



IAPD Report

BRENT ARNOLD MOELLER

CRD# 6007122

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BRENT ARNOLD MOELLER (CRD# 6007122)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/17/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	02/24/2014
IA	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	02/24/2014

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	KEY INVESTMENT SERVICES LLC	136300	EUGENE, OR	08/20/2012 - 12/23/2013
B	KEY INVESTMENT SERVICES LLC	136300	EUGENE, OR	12/15/2011 - 12/23/2013

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 5 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **VALIC FINANCIAL ADVISORS, INC.**
Main Address: 2919 ALLEN PKWY
HOUSTON, TX 77019
Firm ID#: 42803

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	02/24/2014
B	California	Agent	Approved	08/23/2018
IA	California	Investment Adviser Representative	Approved	10/16/2019
B	Oregon	Agent	Approved	02/24/2014
IA	Oregon	Investment Adviser Representative	Approved	02/24/2014
B	Pennsylvania	Agent	Approved	07/17/2023
IA	Pennsylvania	Investment Adviser Representative	Approved	07/17/2023
B	Utah	Agent	Approved	03/22/2017
IA	Utah	Investment Adviser Representative	Approved	03/22/2017
B	Washington	Agent	Approved	12/06/2022
IA	Washington	Investment Adviser Representative	Approved	12/06/2022

Branch Office Locations

VALIC FINANCIAL ADVISORS, INC.
488 EAST 11TH AVENUE
SUITE 220



Qualifications

EUGENE, OR 97401



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
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No information reported.




General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/20/2012
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/14/2011

State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	07/18/2012
 Uniform Securities Agent State Law Examination (S63)	Series 63	12/29/2011

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/20/2012 - 12/23/2013	KEY INVESTMENT SERVICES LLC	CRD# 136300	EUGENE, OR
B	12/15/2011 - 12/23/2013	KEY INVESTMENT SERVICES LLC	CRD# 136300	EUGENE, OR

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2022 - Present	AGIA	Agent	N	Houston, TX, United States
02/2014 - Present	VALIC FINANCIAL ADVISORS, INC	REGISTERED REPRESENTATIVE	Y	PORTLAND, OR, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

CENTRAL LUTHERAN FOUNDATION

POSITION: Board Member NATURE: I have been chosen to be a Board Member of the Central Lutheran Foundation which is a non-profit charitable religious organization dedicated to grants for charitable and educational purposes. INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 02/01/2021

ADDRESS: 1857 Potter St, Eugene OR 97403, United States

DESCRIPTION: Attend Board meetings as a voting member and help determine grant requests.

AGIA

POSITION: Agent NATURE: null INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 1 START DATE: 12/17/2022

ADDRESS: 2929 Allen Parkway, Houston TX 77019, United States

DESCRIPTION: Non-Securities Insurance Products

CENTRAL LUTHERAN CHURCH

POSITION: Treasurer NATURE: I have been appointed Treasurer for the Church. INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 07/21/2023

ADDRESS: 1857 Potter St, Eugene OR 97403, United States

DESCRIPTION: As the church Treasurer I will oversee finances of the church and report to the church council the financial conditions and assist in determining the annual budget. The church employees a bookkeeper to do the day to day financial activities. I will not be involved in day to day financial transactions but I will oversee and approve certain transactions. I have check writing authority but the church has a "dual signer" policy to ensure 2 signatures on any checks.

CENTRAL LUTHERAN FOUNDATION



Registration & Employment History



OTHER BUSINESS ACTIVITIES

POSITION: Board Member NATURE: I am on the Board of a non profit Foundation that make grants and gifts to other non profit organizations and provides scholarships to deserving students. Total Foundation Assets \$2,500,000 INVESTMENT RELATED: No
NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 04/02/2024
ADDRESS: 1857 Potter St, Eugene OR 97403, United States
DESCRIPTION: Meet with other board members and accept and review applications from other non profit organizations for grants and gifts.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Customer Dispute	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	State Court
Name of Court:	Oregon State Circuit Court, Linn County
Location of Court:	Linn County, Oregon
Docket/Case #:	16-8302
Charge Date:	05/24/2016
Charge(s) 1 of 2	
Formal Charge(s)/Description:	Criminal Mistreatment
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty
Disposition of charge:	Amended
Date of Amended Charge:	02/09/2017



Charge was Amended or reduced to: Attempted Criminal Mistreatment

Amended No of Counts: 1

Amended Charge: Misdemeanor

Amended Plea: No Contest

Disposition of Amended Charge: Pled guilty

Charge(s) 2 of 2

Formal Charge(s)/Description: Assault in the 3rd Degree

No of Counts: 1

Felony or Misdemeanor: Felony

Plea for each charge: Not Guilty

Disposition of charge: Amended

Date of Amended Charge: 02/09/2017

Charge was Amended or reduced to: Attempted Assault in the 3rd Degree

Amended No of Counts: 1

Amended Charge: Misdemeanor

Amended Plea: No Contest

Disposition of Amended Charge: Pled guilty

Current Status: Final

Status Date: 02/09/2017

Disposition Date: 02/09/2017

Sentence/Penalty: Sentenced to 3 years Bench Probation with \$100 fine for each charge and mandatory completion of parenting class.

Broker Statement

These charges stemmed from an incident that occurred with my [redacted] 7 year old son. In one of his violent outburst he charged at me hitting me with a toy light saber and began biting me. I reprimanded him letting him know that he shouldn't bite people because it doesn't feel good, I demonstrated by mildly biting him which got his attention and he calmed down and we began to calmly play together. A mark was present on his arm and was seen by his teacher at school and the teacher made a report to DHS which launched an investigation with the county sheriff's office. I was charged with 3rd degree assault. Trial Date was set for Jan 10, 2017. Prior to trial the District Attorney issued a subpoena for my son and announced intention to motion to delay trial to evaluate my son's fitness to testify. To prevent my 7 year old from having the ordeal of a trial and evaluation, I agreed to a lesser charge and which carries a sentence of 3 years probation and small fine with a mandatory parenting class.



Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Firm

Employing firm when activities occurred which led to the complaint: KEY INVESTMENT SERVICES LLC

Allegations: CLIENTS ALLEGE THAT THE PACIFIC LIFE INDEXED ANNUITY PURCHASED IN JUNE 2013 IN THE AMOUNT OF \$250,000 WAS MISREPRESENTED.

Product Type: Annuity-Fixed

Alleged Damages: \$20,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information

Date Complaint Received: 07/14/2014

Complaint Pending? No

Status: Withdrawn

Status Date: 10/21/2014

Settlement Amount:

Individual Contribution Amount:

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Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: KEY INVESTMENT SERVICES

Allegations: CLIENT ALLEGES THE PACIFIC LIFE INDEXED ANNUITY PURCHASED IN JUNE 2013 IN THE AMOUNT OF \$250,000 WAS MISREPRESENTED.

Product Type: Annuity-Fixed

Alleged Damages: \$20,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

Is this an arbitration/CFTC reparation or civil litigation? No

Customer Complaint Information



Date Complaint Received: 07/14/2014
Complaint Pending? No
Status: Withdrawn
Status Date: 10/21/2014
Settlement Amount:
Individual Contribution Amount:



End of Report

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