investment Adviser Representative	Approved	03/13/2024
IA	Washington	Investment Adviser Representative	Approved	03/12/2024

Branch Office Locations

STATE FARM INVESTMENT MANAGEMENT CORP.
7605 W Kennewick Ave
B
Kennewick, WA 99336

STATE FARM INVESTMENT MANAGEMENT CORP.
2555 S Quillan Place
Suite 110
Kennewick, WA 99336

Employment 2 of 2

Firm Name: **STATE FARM VP MANAGEMENT CORP.**
Main Address: ONE STATE FARM PLAZA
BLOOMINGTON, IL 61710-0001
Firm ID#: 43036

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	09/28/2009
B	Idaho	Agent	Approved	03/25/2020



Qualifications

Regulator	Registration	Status	Date
B Montana	Agent	Approved	08/10/2021
B Oregon	Agent	Approved	05/12/2011
B Utah	Agent	Approved	07/21/2011
B Washington	Agent	Approved	10/05/2009

Branch Office Locations

7605 W Kennewick Ave
B
Kennewick, WA 99336

2555 S Quillan Place
Str. 110
Kennewick, WA 99338



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	09/26/2009

State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	10/02/2009



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Chartered Financial Consultant

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2024 - Present	State Farm Investment Management Corp	Investment Advisor Representative	Y	Kennewick, WA, United States
07/2011 - Present	SCOTT SMITH INSURANCE AGENCY	OWNER/OPERATOR	Y	KENNEWICK, WA, United States
07/2011 - Present	STATE FARM VP MANAGEMENT CORP.	REGISTERED REPRESENTATIVE	Y	KENNEWICK, WA, United States
08/2018 - 06/2019	State Farm Investment Management Corp	Investment Advisor Representative	Y	Kennewick, WA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1) Michel Smith's Insurance Agency; Insurance Agency; Investment-related; 2555 S Quillan Place Suite 110 Kennewick, WA 99338 and 7605 W Kennewick Ave # B Kennewick WA 99336; Insurance (State Farm Mutual Automobile Insurance Company and its affiliates); Owner; Agent; 07/01/2011; 80; 80; Service customers and supervise employees
- 2) 7605 Kennewick LLC; Investment related; 7605 W Kennewick Ave Kennewick WA 99336; Investment or Commercial Property; Owner; President; Secretary; 03/20/2023; 1; 0; State Farm Agency and Travel Agency
- 3) 2555 S Quillan LLC; Investment related; 2555 S Quillan Place Suite 110 Kennewick, WA 99338; Investment or Commercial Property; Officer; 03/05/2024; 1; 0; Purchased building for Legacy office (relocating). I'll occupy over half the building. One tenant
- 4) Scott Smith - Property #1 (Personally Held Real Estate); investment; 3493 E Sheffield St Gilbert, AZ 85296; Investment or Commercial Property; Owner; none; 4/1/2018; 1; 0; Duties: Personally Held Real Estate
- 5) Scott Smith - Property #2 (Personally Held Real Estate); investment; 1440 S Ponderosa St Gilbert, AZ 85296; Investment or Commercial Property; Owner; none; 6/1/2018; 1; 0; Duties: Personally Held Real Estate
- 6) Scott Smith - Property #3 (Personally Held Real Estate); investment; 4244 E Tulsa St Gilbert, AZ 85295; Investment or Commercial Property; Owner; none; 8/1/2019; 1; 0; Duties: Personally Held Real Estate
- 7) Scott Smith - Property #4 (Personally Held Real Estate); investment; 4320 E Toledo St Gilbert, AZ 85295; Investment or Commercial Property; Owner; none; 3/1/2020; 1; 0; Duties: Personally Held Real Estate



Registration & Employment History



OTHER BUSINESS ACTIVITIES

- 8) Scott Smith - Property #5 (Personally Held Real Estate); investment; 4060 E Vest Ave Gilbert, AZ 85925; Investment or Commercial Property; Owner; none; 8/1/2020; 1; 0; Duties: Personally Held Real Estate
- 9) Scott Smith - Property #6 (Personally Held Real Estate); investment; 544 E 1700 S Bountiful, UT 84010; Investment or Commercial Property; Owner; none; 10/1/2022; 1; 0; Duties: Personally Held Real Estate
- 10) Scott Smith AB; Non-Investment related; 7060 Ithaca Street West Richland, WA 99353; Education; Owner/President; 10/24/2024; 15; 5; Speaking to other agents about the principles of running a small business. There will also be an app where agents can access my slides. Cost to the agents is minimal.



End of Report

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