



IAPD Report

KEVIN KUJENGA ASHE

CRD# 5770916

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

KEVIN KUJENGA ASHE (CRD# 5770916)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/10/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PFS INVESTMENTS INC.	CRD# 10111	05/02/2011
IA	PRIMERICA ADVISORS	CRD# 10111	02/09/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	11



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 2 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PRIMERICA ADVISORS**
Main Address: 1 PRIMERICA PARKWAY
DULUTH, GA 30099-0001
Firm ID#: 10111

Regulator	Registration	Status	Date
B FINRA	Invest. Co and Variable Contracts	Approved	05/02/2011
B FINRA	Investment Co./Variable Contracts Prin	Approved	10/15/2016
B Florida	Agent	Approved	11/16/2022
B Ohio	Agent	Approved	05/09/2011
IA Ohio	Investment Adviser Representative	Approved	02/09/2016

Branch Office Locations

PRIMERICA ADVISORS
438 E WILSON BRIDGE RD
STE 100-A
WORTHINGTON, OH 43085

PRIMERICA ADVISORS
Lewis Center, OH




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 0 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
 Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	10/15/2016

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/29/2011

State Securities Law Exams

Exam	Category	Date
No information reported.		

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:



No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2010 - Present	PFS INVESTMENTS INC.	SALES	Y	WORTHINGTON, OH, United States
09/2008 - Present	PRIMERICA FINANCIAL SERVICES	SALES	Y	WORTHINGTON, OH, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Sales of investment-related products; part-time or full-time, for companies affiliated with PFS Investments Inc. I may also receive non-investment related compensation from Primerica Mortgage, LLC for the sale of loan products and/or Primerica Client Services, Inc. (a co-located affiliate of PFS Investments Inc.) for part-time referrals of home security and automation products, as well as other home related services.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Judgment/Lien	11

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source: Regulator

Regulatory Action Initiated By: OHIO

Sanction(s) Sought: Suspension

Date Initiated: 07/31/2015

Docket/Case Number: 15-010

URL for Regulatory Action:

Employing firm when activity occurred which led to the regulatory action: PFS INVESTMENTS, INC., CRD NO. 10111

Product Type: No Product

Allegations: THE DIVISION RECEIVED NOTICE FROM THE FRANKLIN COUNTY CSEA, PURSUANT TO R.C. 3123.43; THE DIVISION ISSUED ORDER NUMBER 15-010 IN ACCORDANCE WITH R.C. 3123.47.

Current Status: Final

Resolution: Order

Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No

Resolution Date: 08/04/2015



Sanctions Ordered: Suspension
Sanction 1 of 1
Sanction Type: Suspension
Capacities Affected: ALL CAPACITIES
Duration: 5 DAYS
Start Date: 07/31/2015
End Date: 08/04/2015

Reporting Source: Individual
Regulatory Action Initiated By: OHIO DEPARTMENT OF COMMERCE DIVISION OF SECURITIES
Sanction(s) Sought: Suspension
Date Initiated: 07/31/2015
Docket/Case Number: ORDER NO. 15-010
Employing firm when activity occurred which led to the regulatory action: PFS INVESTMENTS INC.
Product Type: No Product
Allegations: THE FRANKLIN COUNTY (OHIO) CHILD SUPPORT ENFORCEMENT AGENCY NOTIFIED THE SECURITIES DIVISION THAT I WAS "IN DEFAULT" OF A CHILD SUPPORT ORDER.
Current Status: Final
Resolution: LICENSE REINSTATED W/ORIGINAL DATE OF LICENSURE
Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct? No
Resolution Date: 08/04/2015
Sanctions Ordered: Suspension
Sanction 1 of 1
Sanction Type: Suspension
Capacities Affected: ALL LICENSES
Duration: FIVE DAYS
Start Date: 07/31/2015
End Date: 08/04/2015



Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE OF OHIO
Judgment/Lien Amount: \$394.94
Judgment/Lien Type: Tax
Date Filed with Court: 01/06/2011
Date Individual Learned: 05/12/2021
Type of Court: State Court
Name of Court: COURT OF COMMON PLEAS OF FRANKLIN COUNTY
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 11JG0100963
Judgment/Lien Outstanding? Yes

Disclosure 2 of 11

Reporting Source: Individual
Judgment/Lien Holder: IRS
Judgment/Lien Amount: \$25,822.00
Judgment/Lien Type: Tax
Date Filed with Court: 01/29/2016
Date Individual Learned: 06/07/2017
Type of Court: State Court
Name of Court: FRANKLIN COUNTY RECORDERS OFFICE
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 201601290011458
Judgment/Lien Outstanding? Yes

Disclosure 3 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE OF OHIO
Judgment/Lien Amount: \$1,949.00
Judgment/Lien Type: Tax
Date Filed with Court: 01/01/2015
Date Individual Learned: 10/09/2015
Type of Court: State Court
Name of Court: NO INFORMATION



Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 15JG000334
Judgment/Lien Outstanding? Yes
Broker Statement FINRA DISCLOSURE REVIEW PROJECT

Disclosure 4 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE OF OHIO
Judgment/Lien Amount: \$2,608.00
Judgment/Lien Type: Tax
Date Filed with Court: 09/01/2014
Date Individual Learned: 10/09/2015
Type of Court: State Court
Name of Court: NO INFORMATION
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 14G049295
Judgment/Lien Outstanding? Yes

Disclosure 5 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE OF OHIO
Judgment/Lien Amount: \$2,133.00
Judgment/Lien Type: Tax
Date Filed with Court: 09/01/2014
Date Individual Learned: 10/09/2015
Type of Court: State Court
Name of Court: NO INFORMATION
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 14JG049294
Judgment/Lien Outstanding? Yes

Disclosure 6 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE OF OHIO
Judgment/Lien Amount: \$2,179.00
Judgment/Lien Type: Tax
Date Filed with Court: 01/01/2015
Date Individual Learned: 10/09/2015



Type of Court: State Court
Name of Court: NO INFORMATION
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 15JG000333
Judgment/Lien Outstanding? Yes

Disclosure 7 of 11

Reporting Source: Individual
Judgment/Lien Holder: BUR MAR ENTERPRISES INC
Judgment/Lien Amount: \$970.00
Judgment/Lien Type: Civil
Date Filed with Court: 04/14/2009
Date Individual Learned: 10/09/2015
Type of Court: State Court
Name of Court: FRANKLIN COUNTY MUNICIPAL COURT
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 2009CVI01825
Judgment/Lien Outstanding? Yes

Disclosure 8 of 11

Reporting Source: Individual
Judgment/Lien Holder: BEST BUY MOTORS, LLC.
Judgment/Lien Amount: \$1,259.00
Judgment/Lien Type: Civil
Date Filed with Court: 04/01/2009
Type of Court: State Court
Name of Court: FRANKLIN COUNTY MUNICIPAL COURT
Location of Court: FRANKLIN COUNTY, OH
Docket/Case #: 2009CV109476
Judgment/Lien Outstanding? Yes
Broker Statement N/A

Disclosure 9 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE TAX LIEN
Judgment/Lien Amount: \$1,036.00
Judgment/Lien Type: Tax
Date Filed with Court: 07/01/2009



Type of Court: State Court
Name of Court: FRANKLIN COUNTY COMMON PLEAS
Location of Court: COLUMBUS, OH
Docket/Case #: 09JG030902
Judgment/Lien Outstanding? Yes
Broker Statement N/A

Disclosure 10 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE TAX LIEN
Judgment/Lien Amount: \$549.00
Judgment/Lien Type: Tax
Date Filed with Court: 07/01/2009
Type of Court: State Court
Name of Court: FRANKLIN COUNTY COMMON PLEAS
Location of Court: COLUMBUS, OH
Docket/Case #: 09JG030974
Judgment/Lien Outstanding? Yes
Broker Statement N/A

Disclosure 11 of 11

Reporting Source: Individual
Judgment/Lien Holder: STATE TAX LIEN
Judgment/Lien Amount: \$506.00
Judgment/Lien Type: Tax
Date Filed with Court: 06/01/2008
Type of Court: State Court
Name of Court: FRANKLIN COUNTY COMMON PLEAS
Location of Court: COLUMBUS, OH
Docket/Case #: 08JG023010
Judgment/Lien Outstanding? Yes
Broker Statement N/A



End of Report

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