



IAPD Report

CLAUDIO SEIXAS CALADO

CRD# 6106347

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 4
Registration and Employment History	5 - 6
Disclosure Information	7

i When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.
Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

CLAUDIO SEIXAS CALADO (CRD# 6106347)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/08/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	DOEREN MAYHEW CAPITAL ADVISORS, LLC	CRD# 288269	02/07/2018
IA	CALADO CAPITAL	CRD# 324440	02/13/2023

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	DCF, LLC	149102	Troy, MI	04/16/2014 - 04/08/2018

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Financial	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 3 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **CALADO CAPITAL**
Main Address: 250 PARK AVE 7TH FLR
NEW YORK, NY 10177
Firm ID#: 324440

Regulator	Registration	Status	Date
IA Michigan	Investment Adviser Representative	Approved	03/01/2023
IA New York	Investment Adviser Representative	Approved	02/13/2023

Branch Office Locations

CALADO CAPITAL
250 PARK AVE 7TH FLR
NEW YORK, NY 10177

Employment 2 of 2

Firm Name: **DOEREN MAYHEW CAPITAL ADVISORS, LLC**
Main Address: 2600 NORTH LOOP WEST, SUITE 600
HOUSTON, TX 77092
Firm ID#: 288269

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	02/07/2018
B FINRA	General Securities Representative	Approved	02/07/2018
B FINRA	Investment Banking Representative	Approved	02/07/2018
B FINRA	Compliance Officer	Approved	10/01/2018
B FINRA	Investment Banking Principal	Approved	10/01/2018



Qualifications

Regulator	Registration	Status	Date
B Michigan	Agent	Approved	06/05/2019
B Texas	Agent	Approved	05/22/2018

Branch Office Locations

305 W. Big Beaver Road
Suite 200
Troy, MI 48084

245 Park Avenue
39th Floor
New York, NY 10167





Qualifications

PASSED INDUSTRY EXAMS




This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
 Compliance Officer Examination (S14)	Series 14	01/02/2023
 General Securities Principal Examination (S24)	Series 24	01/26/2015

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/25/2014
 Investment Banking Registered Representative Examination (S79)	Series 79	04/16/2014

State Securities Law Exams

Exam	Category	Date
 Uniform Investment Adviser Law Examination (S65)	Series 65	02/07/2023
 Uniform Securities Agent State Law Examination (S63)	Series 63	02/11/2014

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	04/16/2014 - 04/08/2018	DCF, LLC	CRD# 149102	Troy, MI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2023 - Present	Purgatory Films LLC	Managing Partner	N	New York, NY, United States
01/2023 - Present	C2 CAPITAL LLC	MANAGING PARTNER	Y	NEW YORK, NY, United States
11/2019 - Present	GISSING HOLDINGS INC	CEO	N	Detroit, MI, United States
05/2015 - Present	DOEREN MAYHEW CAPITAL ADVISORS, LLC	Managing Director	Y	TROY, MI, United States
11/2019 - 01/2023	Gissing Automotive Systems, LLC (Subsidiary to GISSING HOLDINGS)	CEO	N	Wixom, MI, United States
11/2019 - 07/2022	Gissing North America LLC (Subsidiary to GISSING HOLDINGS LLC)	CEO and President	N	Bingham Farms, MI, United States
02/2005 - 10/2019	DOEREN MAYHEW	SHAREHOLDER	N	TROY, MI, United States
09/2013 - 04/2018	DECOSIMO CORPORATE FINANCE	INDEPENDENT CONTRACTOR	Y	CHATTANOOGA, TN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

BUSINESS NAME: C2 CAPITAL LLC, INVESTMENT RELATED: YES, ADDRESS: 250 PARK AVE, 7TH FLR, NEW YORK, NY 10177, NATURE: IA - PENDING REGISTRATION, POSITION: MANAGING PARTNER, START DATE 01/2023, HOURS PER MONTH: APPROX 120-140HRS

BUSINESS NAME: GISSING HOLDINGS (and Subsidiaries), INVESTMENT RELATED: NO, ADDRESS: 500 WOODWARD AVE, SUITE 2500, DETROIT MI 48226, NATURE: HOLDING COMPANY OF AUTOMOTIVE PARTS MANUFACTURER, POSITION: CEO, START DATE: 11/2019, HOURS PER MONTH: APPROX 0-8HRS

BUSINESS NAME: DOEREN MAYHEW CAPITAL ADVISORS, INVESTMENT RELATED: YES, ADDRESS 305 W BIG BEAVER



Registration & Employment History



OTHER BUSINESS ACTIVITIES

RD, STE 200, TROY, MI 48084, NATURE: INDEPENDENT CONTRACTOR TO DCF (UNTIL FEB-2018) OR DOEREN MAYHEW CAPITAL ADVISORS, LLC (FROM FEB-2018 ON)

BUSINESS NAME: PURGATORY FILMS LLC, INVESTMENT RELATED: NO, ADDRESS 250 PARK AVE, 7TH FLR, NEW YORK, NY 10177, NATURE: MAKING AND PRODUCTION OF MOVIES, POSITION: MANAGING PARTNER, START DATE: 08/2023, HOURS PER MONTH: APPROX 15-25HRS



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	1

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Bankruptcy
Bankruptcy:	Chapter 7
Action Date:	01/09/2023
Organization Name:	GISSING AUTOMOTIVE SYSTEMS, LLC
Individual Position:	CEO
Organization Investment-Related?	No
Type of Court:	Federal Court
Name of Court:	US BANKRUPTCY COURT
Location of Court:	EASTERN DISTRICT OF MICHIGAN
Docket/Case #:	23-40142
Action Pending?	Yes
Broker Statement	FILLING DUE TO CONVERSION OF ITS OPERATING SUBSIDIARY GISSING NORTH AMERICA LLC FROM CHAPTER 11 TO CHAPTER 7 (DOCKET #22-46160-lsg). GISSING AUTOMOTIVE SYSTEMS HELD A 92% MEMBERSHIP INTEREST IN GISSING NORTH AMERICA AND PROVIDED BESIDES EQUITY ALSO LOANS AND WAS OWED ROYALTIES UNDER AN EXECUTIVE CONTRACT.



End of Report

This page is intentionally left blank.