



IAPD Report

Christopher Charles Buckley

CRD# 5905069

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Christopher Charles Buckley (CRD# 5905069)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/18/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CAMBRIDGE INVESTMENT RESEARCH, INC.	CRD# 39543	02/15/2019
IA	CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.	CRD# 134139	02/18/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WADDELL & REED	866	ATLANTA, GA	04/12/2016 - 02/21/2019
B	WADDELL & REED	866	ATLANTA, GA	04/08/2016 - 02/21/2019
IA	PARK AVENUE SECURITIES LLC	46173	ATLANTA, GA	05/06/2014 - 05/10/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 7 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH, INC.**

Main Address: 1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757

Firm ID#: 39543

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/15/2019
B	Alabama	Agent	Approved	02/21/2019
B	Georgia	Agent	Approved	02/15/2019
B	New Jersey	Agent	Approved	10/11/2022
B	South Carolina	Agent	Approved	02/15/2019
B	Tennessee	Agent	Approved	07/14/2021
B	Texas	Agent	Approved	07/25/2023
B	Virginia	Agent	Approved	02/15/2019

Branch Office Locations

CAMBRIDGE INVESTMENT RESEARCH, INC.
Stone Mountain, GA

Employment 2 of 2

Firm Name: **CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.**

Main Address: 1776 PLEASANT PLAIN RD.
FAIRFIELD, IA 52556-8757

Firm ID#: 134139



Qualifications

Regulator	Registration	Status	Date
IA Georgia	Investment Adviser Representative	Approved	02/18/2019
IA Texas	Investment Adviser Representative	Restricted Approval	07/25/2023

Branch Office Locations

CAMBRIDGE INVESTMENT RESEARCH ADVISORS, INC.

3237 Satellite Blvd.
Ste. 425
Duluth, GA 30096



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams


Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	02/25/2013
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State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	04/09/2014
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 Uniform Securities Agent State Law Examination (S63)	Series 63	03/29/2013
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/12/2016 - 02/21/2019	WADDELL & REED	CRD# 866	ATLANTA, GA
B	04/08/2016 - 02/21/2019	WADDELL & REED	CRD# 866	ATLANTA, GA
IA	05/06/2014 - 05/10/2016	PARK AVENUE SECURITIES LLC	CRD# 46173	ATLANTA, GA
B	02/26/2013 - 05/10/2016	PARK AVENUE SECURITIES LLC	CRD# 46173	ATLANTA, GA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2019 - Present	Cambridge Investment Research Advisors, Inc.	INVESTMENT ADVISER REPRESENTATIVE	Y	FAIRFIELD, IA, United States
02/2019 - Present	Cambridge Investment Research, Inc.	REGISTERED REPRESENTATIVE	Y	FAIRFIELD, IA, United States
08/2016 - Present	GMP GEORGIA MUSIC PARTNERS	EVENT COMMITTEE	N	ATLANTA, GA, United States
04/2016 - Present	Various insurance carriers for W&R insurance agencies	Insurance Agent	Y	Stone Mountain, GA, United States
01/2008 - Present	Boy Scouts of America	Patrol Advisor	N	Lilburn, GA, United States
10/2004 - Present	BLUE CROSS BLUE SHIELD	AGENT - COMMISSION TRAILS	N	STONE MOUNTAIN, GA, United States
10/2004 - Present	CIGNA	AGENT - COMMISSION TRAILS	N	STONE MOUNTAIN, GA, United States
10/2004 - Present	HUMANA	AGENT - COMMISSION TRAILS	N	STONE MOUNTAIN, GA, United States
01/1989 - Present	Chris Buckley-Guitar	Musician	N	Stone Mountain, GA, United States
04/2016 - 02/2019	Waddell & Reed, Inc	Associated Person	Y	Atlanta, GA, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Chris Buckley, 1881 Oak Branch Way, Stone Mountain, GA 30087, 01/07/02 Guitarist/Musician, Arts/Performing Arts, NIR, 12HR/MO - 0/trading.
2. CIRA, 1776 PLEASANT PLAIN RD, FAIRFIELD, IA, AS ADVISORY REP OF A RIA. INV REL-20/WK-20/TRADING. SEE EMPLOYMENT FOR START DATE.
3. INDEPENDENT INSURANCE AGENT, STONE MOUNTAIN, GA, 10/2004. FOR VARIOUS INDEPENDENT INSURANCE COMPANIES. INV REL-8/MO-5/TRADING.
4. ALLEGRO WEALTH ADVISORS, LLC OR AKA "ALLEGRO WEALTH" / 1881 Oak Branch Way, STONE MOUNTAIN GA / 12/2022 / DBA, PRESIDENT / INV REL / 40 HR/MO - 40 HR TRADING
5. CHOIR DIRECTOR, 5373 Wydella Rd SW, Lilburn GA 30047, 08/2023, Director, Church/Religious, NIR, 19 HR/MO - 9 HR/MO TRADING.
6. CONCERT PROMOTER, 1881 Oak Branch Way, STONE MOUNTAIN GA 30087, United States, 06/15/2024, Owner, Arts/Performing Arts, NIR, 4 HR/MO - 1 HR/MO TRADING.



End of Report

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