User Guidance

www.adviserinfo.sec.gov

IAPD Report

Wynston Douglas Mackie

CRD# 6105070

Section Title	Page(s)

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

Wynston Douglas Mackie (CRD# 6105070)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/29/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EQUITABLE ADVISORS, LLC	CRD# 6627	10/09/2024
IA	EQUITABLE ADVISORS, LLC	CRD# 6627	10/09/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	SANTA MONICA, CA	08/06/2021 - 10/08/2024
IA	LPL FINANCIAL LLC	6413	SANTA MONICA, CA	08/06/2021 - 10/08/2024
B	KINECTA FINANCIAL & INSURANCE SERVICES	136597	WOODLAND HILLS, CA	10/03/2023 - 09/26/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

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Firm Name: **EQUITABLE ADVISORS, LLC**
Main Address: 1345 AVENUE OF THE AMERICAS
NEW YORK, NY 10105
Firm ID#: 6627

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	10/09/2024
B	FINRA	Invest. Co and Variable Contracts	Approved	10/09/2024
B	Arizona	Agent	Approved	10/09/2024
B	California	Agent	Approved	10/09/2024
IA	California	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026
B	Florida	Agent	Approved	10/09/2024
B	Georgia	Agent	Approved	02/13/2025
B	Louisiana	Agent	Approved	10/09/2024
B	Massachusetts	Agent	Approved	07/29/2026
B	Michigan	Agent	Approved	10/09/2024
B	Nevada	Agent	Approved	10/10/2024
B	New Jersey	Agent	Approved	10/09/2024
B	Texas	Agent	Approved	10/09/2024

Qualifications

	Regulator	Registration	Status	Date
IA	Texas	Investment Adviser Representative	Restricted Approval	10/09/2024
B	Washington	Agent	Approved	09/05/2025
B	Wisconsin	Agent	Approved	07/15/2026

Branch Office Locations

EQUITABLE ADVISORS, LLC
5700 WILSHIRE BLVD
SUITE 120A
LOS ANGELES, CA 90036

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	03/27/2014
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/17/2013

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	04/29/2014

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/06/2021 - 10/08/2024	LPL FINANCIAL LLC	CRD# 6413	SANTA MONICA, CA
IA	08/06/2021 - 10/08/2024	LPL FINANCIAL LLC	CRD# 6413	SANTA MONICA, CA
B	10/03/2023 - 09/26/2024	KINECTA FINANCIAL & INSURANCE SERVICES	CRD# 136597	WOODLAND HILLS, CA
IA	04/04/2018 - 07/14/2021	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	TORRANCE, CA
B	04/02/2018 - 07/14/2021	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	TORRANCE, CA
IA	09/15/2016 - 02/15/2018	AXA ADVISORS, LLC	CRD# 6627	ATLANTA, GA
B	09/13/2016 - 02/15/2018	AXA ADVISORS, LLC	CRD# 6627	ATLANTA, GA
B	12/10/2014 - 06/09/2016	AXA DISTRIBUTORS, LLC	CRD# 25900	FARMINGTON, CT
IA	04/30/2014 - 12/09/2014	MORGAN STANLEY	CRD# 149777	ALPHARETTA, GA
B	03/27/2014 - 12/09/2014	MORGAN STANLEY	CRD# 149777	ALPHARETTA, GA
B	08/19/2013 - 09/24/2013	AXA ADVISORS, LLC	CRD# 6627	JERSEY CITY, NJ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	Equitable Advisors, LLC	Registered Representative	Y	New York, NY, United States
08/2021 - 09/2024	KINECTA FEDERAL CREDIT UNION	Financial Institution Responsibilities	Y	El Segundo, CA, United States
08/2021 - 09/2024	LPL Financial LLC	Registered Representative	Y	El Segundo, CA, United States
06/2018 - 07/2021	BANK OF AMERICA, N.A.	Financial Advisor - FADP	Y	NEW ORLEANS, LA, United States

Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2018 - 07/2021	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	Financial Advisor - FADP	Y	NEW ORLEANS, LA, United States
12/2014 - 01/2018	AXA Equitable	Manager	Y	Jersey City, NJ, United States
06/2008 - 01/2018	AXA Advisors LLC	Registered Representative	Y	New York, NY, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Outside Fixed Insurance

End of Report

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