



IAPD Report

Rachelle Marie Davis

CRD# 6079514

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Rachelle Marie Davis (CRD# 6079514)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/06/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	MORGAN STANLEY	CRD# 149777	07/15/2022
IA	MORGAN STANLEY	CRD# 149777	07/18/2022

QUALIFICATIONS

This representative is currently registered in **4** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	AE WEALTH MANAGEMENT, LLC	282580	HONOLULU, HI	12/06/2019 - 07/21/2022
B	MADISON AVENUE SECURITIES, LLC	23224	Honolulu, HI	12/03/2019 - 07/20/2022
IA	SPC	110692	Honolulu, HI	02/01/2019 - 12/02/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **36** jurisdiction(s) and 4 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **MORGAN STANLEY**
Main Address: 2000 WESTCHESTER AVENUE
PURCHASE, NY 10577-2530
Firm ID#: 149777

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	07/15/2022
B	NYSE American LLC	General Securities Representative	Approved	07/15/2022
B	Nasdaq Stock Market	General Securities Representative	Approved	07/15/2022
B	New York Stock Exchange	General Securities Representative	Approved	07/15/2022
B	Alabama	Agent	Approved	08/05/2022
B	Arizona	Agent	Approved	07/15/2022
B	California	Agent	Approved	07/15/2022
B	Colorado	Agent	Approved	08/05/2022
B	Connecticut	Agent	Approved	08/05/2022
B	Delaware	Agent	Approved	08/05/2022
B	District of Columbia	Agent	Approved	08/05/2022
B	Florida	Agent	Approved	07/15/2022
IA	Florida	Investment Adviser Representative	Approved	07/25/2022



Qualifications

	Regulator	Registration	Status	Date
B	Georgia	Agent	Approved	07/15/2022
B	Hawaii	Agent	Approved	07/15/2022
B	Illinois	Agent	Approved	08/05/2022
B	Indiana	Agent	Approved	08/05/2022
B	Kentucky	Agent	Approved	08/05/2022
B	Louisiana	Agent	Approved	08/05/2022
B	Maine	Agent	Approved	08/05/2022
B	Maryland	Agent	Approved	08/05/2022
B	Massachusetts	Agent	Approved	07/15/2022
B	Michigan	Agent	Approved	08/05/2022
B	Minnesota	Agent	Approved	07/15/2022
B	Missouri	Agent	Approved	08/05/2022
B	Montana	Agent	Approved	08/05/2022
B	Nevada	Agent	Approved	07/15/2022
B	New Jersey	Agent	Approved	08/05/2022
B	New York	Agent	Approved	07/15/2022
IA	New York	Investment Adviser Representative	Approved	08/01/2022
B	North Carolina	Agent	Approved	08/05/2022
B	Ohio	Agent	Approved	08/07/2022



Qualifications

	Regulator	Registration	Status	Date
B	Oregon	Agent	Approved	07/15/2022
B	Pennsylvania	Agent	Approved	08/05/2022
B	Rhode Island	Agent	Approved	08/05/2022
B	South Carolina	Agent	Approved	08/05/2022
B	Texas	Agent	Approved	07/15/2022
IA	Texas	Investment Adviser Representative	Restricted Approval	07/18/2022
B	Vermont	Agent	Approved	08/05/2022
B	Virginia	Agent	Approved	08/05/2022
B	Washington	Agent	Approved	07/15/2022
B	West Virginia	Agent	Approved	08/05/2022
B	Wisconsin	Agent	Approved	07/15/2022

Branch Office Locations

MORGAN STANLEY

8889 Pelican Bay Boulevard
Suite 300
Naples, FL 34108

MORGAN STANLEY

928 North Collier Boulevard
3Rd Floor
Marco Island, FL 34145



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	12/18/2018
B Securities Industry Essentials Examination (SIE)	SIE	11/09/2018

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	01/16/2019



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/06/2019 - 07/21/2022	AE WEALTH MANAGEMENT, LLC	CRD# 282580	HONOLULU, HI
B	12/03/2019 - 07/20/2022	MADISON AVENUE SECURITIES, LLC	CRD# 23224	Honolulu, HI
IA	02/01/2019 - 12/02/2019	SPC	CRD# 110692	Honolulu, HI
B	12/18/2018 - 12/02/2019	PARKLAND SECURITIES, LLC	CRD# 115368	HONOLULU, HI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2022 - Present	Morgan Stanley Private Bank, N.A	Financial Advisor	Y	New York, NY, United States
07/2022 - Present	Morgan Stanley Smith Barney LLC	FA Trainee	Y	Naples, FL, United States
12/2019 - 07/2022	AE Wealth Management, LLC	Investment Advisor Representative	Y	Topeka, KS, United States
12/2019 - 07/2022	Madison Avenue Securities, LLC	Registered Representative	Y	San Diego, CA, United States
09/2018 - 07/2022	E.A. Buck Insurance DBA E.A. Buck Financial Services	Private Wealth Advisor	Y	Honolulu, HI, United States
02/2019 - 12/2019	SPC	Investment Advisor Representative	Y	Ann Arbor, MI, United States
09/2018 - 12/2019	Parkland Securities, LLC	Registered Representative	Y	Ann Arbor, MI, United States
07/2018 - 09/2018	Hawaii Heat FC	Volunteer Soccer Coach	N	Honolulu, HI, United States
09/2016 - 09/2018	Crossfit HNL	Coach	N	Kailua, HI, United States
05/2014 - 06/2016	Saratoga Chips	Director of Marketing	N	Sarasota Springs, NY, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

*593198 - BRD Holdings LLC ; Investment related - Yes ; Naples,Florida ; Real Estate ; Officer(proprietor, partner, officer, director, employee, trustee, agent) ; 4/2023 ; During business hours : 0 ; After business hours : 1 ; Owner of land



End of Report

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