



## IAPD Report

# JARED ERIC MABEY

CRD# 6031997

<b><u>Section Title</u></b>	<b><u>Page(s)</u></b>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### JARED ERIC MABEY (CRD# 6031997)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/17/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INDEPENDENT FINANCIAL PARTNERS	CRD# 125112	02/11/2022
B	IFP SECURITIES, LLC	CRD# 297287	02/11/2022

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **11** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIDELITY PERSONAL AND WORKPLACE ADVISORS	288590	BOSTON, MA	07/13/2018 - 02/14/2022
B	FIDELITY BROKERAGE SERVICES LLC	7784	DENVER, CO	01/23/2015 - 02/11/2022
IA	STRATEGIC ADVISERS LLC	104555	DENVER, CO	01/27/2015 - 07/13/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **11** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **IFP SECURITIES, LLC**  
Main Address: 3030 NORTH ROCKY POINT DRIVE WEST,  
SUITE 700  
TAMPA, FL 33607  
Firm ID#: 297287

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	02/11/2022
	California	Agent	Approved	08/14/2023
	Colorado	Agent	Approved	02/11/2022
	Illinois	Agent	Approved	07/03/2022
	Massachusetts	Agent	Approved	06/11/2025
	Missouri	Agent	Approved	06/18/2023
	Montana	Agent	Approved	04/24/2023
	Nevada	Agent	Approved	08/20/2025
	New Hampshire	Agent	Approved	03/29/2023
	New Mexico	Agent	Approved	05/19/2025
	Oregon	Agent	Approved	10/19/2022
	Utah	Agent	Approved	10/19/2022

### Branch Office Locations




## Qualifications

501 S Cherry Street  
Suite 1100  
Denver, CO 80246

501 South Cherry Street Ste. 1100  
Denver, CO 80246

### Employment 2 of 2

Firm Name: **INDEPENDENT FINANCIAL PARTNERS**  
Main Address: 3030 NORTH ROCKY POINT DRIVE WEST  
SUITE 700  
TAMPA, FL 33607  
Firm ID#: 125112

Regulator	Registration	Status	Date
 Colorado	Investment Adviser Representative	Approved	02/11/2022

### Branch Office Locations

#### INDEPENDENT FINANCIAL PARTNERS

501 South Cherry Street  
Suite 1100  
Denver, CO 80246



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
------	----------	------

No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
<b>B</b> General Securities Representative Examination (S7)	Series 7	04/19/2012

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> <b>B</b> Uniform Combined State Law Examination (S66)	Series 66	06/16/2012



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

#### Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/13/2018 - 02/14/2022	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	BOSTON, MA
B	01/23/2015 - 02/11/2022	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	DENVER, CO
IA	01/27/2015 - 07/13/2018	STRATEGIC ADVISERS LLC	CRD# 104555	DENVER, CO
IA	06/19/2012 - 01/02/2015	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	PROVO, UT
B	04/20/2012 - 01/02/2015	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	PROVO, UT

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2022 - Present	IFP Securiites LLC	Registered Representative	Y	Tampa, FL, United States
02/2022 - Present	Independent Financial Partners	Investment Advisor Representative	Y	Tampa, FL, United States
07/2018 - 02/2022	FIDELITY PERSONAL AND WORKPLACE ADVISORS	Mass Transfer	Y	BOSTON, MA, United States
01/2015 - 02/2022	FIDELITY BROKERAGE SERVICES LLC	ACCOUNT EXECUTIVE	Y	DENVER, CO, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. DBA Investment Related- Grok Capital, LLC, 501 S. Cherry Street, Suite 1100, Denver, CO 80246, 160 hrs/month.
2. Grok Capital LLC, Entity Ownership, Investment Related 501 S. Cherry Street, Suite 1100, Denver, CO 80246, Owner of LLC used for marketing and business purposes, start date 2/11/2022, 40 hrs/month, 40 hrs/month during trading hours.
3. Grok Wealth - investment related - 501 S. Cherry Street, Suite 1100, Denver, CO 80246 - DBA - Owner - start date 11/8/2023 - 160 hrs/month, 160 hrs/month during trading - Provide Financial Planning.



## End of Report

This page is intentionally left blank.