IAPD Report

JEREMY DON WOOD

CRD# 5997603

Section Title | **Page(s)**

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

User Guidance

www.adviserinfo.sec.gov

Report Summary

JEREMY DON WOOD (CRD# 5997603)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/13/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	KESTRA INVESTMENT SERVICES, LLC	CRD# 42046	04/10/2019
IA	KESTRA PRIVATE WEALTH SERVICES, LLC	CRD# 155193	04/11/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **24** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	SPC	110692	Salt Lake City, UT	04/07/2016 - 04/10/2019
B	PARKLAND SECURITIES, LLC	115368	Salt Lake City, UT	12/20/2012 - 04/10/2019
B	SIGNATOR INVESTORS, INC.	468	RALEIGH, NC	12/01/2011 - 12/21/2012

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Financial	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **24** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **KESTRA INVESTMENT SERVICES, LLC**

Main Address: 5707 SOUTHWEST PARKWAY
BUILDING 2, SUITE 400
AUSTIN, TX 78735

Firm ID#: 42046

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	04/10/2019
B	FINRA	Invest. Co and Variable Contracts	Approved	04/10/2019
B	Arizona	Agent	Approved	09/26/2024
B	California	Agent	Approved	04/10/2019
B	Colorado	Agent	Approved	04/10/2019
B	Florida	Agent	Approved	04/10/2019
B	Idaho	Agent	Approved	09/25/2024
B	Iowa	Agent	Approved	09/25/2024
B	Massachusetts	Agent	Approved	09/24/2024
B	Michigan	Agent	Approved	04/10/2019
B	Minnesota	Agent	Approved	05/13/2019
B	Mississippi	Agent	Approved	09/25/2024
B	Missouri	Agent	Approved	06/29/2022

Qualifications

	Regulator	Registration	Status	Date
B	Montana	Agent	Approved	09/26/2024
B	Nevada	Agent	Approved	09/25/2024
B	New Jersey	Agent	Approved	09/25/2024
B	North Carolina	Agent	Approved	01/08/2026
B	Ohio	Agent	Approved	10/01/2024
B	Oregon	Agent	Approved	10/01/2024
B	Pennsylvania	Agent	Approved	10/30/2024
B	Tennessee	Agent	Approved	09/25/2024
B	Texas	Agent	Approved	04/10/2019
B	Utah	Agent	Approved	04/10/2019
B	Virginia	Agent	Approved	07/30/2019
B	Washington	Agent	Approved	09/25/2024
B	West Virginia	Agent	Approved	03/17/2025

Branch Office Locations

NFP ADVISOR SERVICES, LLC
6340 South 3000 East
Suite 350
Salt Lake City, UT 84121

Employment 2 of 2

Firm Name:	**KESTRA PRIVATE WEALTH SERVICES, LLC**
Main Address:	5707 SOUTHWEST PARKWAY BLDG. 2 STE 400 AUSTIN, TX 78735
Firm ID#:	155193

Qualifications

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Restricted Approval	07/27/2023
IA	Utah	Investment Adviser Representative	Approved	04/11/2019

Branch Office Locations

KESTRA PRIVATE WEALTH SERVICES, LLC
6340 South 300 East
Suite 350
Salt Lake City, UT 84121

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	03/29/2019
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	11/30/2011

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/07/2016
B	Uniform Securities Agent State Law Examination (S63)	Series 63	01/25/2012

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/07/2016 - 04/10/2019	SPC	CRD# 110692	Salt Lake City, UT
B	12/20/2012 - 04/10/2019	PARKLAND SECURITIES, LLC	CRD# 115368	Salt Lake City, UT
B	12/01/2011 - 12/21/2012	SIGNATOR INVESTORS, INC.	CRD# 468	RALEIGH, NC

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2019 - Present	KESTRA INVESTMENT SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	SALT LAKE CITY, UT, United States
04/2019 - Present	KESTRA PRIVATE WEALTH SERVICES, LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SALT LAKE CITY, UT, United States
08/2014 - Present	PARKLAND SECURITIES, LLC	REGISTERED REPRESENTATIVE	Y	ANN ARBOR, MI, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name: Jeremy Wood - Licensed Insurance Agent Investment Related: Yes Address: 4576 S Bowers Vista Circle Murray UT 84107 Nature of Business: Insurance   Position, Title or Relationship: Licensed Insurance Agent Start Date: 12/3/2012 Hours/month: 0% - 10% (0 - 16 hours) Hrs/month during trading hours: 0% - 10% (0 - 14 hours) Duties: Writing life insurance policies for individuals, couples and business owners. disability insurance.

Name: Barber Investment Partners Investment Related: Yes Address: 6340 South 3000 East, suite 350 Salt Lake City UT 84121 Nature of Business: Registered Rep Activities through Kestra Investment Services, LLC using a DBA name; Investment Advisory services through an independent outside RIA Position, Title or Relationship: Investment Advisor Representative Start Date: 4/10/2019 Hrs/month: 91% - 100% (145 - 160 hours) Hours/month during trading hours: 91% - 100% (127 - 140 hours) Duties: Advising on securities

Name: Podium Financial Partners Investment Related: Yes Address: 4576 S Bowers Vista Circle Murray UT 84107 Nature of Business: Insurance   Position, Title or Relationship: Managing Member Start Date: 11/12/2013 Hours/month: 0% - 10% (0 - 16 hours) Hrs/month during trading hours: 0% - 10% (0 - 14 hours) Duties: Owner

NAME: PODIUM FINANCIAL PARTNERS POSITION: Owner NATURE: Entity for filing taxes INVESTMENT RELATED: No # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 11/12/2013 ADDRESS: 2556 E Capricorn Way, Holiday UT 84124,

Registration & Employment History

OTHER BUSINESS ACTIVITIES

United States DESCRIPTION: Owner

NAME: JEREMY WOOD - LICENSED INSURANCE AGENT POSITION: Licensed Insurance Agent NATURE: Insurance INVESTMENT RELATED: Yes # OF HOURS: 8 SECURITIES TRADING HOURS: 7 START DATE: 12/03/2012 ADDRESS: 2556 E Capricorn Way, Holiday UT 84124, United States DESCRIPTION: Writing life insurance policies for individuals, couples and business owners. disability insurance.

NAME: BARBER INVESTMENT PARTNERS POSITION: Managing Partner NATURE: Investment advisory services through Kestra Advisory Services, LLC INVESTMENT RELATED: Yes # OF HOURS: 152 SECURITIES TRADING HOURS: 132 START DATE: 04/10/2019 ADDRESS: 6340 South 3000 East, suite 350, Salt Lake City UT 84121, United States DESCRIPTION: Advising on securities

Name: SUMMIT OAK ADVISORS POSITION: Advisor or consultant NATURE: Consulting INVESTMENT RELATED: No # OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 03/02/2026 ADDRESS: 6340 South 3000 East, Suite 350, Salt Lake City UT 84124, United States DESCRIPTION: I will not be entering the data for clients while they are completing their estate plan documents. I will be there with them to help answer questions and guide them through the process verbally.

Name: SUMMIT OAK ADVISORS POSITION: Investment Advisor NATURE: Consulting INVESTMENT RELATED: No # OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 04/24/2026
ADDRESS: 6340 S 3000 E, Suite 350, Salt Lake City UT 84121, United States DESCRIPTION: Providing access to my paid subscription at Wealth.com, after a Financial Consulting Meeting, to help clients complete Trust, Will, Health Care Directives and Financial Powers of Attorney. Access to Wealth.com is an in-person or Zoom meeting with me that usually takes 1-2 hours. I help them navigate the software for completion. No legal advice is offered. I have anticipated completing about 5 plans a year. So, my Financial Consulting Meeting fee for this OBA is $1,000.

Name: SUMMIT OAK ADVISORS POSITION: Managing Partner NATURE: Insurance INVESTMENT RELATED: Yes # OF HOURS: 160 SECURITIES TRADING HOURS: 130 START DATE: 01/01/2026
ADDRESS: 6340 S 3000 E, Suite 350, Salt Lake City UT 84121, United States DESCRIPTION: Office leadership Managing Partner Investment Advisory Services to clients

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	1

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Compromise
Action Date:	12/27/2017
Organization Investment-Related?	
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	12/27/2017
If a compromise with creditor, provide:	
Name of Creditor:	Nationstar Mortgage LLC d/b/a Mr. Cooper
Original Amount Owed:	$284,543.72
Terms Reached with Creditor:	Short Sale - Creditor agreed to accept $215,285.00

End of Report

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