



## IAPD Report

# BILL JACK WALTON

CRD# 6132593

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Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### BILL JACK WALTON (CRD# 6132593)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/09/2025**.

### CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

### QUALIFICATIONS

This individual is not currently registered as an Investment Adviser Representative.

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	ACCELERATED WEALTH ADVISORS LLC	170022	Colorado Springs, CO	09/18/2014 - 12/09/2025
IA	GRADIENT ADVISORS, LLC	152665	COLORADO SPRINGS, CO	06/18/2013 - 02/14/2014

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is not currently registered as an Investment Adviser Representative.



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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No information reported.

#### State Securities Law Exams

Exam	Category	Date
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IA

Uniform Investment Adviser Law Examination (S65)

Series 65

06/18/2013



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	09/18/2014 - 12/09/2025	ACCELERATED WEALTH ADVISORS LLC	CRD# 170022	Colordo Springs, CO
IA	06/18/2013 - 02/14/2014	GRADIENT ADVISORS, LLC	CRD# 152665	COLORADO SPRINGS, CO

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2024 - Present	Blackheath Partners Brokerage LLC	Member	Y	Dorado, PR, United States
12/2023 - Present	Accelerated Consulting and Management LLC Series 2	Manager	Y	Dorado, PR, United States
12/2023 - Present	South Pointe Square Tennessee, LLC	Manager	Y	Nashville, TN, United States
01/2023 - Present	Q2 Wealth Management LLC	Indirect Beneficiary Ownership Interest through Deut 28 Dynasty Trust	Y	Lexington, KY, United States
08/2022 - Present	Creative Life Investment Group LLC	Manager	Y	Lexington, KY, United States
12/2021 - Present	Deut 28 Dynasty Trust	Trustmaker	N	Colorado Springs, CO, United States
11/2021 - Present	Walton Family Partners I, LLLP	Arche Media Controlling Member	N	Colorado Springs, CO, United States
11/2021 - Present	Walton Management, LLC	Manager	N	Colorado Springs, CO, United States
06/2021 - Present	WE Holding, LLC	President	N	Dorado, PR, United States
05/2021 - Present	CreatingYourBest.Com, LLC	President	N	Dorado, PR, United States
12/2019 - Present	Lighthouse Financial Enterprise, LLC	Member (Parent Company of Lighthouse Financial, LLC, an Investment Advisory Firm)	N	Broomfield, CO, United States
04/2019 - Present	Legacy Hills, LLC	Member	Y	Colorado Springs, CO, United States



## Registration & Employment History



### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2018 - Present	Accelerated Consulting and Management, LLC	CEO	N	Colorado Springs, CO, United States
06/2018 - Present	MAJ Properties, LLC	Managing Member	N	Colorado Springs, CO, United States
02/2016 - Present	ASI HEALTHCARE CAPITAL PARTNERS G.P., LLC	General Partner	Y	COLORADO SPRINGS, CO, United States
10/2013 - Present	ACCELERATED BUSINESS STRATEGIES, LLC	MANAGING MEMBER	N	COLORADO SPRINGS, CO, United States
10/2013 - Present	ACCELERATED WEALTH ADVISORS, LLC	CEO	Y	COLORADO SPRINGS, CO, United States
05/2013 - Present	Centennial Advisor Group, LLC d/b/a Design Wealth	Member	Y	Englewood, CO, United States
11/2012 - Present	AW HOLDINGS, LLC	MANAGING MEMBER	N	COLORADO SPRINGS, CO, United States
03/2012 - Present	ASI CAPITAL, LLC	MEMBER	Y	COLORADO SPRINGS, CO, United States
11/2008 - Present	ACCELERATED WEALTH LLC	PRINCIPAL	Y	COLORADO SPRINGS, CO, United States
08/2008 - Present	Arche Media LLC	Managing Member	N	Colorado Springs, CO, United States
06/2018 - 08/2024	AW Family Office	Owner	N	Colorado Springs, CO, United States
11/2007 - 12/2019	WALTON FINANCIAL, INC.	PRESIDENT	Y	COLROADO SPRINGS, CO, United States
01/2018 - 10/2018	IDM	Employee	N	Humacao, PR, United States
04/2013 - 10/2018	ACCELERATED WEALTH FRANCHISING, LLC	MANAGING MEMBER	Y	COLORADO SPRINGS, CO, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

The following are details in addition to what is already provided above in Employment History. Applicant spend the majority of his time (75%) on activities related to Accelerated Wealth Advisors LLC and Accelerated Wealth LLC. He splits the remaining time on other activities.

1. Accelerated Business Strategies, LLC. Not currently active. This company formerly provided various services to small businesses that included tax savings strategies, leadership development, qualified plan designs, employee retention and development services, conflict resolution strategies, business continuity, business succession, and quarterly business reviews.
2. Accelerated Consulting and Management, LLC. Financial consulting company and management company for various US based entities. Provides consulting services to AWA clients.
3. Accelerated Consulting and Management LLC Series 2. Financial consulting company and management company for various US based entities. Provides consulting services to AWA clients.
4. Accelerated Wealth LLC. Insurance Services.
5. Arche Media, LLC. Holding company that owns business entities and investment accounts. Handles the day-to-day management decisions of the various entities, as well as determining potential investment opportunities.
6. ASI Capital, LLC. Management company for three pooled investments.
7. ASI Healthcare Capital Partners GP, LLC. General partner for the healthcare fund under ASI Capital. Private equity fund that pooled multiple Healthcare investment opportunities
8. AW Holdings, LLC. Commercial property holding company that finds, purchases, and manages commercial properties in Colorado.
9. Blackheath Partners Brokerage LLC - Insurance services.
10. Centennial Advisor Group, LLC d/b/a Design Wealth. Financial services company providing financial planning and management services. Advisory services are provided through RFG Advisory, LLC, and SEC-registered investment adviser firm. Design Wealth and RFG Advisory are unaffiliated entities.
11. CreatingYourBest.com, LLC. Author and Public Speaker. Financial and spiritual consulting.
12. Creative Life Investment Group, LLC - residential property holding company that finds, purchases, and rents out properties in Kentucky.
13. Deut 28 Dynasty Trust. Trustmaker. Personal trust established to be an ultimate parent company of entities and legal organizations, including Accelerated Wealth Advisors. Trustee is Nummi & Associates PA.
14. Legacy Hills, LLC - Commercial Real Estate Holding Company.
15. Lighthouse Financial Enterprises, LLC (49% Controlled by Arche Media, LLC). Parent Company of Lighthouse Financial, LLC, a state registered investment adviser firm.
16. MAJ Properties, LLC - Residential property holding company that finds, purchases, and manages rental properties in Colorado and Tennessee.





## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

17. Q2 Wealth Management LLC (24% Controlled by Deut 28 Dynasty Trust). SEC-registered investment adviser.
18. South Pointe Square Tennessee, LLC. Commercial Development Company. Created for the purchase and commercial development of land in Nashville Tennessee
19. Walton Family Partners I, LLLP. Limited liability partnership that owns Arche Media, LLC.
20. Walton Management, LLC. Formed for general business and administrative management purposes of Accelerated Wealth Advisors and related companies.
21. WE Holding LLC. Created for purposes of keeping personal real estate assets and rental properties through Airbnb.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	1

### Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Regulator
<b>Regulatory Action Initiated By:</b>	Colorado Division of Securities
<b>Sanction(s) Sought:</b>	Monetary Penalty other than Fines
<b>Date Initiated:</b>	03/21/2017
<b>Docket/Case Number:</b>	2017-CDS-008
<b>URL for Regulatory Action:</b>	
<b>Employing firm when activity occurred which led to the regulatory action:</b>	Accelerated Wealth, LLC
<b>Product Type:</b>	Promissory Note
<b>Allegations:</b>	Respondents, which included Walton, Walton Financial, LLC, and Accelerated Wealth, LLC, offered and sold ASI Capital securities without the offering being registered. The respondents also acted as an unlicensed broker/dealer in offering and selling ASI Capital securities, and employed unlicensed sales agents. Walton also acted as an unlicensed sales representative.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Stipulation and Consent
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No



<b>Resolution Date:</b>	04/04/2017
<b>Sanctions Ordered:</b>	Monetary Penalty other than Fines Other: Walton and Accelerated Wealth are ordered to cease and desist from effectuating purchases and sales of securities for the accounts of others in Colorado without a broker-dealer license, engaging in the sale of securities in Colorado through persons not registered with the Securities Commissioner, and engaging in the sale of unregistered securities in Colorado, unless the securities are registered or exempt from registration.
<b>Monetary Sanction 1 of 2</b>	
<b>Monetary Related Sanction:</b>	Monetary Penalty other than Fines
<b>Total Amount:</b>	\$150,000.00
<b>Portion Levied against individual:</b>	\$150,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	
<b>Monetary Sanction 2 of 2</b>	
<b>Monetary Related Sanction:</b>	Monetary Penalty other than Fines
<b>Total Amount:</b>	\$50,000.00
<b>Portion Levied against individual:</b>	\$50,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	
<b>Regulator Statement</b>	Respondents, which included Walton, Walton Financial, LLC, and Accelerated Wealth, LLC, offered and sold ASI Capital securities without the offering being registered. The respondents also acted as an unlicensed broker/dealer in offering and selling ASI Capital securities, and employed unlicensed sales agents. Walton also acted as an unlicensed sales representative. .....
<b>Reporting Source:</b>	Individual
<b>Regulatory Action Initiated By:</b>	Colorado Division of Securities
<b>Sanction(s) Sought:</b>	Monetary Penalty other than Fines
<b>Date Initiated:</b>	03/22/2017
<b>Docket/Case Number:</b>	2017-CDS-008



<b>Employing firm when activity occurred which led to the regulatory action:</b>	Accelerated Wealth, LLC
<b>Product Type:</b>	Promissory Note
<b>Allegations:</b>	Respondents, which included Walton, Walton Financial, LLC, and Accelerated Wealth, LLC, offered and sold ASI Capital securities without the offering being registered. The respondents also acted as an unlicensed broker/dealer in offering and selling ASI Capital securities, and employed unlicensed sales agents. Walton also acted as an unlicensed sales representative.
<b>Current Status:</b>	Final
<b>Resolution:</b>	Stipulation and Consent
<b>Does the order constitute a final order based on violations of any laws or regulations that prohibit fraudulent, manipulative, or deceptive conduct?</b>	No
<b>Resolution Date:</b>	04/04/2017
<b>Sanctions Ordered:</b>	Monetary Penalty other than Fines Other: Walton and Accelerated Wealth are ordered to cease and desist from effectuating purchases and sales of securities for the accounts of others in Colorado without a broker-dealer license, engaging in the sale of securities in Colorado through persons not registered with the Securities Commissioner, and engaging in the sale of unregistered securities in Colorado, unless the securities are registered or exempt from registration.
<b>Monetary Sanction 1 of 2</b>	
<b>Monetary Related Sanction:</b>	Monetary Penalty other than Fines
<b>Total Amount:</b>	\$150,000.00
<b>Portion Levied against individual:</b>	\$150,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	
<b>Was any portion of penalty waived?</b>	No
<b>Amount Waived:</b>	
<b>Monetary Sanction 2 of 2</b>	
<b>Monetary Related Sanction:</b>	Monetary Penalty other than Fines
<b>Total Amount:</b>	\$50,000.00
<b>Portion Levied against individual:</b>	\$50,000.00
<b>Payment Plan:</b>	
<b>Is Payment Plan Current:</b>	
<b>Date Paid by individual:</b>	



**Was any portion of penalty waived?**

No

**Amount Waived:**

**Broker Statement**

Bill Walton, co-founder of Accelerated Wealth (AW) and a control person of ASI Capital LLC offered investments to his clients created by ASI Capital LLC between 2012-2014. At the time, Walton, working with ASI Capital's attorneys believed that the notes were properly being offered and met all legal and securities requirements. At the conclusion of a regulatory inquiry by Colorado Department of Securities, they alleged that the method used to offer these promissory notes was done incorrectly. On April 4, 2017, Bill Walton, settled the inquiry by entering into a Stipulation for Consent Order and Consent Order with the Colorado Division of Securities (the "Division") to avoid the cost and uncertainty of litigation and in the best interest of the clients. This settlement does not involve any admission of wrongdoing by the parties, however does include certain monetary and non-monetary provisions.

It is important to note that this settlement agreement is not related to and has had no bearing on the performance of the promissory notes issued from 2012-2014. Additionally, this agreement has not affected the ability of the fund to meet its current or future obligations. Since 2015 all securities have been offered under Accelerated Wealth Advisors (AWA), a licensed RIA firm in good standing with the SEC and state of Colorado.

Mr. Walton and AW agreed to pay \$50,000 to the Securities Commissioner. Mr. Walton and his wholly-owned affiliate, Walton Financial, LLC, also agreed to pay \$150,000 to the Securities Commissioner.

This Consent Order concludes the inquiry by the Staff of the Colorado Division of Securities into this matter and is not intended to subject any of the parties to any disqualification under the laws of the United States, including, without limitation, any disqualification from relying upon the state or federal registration exemptions or safe harbor provisions.

This Order also does not disqualify any of the parties from any business that they are permitted to perform under the Colorado securities laws.



## End of Report

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