



IAPD Report

BRANDON WELLS TESAR

CRD# 6126593

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

BRANDON WELLS TESAR (CRD# 6126593)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/16/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	ONE WEALTH CAPITAL MANAGEMENT, LLC	CRD# 331395	07/01/2024

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ONE WEALTH MANAGEMENT	288030	West Hills, CA	07/12/2023 - 07/31/2024
IA	PARK AVENUE SECURITIES LLC	46173	EL SEGUNDO, CA	12/18/2018 - 06/30/2023
B	PARK AVENUE SECURITIES LLC	46173	EL SEGUNDO, CA	12/17/2018 - 06/30/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **ONE WEALTH CAPITAL MANAGEMENT, LLC**
Main Address: 4900 NORTH SCOTTSDALE ROAD
SUITE 4900
SCOTTSDALE, AZ 85251
Firm ID#: 331395

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	07/01/2024
IA Texas	Investment Adviser Representative	Restricted Approval	07/01/2024

Branch Office Locations

ONE WEALTH CAPITAL MANAGEMENT, LLC
222 N Pacific Coast Hwy
10th Floor
El Segundo, CA 90245



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.




Principal/Supervisory Exams

Exam	Category	Date
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No information reported.



General Industry/Product Exams

Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	06/11/2015
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	12/13/2012

State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	12/18/2013
 Uniform Securities Agent State Law Examination (S63)	Series 63	01/10/2013

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	07/12/2023 - 07/31/2024	ONE WEALTH MANAGEMENT	CRD# 288030	West Hills, CA
IA	12/18/2018 - 06/30/2023	PARK AVENUE SECURITIES LLC	CRD# 46173	EL SEGUNDO, CA
B	12/17/2018 - 06/30/2023	PARK AVENUE SECURITIES LLC	CRD# 46173	EL SEGUNDO, CA
IA	06/06/2016 - 12/03/2018	MML INVESTORS SERVICES, LLC	CRD# 10409	SANTA MONICA, CA
B	01/11/2013 - 12/03/2018	MML INVESTORS SERVICES, LLC	CRD# 10409	SANTA MONICA, CA
IA	02/12/2014 - 05/04/2016	MML INVESTORS SERVICES, LLC	CRD# 10409	SANTA MONICA, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2024 - Present	One Wealth Capital Management, LLC	Director of Investment Operations & Financial Planning	Y	Miami, FL, United States
01/2000 - Present	SELF EMPLOYED, ENTERTAINMENT	ACTOR, DIRECTOR, WRITER, ASSISTANT	N	NEW YORK/CHICAGO/LOS ANGELES, CA, United States
07/2023 - 07/2024	One Wealth Management Investment and Advisory Services, LLC	Director of Investment Operations & Financial Planning	Y	Miami Beach, FL, United States
10/2022 - 06/2023	GUARDIAN LIFE INSURANCE COMPANY	AGENT	N	EL SEGUNDO, CA, United States
10/2022 - 06/2023	PARK AVENUE SECURITIES	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
04/2016 - 06/2023	ONE WEALTH MANAGEMENT	DIRECTOR OF FINANCIAL PLANNING	Y	SANTA MONICA, CA, United States
09/2019 - 09/2022	GUARDIAN LIFE INSURANCE COMPANY	AGENT	Y	Beverly Hills, CA, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2019 - 09/2022	PARK AVENUE SECURITIES	REGISTERED REPRESENTATIVE	Y	Beverly Hills, CA, United States
12/2018 - 09/2019	GUARDIAN LIFE INSURANCE COMPANY	AGENT	Y	SANTA MONICA, CA, United States
12/2018 - 09/2019	PARK AVENUE SECURITIES	REGISTERED REPRESENTATIVE	Y	SANTA MONICA, CA, United States
10/2012 - 12/2018	MML INVESTORS SERVICES LLC	REGISTERED REPRESENTATIVE	Y	SANTA MONICA, CA, United States
05/2012 - 12/2018	MASSMUTUAL LIFE INSURANCE COMPANY	AGENT/SALES ASSISTANT	Y	SANTA MONICA, CA, United States
11/2011 - 12/2018	YUMMY BARTENDERS	BARTENDER	N	LOS ANGELES, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Entertainment Professional
 Freelance actor/writer/director/producer
 Start: 01/01/2000
 Address: 23025 Hamlin St.
 West Hills, CA 90401
 1 non bus hr per month
 Not investment related

Brandon Tesar
 investment-related
 private residence in West Hills, CA
 insurance sales
 Licensed Insurance Agent
 4/3/2012
 1 hour per month
 0 hours during trading
 Work with insurance ledgers



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1
Criminal	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 1

Reporting Source:	Individual
Regulatory Action Initiated By:	CA DEPARTMENT OF INSURANCE
Sanction(s) Sought:	Other: RESTRICTED LICENSE
Date Initiated:	04/03/2012
Docket/Case Number:	LBB 7329-AP (AR)
Employing firm when activity occurred which led to the regulatory action:	N/A
Product Type:	Annuity-Fixed Insurance
Allegations:	AS HAS BEEN DISCLOSED ELSEWHERE ON THE U4 - I HAD ASRRESTS AS A YOUNG MAN. [ONE] WAS RESOLVED 10/8/01 AND STEMMED FROM POSSESSION OF MARIJUANA AND PSILOCYBIN. AND [ANOTHER] WAS RESOLVED 7/5/06 REGARDING POSSESSION OF A CONTROLLED SUBSTANCE (MARIJUANA) AND DRIVING WITH A METABOLITE OF A CONTROLLED SUBSTANCE IN MY BODY. THE CA DOI DETERMINED IN 2012 THAT THES INSTANCES "DEMONSTRATE A PATTERN OF REPEATED & WILLFUL DISREGARD FOR THE LAW WHICH IS SUBSTANTIALLY RELATED TO THE QUALIFICATIONS ... OF AN INSURANCE LICENSEE". AS SUCH - I WAS OFFERED A "RESTRICTE" LICENSE IN LIEU OF A STANDARD LICENSE WHICH COULD BE TAKEN AWAY IF ADDITIONAL LAWS WERE BROKEN."
Current Status:	Final
Resolution:	Decision



Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?

Yes

Resolution Date:

04/03/2012

Sanctions Ordered:

Denial
Prohibition
Other: DENIAL OF STANDARD LICENSE BUT OFFERED RESTRICTED LICENSE. CAN BE CHANGED AFTER 3+ YEARS.

Broker Statement

IN SOME CASES THE DEPT OF INSURANCE MAY, BASED ON THE APPLICANT'S CRIMINAL RECORD, OFFER A RESTRICTED LICENSE IN LIEU OF DENYING THE APPLICANT OR TAKING THEM TO A HEARING. THE RESTRICTED LICENSE IS A FULLY FUNCTIONING LICENSE THAT WILL REMAIN ON A PROBATIONARY STATUS (USUALLY FOR A MINIMUM OF THREE YEARS). THE RESTRICTED LICENSE CAN BE SUMMARILY REVOKED IF A SUBSEQUENT VIOLATION OF LAW OCCURS. UPON THE COMPLETION OF THREE YEARS UNDER THE RESTRICTED LICENSE WITH NO FURTHER VIOLATIONS, THE LICENSEE CAN REQUEST TO HAVE THE RESTRICTIONS REMOVED.



Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	WALWORTH COUNTY CIRCUIT COURT
Location of Court:	WALWORTH COUNTY, WISCONSIN
Docket/Case #:	01CF00325
Charge Date:	07/21/2001
Charge(s) 1 of 1	
Formal Charge(s)/Description:	POSSESSION WITH INTENT TO DELIVER MARIJUANA
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	NOT GUILTY
Disposition of charge:	Reduced
Date of Amended Charge:	10/08/2001
Charge was Amended or reduced to:	MISDEMEANOR POSSESSION OF MARIJUANA ONLY
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	GUILTY
Disposition of Amended Charge:	Convicted
Current Status:	Final
Status Date:	10/08/2001
Disposition Date:	10/08/2001
Sentence/Penalty:	30 DAYS IN JAIL (LESS TIME FOR GOOD BEHAVIOR). 10/08/2001-10/30/2001. \$575 FINE. 1 YEAR PROBATION, 10/08/2001-10/08/2002.
Broker Statement	ON JULY 21ST 2001 I WAS ATTENDING A ROCK CONCERT AT ALPINE VALLEY MUSIC THEATRE IN EAST TROY, WI. I WAS BY MYSELF IN THE PARKING LOT AND HAPPENED TO BE ROLLING A MARIJUANA CIGARETTE FOR THE SHOW, WHEN I WAS ARRESTED BY UNDERCOVER POLICEMEN. THE FELONY CHARGE WAS REDUCED TO MISDEMEANOR POSSESSION TO WHICH I PLEAD GUILTY, SERVED MY SENTENCE WITHOUT ISSUE AND WAS PLACED ON PROBATION. I REGRET THIS INCIDENT AND THE BRAVADO I HAD AT THIS YOUNG AGE IN MY CAVALIER ATTITUDE TOWARD ILLEGAL DRUGS.



End of Report

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