



IAPD Report

TONY LEE ADKISON

CRD# 5860007

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TONY LEE ADKISON (CRD# 5860007)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/13/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	03/22/2011
IA	STEWARDSHIP ADVISORY GROUP, LLC	CRD# 165710	03/28/2013

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	20804	SCOTTSDALE, AZ	09/30/2011 - 10/03/2014
IA	STEWARDSHIP LEGACY COACHING, LLC	123640	MANSFIELD, TX	02/07/2013 - 03/26/2014
B	QA3 FINANCIAL CORP.	14754	OMAHA, NE	01/25/2011 - 02/11/2011

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Civil Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 7 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER**
Main Address: 7333 EAST DOUBLETREE RANCH RD, SUITE 120
SCOTTSDALE, AZ 85258
Firm ID#: 20804

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	03/22/2011
B Arkansas	Agent	Approved	03/18/2026
B California	Agent	Approved	06/27/2017
B Colorado	Agent	Approved	04/15/2015
B Missouri	Agent	Approved	12/15/2022
B Nevada	Agent	Approved	03/16/2026
B Tennessee	Agent	Approved	03/07/2025
B Texas	Agent	Approved	09/01/2011

Branch Office Locations

UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER
1042 CYPRESS POINT
MANSFIELD, TX 76063


Employment 2 of 2

Firm Name: **STEWARDSHIP ADVISORY GROUP, LLC**
Main Address: 17011 S.R. 50
SUITE 203
CLERMONT, FL 34711



Qualifications

Firm ID#: 165710

Regulator	Registration	Status	Date
 Texas	Investment Adviser Representative	Approved	03/28/2013

Branch Office Locations

STEWARDSHIP ADVISORY GROUP, LLC
MANSFIELD, TX



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	01/24/2011
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	07/26/2011
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/30/2011 - 10/03/2014	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	SCOTTSDALE, AZ
IA	02/07/2013 - 03/26/2014	STEWARDSHIP LEGACY COACHING, LLC	CRD# 123640	MANSFIELD, TX
B	01/25/2011 - 02/11/2011	QA3 FINANCIAL CORP.	CRD# 14754	OMAHA, NE

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2018 - Present	Stewardship Legacy Coaching, LLC	Stewardship Coach	Y	Mansfield, TX, United States
03/2018 - Present	Stewardship Legacy Coaching, LLC	Stewardship Coach	Y	Mansfield, TX, United States
03/2013 - Present	STEWARDSHIP ADVISORY GROUP, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	OCOE, FL, United States
03/2011 - Present	UNITED PLANNERS' FINANCIAL SERVICES	REG REP	Y	SCOTTSDALE, AZ, United States
01/2010 - Present	STEWARDSHIP ESTATE PLANNING	AGENT / REP	Y	OCOE, FL, United States
01/2009 - Present	TONY ADKISON INDEPENDENT INSURANCE	AGENT	Y	MANSFIELD, TX, United States
05/2010 - 08/2024	SYNERGY 4000 LLP / T FOUR PROPERTIES LLP	PARTNER / EMPLOYEE	Y	PLANO, TX, United States
01/2016 - 09/2017	Prime Concepts, LLC	owner/operator	N	Mansfield, TX, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1.) STEWARDSHIP ESTATE PLANNING - STEWARDSHIP COACH - DBA NAME FOR MARKETING PURPOSES ONLY - MANSFIELD, TX - SINCE 07/2014 - NOT INVESTMENT RELATED
- 2.) TONY ADKISON - Sales Representative INDEPENDENT INSURANCE AGENT NON-VARIABLE INSURANCE -



Registration & Employment History



OTHER BUSINESS ACTIVITIES

MANSFIELD, TX - SINCE 08/2016 - INVESTMENT RELATED

3.) STEWARDSHIP ADVISORY GROUP, LLC - INVESTMENT ADVISORY REPRESENTATIVE - REGISTERED INVESTMENT ADVISOR - MANSFIELD, TX - SINCE 03/2014 - INVESTMENT RELATED

4.) STEWARDSHIP LEGACY COACHING, LLC - STEWARDSHIP COACH - COACHING SERVICE ON STEWARSHIP OF FAMILY LEGACY AND BUSINESS LEGACY, AS WELL AS FAMILY OFFICE SERVICES - MANSFIELD, TX - SINCE 03/2018 - NOT INVESTMENT RELATED

5.) PRIME CONCEPTS LLC - OWNER/PRESIDENT - SHELL, CURRENTLY NOT BEING USED - MANSFIELD, TX - SINCE 01/2016 - NOT INVESTMENT RELATED



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Civil Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	TEXAS STATE SECURITIES BOARD
Sanction(s) Sought:	Cease and Desist
Date Initiated:	06/08/2010
Docket/Case Number:	D-1-GV-10-000454
Employing firm when activity occurred which led to the regulatory action:	RETIREMENT VALUE, LLC
Product Type:	Viatical Settlement
Allegations:	RETIREMENT VALUE, LLC, A COMPANY IN WHICH I REPRESENTED AND SOLD PRODUCTS FOR TO INVESTORS WAS ISSUED A CEASE AND DESIST ORDER AND PUT INTO RECEIVRSHIP BY THE TSSB. I WAS SENT A LETTER AS A REPRESENTATIVE TO ALSO CEASE AND DESIST ANY FURTHER SALES.
Current Status:	Final
Limitation Details:	ALL AUTHORIZED RETIREMENT VALUE, LLC AGENTS HAVE BEEN RESTRICTED FROM COMMUNICATING WITH CLIENTS IN REGARDS TO ANY TRANSACTIONS RELATED TO RETIREMENT VALUE, LLC WITHOUT WRITTEN PERMISSION.
Resolution:	PAY MONETARY CONSIDERATION TO PLAINTIFF



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?	No
Resolution Date:	03/19/2013
Sanctions Ordered:	Monetary Penalty other than Fines
Monetary Sanction 1 of 1	
Monetary Related Sanction:	Monetary Penalty other than Fines
Total Amount:	\$10,500.00
Portion Levied against individual:	\$10,500.00
Payment Plan:	
Is Payment Plan Current:	
Date Paid by individual:	03/21/2013
Was any portion of penalty waived?	No
Amount Waived:	
Broker Statement	I WAS RELEASED OF ALL CLAIMS AND DISMISSED FROM THE CIVIL CASE WITH PREJUDICE. NO FINES OR SANCTIONS WERE IMPOSED. THIS CLAIM WAS SETTLED BY PAYING A MONETARY CONSIDERATION TO PLAINTIFF TO AVOID THE TIME AND EXPENSE TO FURTHER LITIGATION THIS MATTER AND WITH NO ADMISSION OF WRONG DOING ON MY PART.



Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

Disclosure 1 of 1

Reporting Source:	Individual
Initiated By:	STATE OF TEXAS
Relief Sought:	Cease and Desist Disgorgement Restitution
Date Court Action Filed:	03/26/2012
Date Notice/Process Served:	03/31/2012
Product Type:	Viatical Settlement
Type of Court:	State Court
Name of Court:	126TH JUDICIAL DISTRICT COURT
Location of Court:	TRAVIS COUNTY, TEXAS
Docket/Case #:	D-1-GV-10-0000454
Employing firm when activity occurred which led to the action:	RETIREMENT VALUE, LLC
Allegations:	RETIREMENT VALUE VIOLATED THE TEXAS SECURITIES ACT BY SELLING UNREGISTERED SECURITIES TO THE PUBLIC, SELLING SECURITIES THROUGH UNREGISTERED SECURITIES DEALERS, SALESMEN, AND AGENTS AND COMMITTING FRAUD AND FRAUDULENT PRACTICES IN CONNECTION WITH THE SALE OF SECURITIES. ALLEGATIONS ALSO INCLUDED BREACH OF FIDUCIARY DUTY AND CONSPIRACY TO BREACH FIDUCIARY DUTY
Current Status:	Final
Resolution:	Settled
Resolution Date:	03/19/2013
Sanctions Ordered or Relief Granted:	Monetary Penalty other than Fines
Monetary Sanction 1 of 1	
Monetary Sanction:	PAY MONETARY CONSIDERATION TO PLAINTIFF
Total Amount:	\$10,500.00
Portion against individual:	10500
Date Paid:	03/21/2013
Portion Waived:	No
Amount Waived:	
Broker Statement	I WAS RELEASED OF ALL CLAIMS AND DISMISSED FROM THE LAW SUIT WITH NO ADMISSION OF WRONG DOING ON MY PART, AS A RESULT OF THE MONETARY SETTLEMENT FOR LESS THAN CURRENT AND POTENTIAL LITIGATION COSTS.



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End of Report

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