IAPD Report

ALI ARASH HASHEMIAN

CRD# 5800048

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

ALI ARASH HASHEMIAN (CRD# 5800048)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/15/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	KINETIC INVESTMENT MANAGEMENT, INC.	CRD# 283034	04/04/2016

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	PROFECTUS WEALTH MANAGEMENT	166482	LOS ANGELES, CA	02/12/2014 - 08/26/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **KINETIC INVESTMENT MANAGEMENT, INC.**

Main Address: 633 W 5TH STREET
SUITE 1050
LOS ANGELES, CA 90071

Firm ID#: 283034

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	04/04/2016
IA	Illinois	Investment Adviser Representative	Approved	10/26/2017

Branch Office Locations

KINETIC INVESTMENT MANAGEMENT, INC.
633 W 5TH STREET
SUITE 1050
LOS ANGELES, CA 90071

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

No information reported.

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at http://www.nasaa.org

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/12/2014 - 08/26/2016	PROFECTUS WEALTH MANAGEMENT	CRD# 166482	LOS ANGELES, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2016 - Present	Kinetic Investment Management, Inc.	President	Y	Los Angeles, CA, United States
02/2012 - Present	Kinetic Financial & Insurance Solutions, Inc.	President	Y	Los Angeles, CA, United States
01/2014 - 08/2016	PROFECTUS WEALTH MANAGEMENT INC.	INVESTMENT ADVISER REPRESENTATIVE	Y	LOS ANGELES, CA, United States
02/2012 - 08/2016	PROFECTUS FINANCIAL	PARTNER	N	LOS ANGELES, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Ali Arash Hashemian is a licensed insurance agent.

Ali Arash Hashemian is President of Kinetic Financial & Insurance Solutions, Inc. an insurance services company, not an investment adviser, located at 1055 W. 7th Street Suite 1630 Los Angeles, CA 90017. Ali Arash Hashemian devotes approximately ten hours during trading hours per week to this business.

Ali Arash Hashemian is President of Kinetic Agency & Insurance Services, Inc. an insurance services company, not an investment adviser, located at 1055 W. 7th Street Suite 1630 Los Angeles, CA 90017. Ali Arash Hashemian devotes approximately ten hours during trading hours per week to this business.

Ali Arash Hashemian is the President of Hush Homes LLC; Investment related: No; Location: 6107 Mulholland Hwy Los Angeles California 90068; Description: Real estate investment property; Responsibilities Duties: Own, manage and lease residential homes.; Start date: 2024-05-01; Hours per month devoted to business during trading hours: 0; Hours per month devoted to business outside trading hours: 1; Percentage of total yearly compensation expected to be derived from the business: 5.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	SUPERIOR COURT OF CALIFORNIA
Location of Court:	SAN DIEGO COUNTY CENTRAL DIVISION
Docket/Case #:	SDC171702
Charge Date:	12/06/2002
Charge(s) 1 of 1	
Formal Charge(s)/Description:	POSSESSION OF MARIJUANA FOR SALE
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	NOT GUILTY
Disposition of charge:	Reduced
Date of Amended Charge:	03/21/2003
Charge was Amended or reduced to:	POSSESSION OF MARIJUANA FOR PERSONAL USE
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	GUILTY
Disposition of Amended Charge:	Convicted
Current Status:	Final

Status Date:	03/21/2003
Disposition Date:	03/21/2003
Sentence/Penalty:	PROBATION DURATION: 3 YEARS START DATE: 03/21/2003 END DATE: 03/20/2006
Broker Statement	IN DECEMBER OF 2002 I WAS A SOPHOMORE IN COLLEGE. ONE EVENING I VOLUNTEERED TO BE THE DESIGNATED DRIVER WITH A GROUP OF FRIENDS. I WAS PULLED OVER BY A POLICE OFFICER FOR NOT COMING TO A COMPLETE STOP AT A STOP SIGN. MORE THAN ONE PERSON IN THE CAR HAD MARIJUANA ON THEIR PERSON. BECAUSE IT WAS MY CAR AND THERE WAS MORE THAN ONE BAG OF MARIJUANA, THE OFFICER SUSPECTED THE POSSESSION WAS FOR THE PURPOSE OF SALE. DURING TRIAL, THE REAL SITUATION BECAME VERY APPARENT THUS THE CHARGE NEVER LED TO A CONVICTION. HOWEVER, THE SITUATION WAS A LEARNING EXPERIENCE, AND I AM ALWAYS MORE CAREFUL ABOUT WHO AND WHAT I ALLOW IN MY CAR.

End of Report

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