



IAPD Report

Brian Douglas Alchermes

CRD# 5782541

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Brian Douglas Alcherme (CRD# 5782541)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/11/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	LINCOLN INVESTMENT	CRD# 519	07/18/2024
IA	LINCOLN INVESTMENT	CRD# 519	07/18/2024
IA	CAPITAL ANALYSTS	CRD# 162200	07/18/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	PLANMEMBER SECURITIES CORPORATION	11869	FARMINGVILLE, NY	07/26/2021 - 07/18/2024
B	PLANMEMBER SECURITIES CORPORATION	11869	FARMINGVILLE, NY	07/19/2019 - 07/18/2024
B	VANDERBILT SECURITIES, LLC	5953	WOODBURY, NY	05/15/2018 - 06/12/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Financial	2



Report Summary



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **15** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **LINCOLN INVESTMENT**
Main Address: 601 OFFICE CENTER DRIVE
SUITE 300
FORT WASHINGTON, PA 19034-3232
Firm ID#: 519

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	07/18/2024
B	Arizona	Agent	Approved	07/18/2024
B	California	Agent	Approved	09/05/2024
B	Connecticut	Agent	Approved	07/18/2024
B	Delaware	Agent	Approved	07/18/2024
B	District of Columbia	Agent	Approved	07/18/2024
B	Florida	Agent	Approved	07/18/2024
B	Georgia	Agent	Approved	07/18/2024
B	Michigan	Agent	Approved	07/18/2024
B	New Jersey	Agent	Approved	07/18/2024
B	New York	Agent	Approved	07/18/2024
IA	New York	Investment Adviser Representative	Approved	07/18/2024
B	Pennsylvania	Agent	Approved	09/02/2025



Qualifications

Regulator	Registration	Status	Date
B Rhode Island	Agent	Approved	07/18/2024
B South Carolina	Agent	Approved	07/18/2024
B Texas	Agent	Approved	10/27/2025
B Virginia	Agent	Approved	03/11/2025

Branch Office Locations

LINCOLN INVESTMENT
 445 Broad Hollow Rd.
 Ste. 25
 Melville, NY 11747

Employment 2 of 2

Firm Name: **CAPITAL ANALYSTS**
 Main Address: 601 OFFICE CENTER DRIVE
 SUITE 300
 FORT WASHINGTON, PA 19034-3232
 Firm ID#: 162200

Regulator	Registration	Status	Date
IA New York	Investment Adviser Representative	Approved	07/18/2024

Branch Office Locations

CAPITAL ANALYSTS
 445 Broadhollow Rd.
 Ste. 25
 Melville, NY 11747



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/04/2010

State Securities Law Exams

Exam	Category	Date
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Uniform Securities Agent State Law Examination (S63)	Series 63	09/08/2010
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/26/2021 - 07/18/2024	PLANMEMBER SECURITIES CORPORATION	CRD# 11869	FARMINGVILLE, NY
B	07/19/2019 - 07/18/2024	PLANMEMBER SECURITIES CORPORATION	CRD# 11869	FARMINGVILLE, NY
B	05/15/2018 - 06/12/2019	VANDERBILT SECURITIES, LLC	CRD# 5953	WOODBURY, NY
B	05/17/2017 - 03/27/2018	J.P. MORGAN SECURITIES LLC	CRD# 79	WOODBURY, NY
B	07/01/2014 - 04/04/2017	MML INVESTORS SERVICES, LLC	CRD# 10409	SYOSSET, NY
B	09/12/2011 - 05/07/2014	NYLIFE SECURITIES LLC	CRD# 5167	MELVILLE, NY
B	08/05/2010 - 06/20/2011	PRUCO SECURITIES, LLC.	CRD# 5685	UNIONDALE, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2024 - Present	Capital Analysts	Investment Advisor Representative	Y	Fort Washington, PA, United States
07/2024 - Present	Lincoln Investment	Registered Representative & Investment Advisor Representative	Y	Fort Washington, PA, United States
07/2019 - 07/2024	Mutual Inc.	Agent/Financial Advisor	Y	Farmingville, NY, United States
07/2019 - 07/2024	PlanMember Securities Corporation	Registered Representative	Y	Carpinteria, CA, United States
06/2017 - 07/2024	Bespoke Wealth Management	Vice President, Sales & Marketing	Y	Glen Head, NY, United States
05/2018 - 06/2019	Vanderbilt Securities, LLC	Registered Representative	Y	Woodbury, NY, United States
04/2017 - 03/2018	JP Morgan Chase Bank	Relationship Banker	Y	Woodbury, NY, United States
04/2017 - 03/2018	JP Morgan Securities, LLC	Licensed Banker	Y	Woodbury, NY, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2013 - 05/2017	Brian Douglas & Associates	Owner	Y	Melville, NY, United States
07/2014 - 03/2017	MML INVESTORS SERVICES	REGISTERED REPRESENTATIVE	Y	SYOSSET, NY, United States
05/2014 - 03/2017	Center for Wealth Preservation	Estate & Business Advisor	Y	Syosset, NY, United States
05/2014 - 03/2017	MASS MUTUAL LIFE INSURANCE COMPANY	AGENT	Y	SYOSSET, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

VITAL INSURANCE AGENCY, INC.

POSITION: Owner/Agent NATURE: Insurance INVESTMENT RELATED: Yes NUMBER OF HOURS: 15 SECURITIES TRADING HOURS: 1 START DATE: 06/01/2024
 ADDRESS: 333 Glen Head Road, Glen Head NY 11545, United States
 DESCRIPTION: Insurance sales

WESTCHESTER FINANCIAL PARTNERS LLC

POSITION: Agent NATURE: Insurance INVESTMENT RELATED: Yes NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 1 START DATE: 07/15/2024
 ADDRESS: 333 Glen Head Road, Glen Head NY 11545, United States
 DESCRIPTION: Insurance sales

VITAL PLANNING SERVICES, LLC

POSITION: Owner NATURE: Tax/Accounting Purposes INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 07/10/2024
 ADDRESS: 333 Glen Head Road, Glen Head NY 11545, United States
 DESCRIPTION: Tax and journaling insurance commissions.

VITAL WEALTH MANAGEMENT, INC.

POSITION: Owner NATURE: Tax/Accounting/Marketing Purposes INVESTMENT RELATED: Yes NUMBER OF HOURS: 40 SECURITIES TRADING HOURS: 40 START DATE: 6/16/2025
 ADDRESS: 445 Broadhollow Road Suite 25, Melville NY 11747
 DESCRIPTION: DBA for Lincoln business. Journal commissions for tax/accounting purposes.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Financial	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	PRUCO SECURITIES, LLC.
Allegations:	REGARDING 2011 VARIABLE UNIVERSAL LIFE POLICIES, THE CLIENT ALLEGES THAT THE REPRESENTATIVE FAILED TO DISCLOSE CHARGES, EXPENSES AND FEE AND THE CLIENT ALSO ALLEGES UNAUTHORIZED TRANSACTION/CONTRACT CHANGE.
Product Type:	Insurance
Alleged Damages:	\$9,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	03/29/2012
Complaint Pending?	No
Status:	Denied
Status Date:	04/19/2012
Settlement Amount:	



Individual Contribution Amount:

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PRUCO SECURITIES, LLC.

Allegations: REGARDING 2011 VARIABLE UNIVERSAL LIFE POLICIES, THE CLIENT ALLEGES THAT THE REPRESENTATIVE FAILED TO DISCLOSE CHARGES, EXPENSES AND FEE AND THE CLIENT ALSO ALLEGES UNAUTHORIZED TRANSACTION/CONTRACT CHANGE.

Product Type: Insurance

Alleged Damages: \$9,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 03/29/2012

Complaint Pending? No

Status: Denied

Status Date: 04/19/2012

Settlement Amount:

Individual Contribution Amount:



Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 2

Reporting Source: Individual
Action Type: Compromise
Action Date: 06/12/2019

Organization Investment-Related?

Action Pending? No
Disposition: Satisfied/Released
Disposition Date: 12/10/2019

If a compromise with creditor, provide:

Name of Creditor: Portfolio Asset Group/Capital One, N.A.
Original Amount Owed: \$3,286.25
Terms Reached with Creditor: Amount originally owed was \$3286.25; account settled for less than owed - \$1314.50. Monthly payments in the amount of \$219.08 to be made until account is paid in full.

Disclosure 2 of 2

Reporting Source: Individual
Action Type: Compromise
Action Date: 06/12/2019

Organization Investment-Related?

Action Pending? No
Disposition: Satisfied/Released
Disposition Date: 11/14/2019

If a compromise with creditor, provide:

Name of Creditor: Bank of America, N.A.
Original Amount Owed: \$8,925.96
Terms Reached with Creditor: Original balance was \$8925.96 , account settled for less than amount owed - \$6500.00. \$5000 down payment made and \$250 monthly payments until account is paid in full.



End of Report

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