



IAPD Report

JEREMIAH BEGUESSE

CRD# 6121650

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

JEREMIAH BEGUESSE (CRD# 6121650)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/18/2026**.

CURRENT EMPLOYERS

Firm	CRD#	Registered Since
IA BERONE CAPITAL LLC	CRD# 316412	11/22/2021

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
IA ALEXANDER CAPITAL WEALTH MANAGEMENT LLC	157714	Marietta, GA	05/11/2020 - 08/02/2021
B ALEXANDER CAPITAL, L.P.	40077	Atlanta, GA	05/01/2020 - 08/02/2021
IA CENTAURUS FINANCIAL, INC.	30833	ATLANTA, GA	01/31/2019 - 04/30/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Civil Event	1
Financial	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **BERONE CAPITAL LLC**
Main Address: ACWORTH, GA
Firm ID#: 316412

Regulator	Registration	Status	Date
IA Georgia	Investment Adviser Representative	Approved	11/22/2021

Branch Office Locations

BERONE CAPITAL LLC
Acworth, GA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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General Securities Representative Examination (S7TO)	Series 7TO	07/01/2024
Securities Industry Essentials Examination (SIE)	SIE	07/18/2018
General Securities Representative Examination (S7)	Series 7	03/19/2015
Proprietary Trader Qualification Examination (S56)	Series 56	11/12/2012

State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	01/18/2017
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/11/2020 - 08/02/2021	ALEXANDER CAPITAL WEALTH MANAGEMENT LLC	CRD# 157714	Marietta, GA
B	05/01/2020 - 08/02/2021	ALEXANDER CAPITAL, L.P.	CRD# 40077	Atlanta, GA
IA	01/31/2019 - 04/30/2020	CENTAURUS FINANCIAL, INC.	CRD# 30833	ATLANTA, GA
B	01/30/2019 - 04/30/2020	CENTAURUS FINANCIAL, INC.	CRD# 30833	ATLANTA, GA
B	04/01/2020 - 04/08/2020	ALEXANDER CAPITAL, L.P.	CRD# 40077	RED BANK, NJ
IA	01/20/2017 - 07/18/2018	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	FORT LAUDERDALE, FL
B	01/13/2017 - 07/18/2018	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	FORT LAUDERDALE, FL
B	05/26/2016 - 12/02/2016	MML INVESTORS SERVICES, LLC	CRD# 10409	FORT LAUDERDALE, FL
B	03/19/2015 - 10/16/2015	VOYA FINANCIAL ADVISORS, INC.	CRD# 2882	BOCA RATON, FL
B	11/14/2012 - 09/30/2013	WTS PROPRIETARY TRADING GROUP LLC	CRD# 148117	NEW YORK, NY

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2021 - Present	Berone Capital LLC	Investment Advisor Representative	Y	Marietta, GA, United States
04/2020 - 07/2021	Alexander Capital, L.P.	Investment Adviser Representative	Y	New York, NY, United States
01/2019 - 03/2020	Centaurus Financial Inc.	Registered Representative	Y	Anaheim, CA, United States
08/2018 - 12/2018	UNEMPLOYED	UNEMPLOYED	N	AUSTELL, GA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2017 - 07/2018	BANK OF AMERICA, N.A.	Financial Advisor	Y	FORT LAUDERDALE, FL, United States
11/2016 - 07/2018	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	Financial Advisor	Y	Fort Lauderdale, FL, United States
04/2016 - 11/2016	MASSMUTUAL LIFE INSURANCE CO	AGENT	Y	Coral Gables, FL, United States
04/2016 - 11/2016	MML INVESTORS SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	Coral Gables, FL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

No information reported.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Civil Event	1
Financial	1

Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

Disclosure 1 of 1

Reporting Source:	Regulator
Initiated By:	UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Relief Sought:	Civil and Administrative Penalty(ies)/Fine(s) Disgorgement Injunction Monetary Penalty other than Fines
Date Court Action Filed:	05/07/2026
Product Type:	Direct Investment-DPP & LP Interests
Type of Court:	Federal Court
Name of Court:	United States District Court for the Southern District of Florida
Location of Court:	Miami, FL
Docket/Case #:	1:26-CV-23237
Employing firm when activity occurred which led to the action:	Berone Capital, LLC
Allegations:	Plaintiff SEC files this against Reign Financial International, LLC; Reign Financial International, Inc. (together, Reign); Giorgio Johnson; Gary Mills; Patrick Allen; Berone Capital, LLC; Jeremiah Beguesse; and Fabian Stone (collectively, Defendants), and alleges in its complaint that this case concerns a fraudulent investment scheme involving three fraudulent high-yield investment programs perpetrated by Reign, Johnson, Mills, and Allen. This matter also concerns the misconduct of Berone, Beguesse, and Stone (collectively, the Berone Defendants), who misappropriated assets from a hedge fund they managed that held investor funds from at least one of the investment programs. Reign, Johnson, and Mills (collectively, the Reign Defendants), individually and by providing substantial assistance to others, engaged in a scheme to defraud at least 31 investors, raising



over \$26 million dollars through the offer and sale of securities in fraudulent high-yield investment programs purportedly administered by Allen, for the first two programs, and the Reign Defendants for the third program. Through these programs, Allen and the Reign Defendants purported to invest investor funds and generate extraordinary short-term profits for investors with little to no risk. They promised investors that their principal investments would be secure and returned in a matter of weeks, after which investors would receive weekly payments of significant profits generated by the programs. The programs did not exist, and millions of dollars of investor funds were misappropriated by Defendants and others. Allen, through companies he owned and controlled, administered the first two fraudulent investment programs with the Reign Defendants' substantial assistance. They enticed investors with promises of outsized short-term profits with little or no risk to their principal, claiming investor funds would be used to source opaque financial instruments involving leverage through European banks. Allen, assisted by the Reign Defendants, promised to keep the funds secure and protected, return the principal after a few weeks, and pay investors profits generated from the program on a weekly basis. Allen did not use investor funds for any legitimate investment program, instead, simply stole much of the money for his own personal use and benefit, leading to investor losses of over \$6 million. Despite investors' complaints and repeated requests for information, Allen and the Reign Defendants engaged in various delay tactics and concealed from investors that their funds had never been invested and, instead, had been misappropriated. For the third program, the Reign Defendants determined to strike out on their own and administer their own high-yield investment program. The Reign Defendants were going to use Allen's contacts and bank trading platform to administer the Reign Program. However, they had never been introduced to Allen's contacts nor knew their identities and the Reign Defendants had no reasonable basis to believe they could satisfy the terms of the investment. The Reign Defendants secured a \$20 million investment but never invested it in any legitimate investment program. Instead, portions of those funds were misappropriated by the Berone Defendants and misappropriated or squandered by the Reign Defendants and others. Berone, Beguesse, and Stone (collectively the Berone Defendants) engaged in two of the fraudulent high-yield investment programs that were part of this scheme. Under the agreements governing the two programs, investors' money was to be held in a hedge fund that the Berone Defendants managed. The investors' funds were not to be depleted, used, or spent. However, the Berone Defendants allowed Allen and the Reign Defendants to misappropriate some of the investor funds. The Berone Defendants also violated their fiduciary duties and the terms of the fund governing documents by misappropriating hedge fund assets for their own personal use and benefit.

Current Status:	Pending
Limitations or Restrictions in Effect During Appeal:	n/a



Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source:	Individual
Action Type:	Compromise
Action Date:	11/09/2016
Organization Investment-Related?	
Type of Court:	N/A
Name of Court:	
Location of Court:	
Docket/Case #:	
Action Pending?	No
Disposition:	Satisfied/Released
Disposition Date:	11/09/2016
If a compromise with creditor, provide:	
Name of Creditor:	Accounts Resolution Services (ARS)
Original Amount Owed:	\$647.00
Terms Reached with Creditor:	Creditor accepted \$350.00 as settlement and forgave remainder of debt.



End of Report

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