



IAPD Report

Mitchell Stanton Everett

CRD# 5765180

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 6
Registration and Employment History	7 - 8
Disclosure Information	9



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Mitchell Stanton Everett (CRD# 5765180)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/23/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	02/03/2017
IA	KESTRA ADVISORY SERVICES, LLC	CRD# 283330	02/03/2017

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **37** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WADDELL & REED	866	MEMPHIS, TN	08/02/2010 - 02/06/2017
B	WADDELL & REED	866	MEMPHIS, TN	06/11/2010 - 02/06/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **37** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**
Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735
Firm ID#: 42046

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/03/2017
B	FINRA	Invest. Co and Variable Contracts	Approved	02/03/2017
B	Alabama	Agent	Approved	01/21/2021
B	Alaska	Agent	Approved	09/09/2024
B	Arizona	Agent	Approved	02/03/2017
B	Arkansas	Agent	Approved	07/16/2020
B	California	Agent	Approved	04/12/2024
B	District of Columbia	Agent	Approved	05/15/2025
B	Florida	Agent	Approved	02/03/2017
B	Georgia	Agent	Approved	02/03/2017
B	Hawaii	Agent	Approved	04/06/2026
B	Illinois	Agent	Approved	01/12/2022
B	Indiana	Agent	Approved	04/17/2024



Qualifications

Regulator	Registration	Status	Date
B Kentucky	Agent	Approved	08/13/2020
B Louisiana	Agent	Approved	02/03/2017
B Maine	Agent	Approved	04/16/2024
B Maryland	Agent	Approved	07/26/2022
B Massachusetts	Agent	Approved	01/05/2022
B Michigan	Agent	Approved	04/16/2024
B Minnesota	Agent	Approved	08/14/2019
B Mississippi	Agent	Approved	02/03/2017
B Missouri	Agent	Approved	07/25/2019
B Montana	Agent	Approved	02/03/2017
B Nevada	Agent	Approved	05/09/2024
B New Mexico	Agent	Approved	10/27/2025
B New York	Agent	Approved	07/05/2024
B North Carolina	Agent	Approved	02/03/2017
B Ohio	Agent	Approved	02/03/2017
B Oregon	Agent	Approved	10/19/2021
B Pennsylvania	Agent	Approved	11/04/2021
B South Carolina	Agent	Approved	01/21/2021
B South Dakota	Agent	Approved	04/12/2024



Qualifications

Regulator	Registration	Status	Date
B Tennessee	Agent	Approved	02/03/2017
B Texas	Agent	Approved	02/03/2017
B Utah	Agent	Approved	02/03/2017
B Virginia	Agent	Approved	04/16/2024
B Washington	Agent	Approved	04/12/2024
B West Virginia	Agent	Approved	04/22/2024
B Wyoming	Agent	Approved	04/25/2024

Branch Office Locations

NFP ADVISOR SERVICES, LLC
 503 W. Church Street
 MEDINA, TN 38355

NFP ADVISOR SERVICES, LLC
 2931 Berry Hill Drive
 Nashville, TN 37204

Employment 2 of 2

Firm Name: **KESTRA ADVISORY SERVICES, LLC**
 Main Address: 5707 SOUTHWEST PARKWAY
 BUILDING 2, SUITE 400
 AUSTIN, TX 78735
 Firm ID#: 283330

Regulator	Registration	Status	Date
IA Louisiana	Investment Adviser Representative	Approved	02/03/2017
IA Tennessee	Investment Adviser Representative	Approved	02/07/2017
IA Texas	Investment Adviser Representative	Approved	02/03/2017

Branch Office Locations

KESTRA ADVISORY SERVICES, LLC
 503 West Church Street
 PO Box 160



Qualifications

Medina, TN 38355

KESTRA ADVISORY SERVICES, LLC
2931 Berry Hill Drive
Nashville, TN 37204

KESTRA ADVISORY SERVICES, LLC
5050 Poplar Avenue
Memphis, TN 38119



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.



Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.



General Industry/Product Exams

Exam	Category	Date
------	----------	------

 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/28/2010
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	03/11/2010

State Securities Law Exams

Exam	Category	Date
------	----------	------

  Uniform Combined State Law Examination (S66)	Series 66	07/29/2010
---	-----------	------------

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/02/2010 - 02/06/2017	WADDELL & REED	CRD# 866	MEMPHIS, TN
B	06/11/2010 - 02/06/2017	WADDELL & REED	CRD# 866	MEMPHIS, TN

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2017 - Present	Kestra Advisory Services	financial advisor	Y	Austin, TX, United States
02/2017 - Present	Kestra Advisory Services, LLC	Investment Adviser Representative	Y	Medina, TN, United States
02/2017 - Present	Kestra Investment Services, LLC	Registered Representative	Y	Medina, TN, United States
06/2015 - 08/2018	Love Works	Board Member	Y	Memphis, TN, United States
02/2017 - 05/2017	Yes I Can Toastmasters	Sgt of Arms	Y	Memphis, TN, United States
09/2010 - 02/2017	Various insurance carriers for W&R insurance agencies	Insurance Agent	Y	Germantown, TN, United States
05/2010 - 02/2017	Waddell & Reed, Inc	Associated Person	Y	Memphis, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Business Name: Everett Wealth Management Investment Related: Yes Address: 503 W. Church St. P. O. Box 160 Medina TN 38355 Nature of Business: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name; Insurance; Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: Financial Advisor, General Partner Start Date: 2/3/2017 Hours per month: 81% - 90% (129 - 144 hours) Hours per month during trading hours: 81% - 90% (113 - 126 hours) Duties: Day to day operations, financial planning, investment planning, insurance consultation

Business Name: Kestra Advisory Services Investment Related: Yes Address: 5707 Southwest Parkway Building 2, Suite 400 Austin TX 78735 Nature of Business: Investment Advisory services through Kestra Advisory Services, LLC Position, Title or Relationship: financial advisor Start Date: 2/3/2017 Hours per month: 91% - 100% (145 - 160 hours) Hours per month during trading hours: 91% - 100% (127 - 140 hours) Duties: Fee based financial planning, fee based advisory services and accounts.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

Business Name: Menistry Investment Related: No Address: 526 East Lawnwood drive collierville TN 38017 Nature of Business: Board Position (Board of Directors, Board of Trustees, etc.) Position, Title or Relationship: board member Start Date: 1/18/2018 Hours per month: 0% - 10% (0 - 16 hours) Hours per month during trading hours: 0% - 10% (0 - 14 hours) Duties: provide advice on taking mission trips, helping plan trips, trip logistics, helping form teams for the trips



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	State Court
Name of Court:	4TH DISTRICT COURT- HEBER
Location of Court:	HEBER, UT
Docket/Case #:	071500121
Charge Date:	07/09/2007
Charge(s) 1 of 1	
Formal Charge(s)/Description:	FAILURE TO STOP/RESPOND @ COMMAND OF POLICE FELONY;NO OFF ROAD VEHICLE REJ,CLASS C MISDEMEANOR,TRESPASSING/VANDALISM, C MISDEMEANOR
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	NOT GUILTY
Disposition of charge:	Amended
Date of Amended Charge:	08/29/2007



Charge was Amended or reduced to:	FAILURE TO YIELD TO WILDLIFE OFFICER - MISDEMEANOR
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	GUILTY
Disposition of Amended Charge:	Pled guilty
Current Status:	Final
Status Date:	08/29/2007
Disposition Date:	08/29/2007
Sentence/Penalty:	FINE - \$200.00, PAID IN FULL 08/29/2007



End of Report

This page is intentionally left blank.