



## IAPD Report

# JORDAN LEE CROWLEY

CRD# 6225976

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### JORDAN LEE CROWLEY (CRD# 6225976)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/18/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	RAYMOND JAMES FINANCIAL SERVICES, INC.	CRD# 6694	08/11/2025
IA	RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC	CRD# 149018	08/11/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **12** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	M HOLDINGS SECURITIES, INC.	43285	Morristown, NJ	06/27/2023 - 08/11/2025
B	M HOLDINGS SECURITIES, INC.	43285	Morristown, NJ	01/20/2023 - 08/11/2025
IA	MALTIN WEALTH MANAGEMENT, INC.	164330	PARAMUS, NJ	04/18/2017 - 12/10/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1
Judgment/Lien	1



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **12** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES, INC.**  
Main Address: 880 CARILLON PARKWAY  
ST. PETERSBURG, FL 33716  
Firm ID#: 6694

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	08/11/2025
<b>B</b>	Florida	Agent	Approved	12/15/2025
<b>B</b>	Indiana	Agent	Approved	12/15/2025
<b>B</b>	Kansas	Agent	Approved	12/15/2025
<b>B</b>	Maryland	Agent	Approved	12/18/2025
<b>B</b>	Missouri	Agent	Approved	01/13/2026
<b>B</b>	New Jersey	Agent	Approved	08/11/2025
<b>B</b>	New York	Agent	Approved	12/15/2025
<b>B</b>	North Carolina	Agent	Approved	08/13/2025
<b>B</b>	Pennsylvania	Agent	Approved	12/15/2025
<b>B</b>	South Carolina	Agent	Approved	12/17/2025
<b>B</b>	Tennessee	Agent	Approved	12/02/2025
<b>B</b>	Texas	Agent	Approved	12/02/2025



## Qualifications

### Branch Office Locations

#### RAYMOND JAMES FINANCIAL SERVICES

67 E Park Pl  
Ste 750  
Morristown, NJ 07960

### Employment 2 of 2

Firm Name: **RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC**  
Main Address: 880 CARILLON PARKWAY  
SAINT PETERSBURG, FL 33716  
Firm ID#: 149018

Regulator	Registration	Status	Date
IA New Jersey	Investment Adviser Representative	Approved	08/11/2025
IA Texas	Investment Adviser Representative	Restricted Approval	12/15/2025

### Branch Office Locations

#### RAYMOND JAMES FINANCIAL SERVICES ADVISORS, INC

67 E. Park Place  
Suite 750  
Morristown, NJ 07960



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**



#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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 General Securities Representative Examination (S7TO)	Series 7TO	01/20/2023
 Securities Industry Essentials Examination (SIE)	SIE	12/23/2022

#### State Securities Law Exams

Exam	Category	Date
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 Uniform Securities Agent State Law Examination (S63)	Series 63	09/18/2023
 Uniform Investment Adviser Law Examination (S65)	Series 65	02/24/2017

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

#### Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	06/27/2023 - 08/11/2025	M HOLDINGS SECURITIES, INC.	CRD# 43285	Morristown, NJ
B	01/20/2023 - 08/11/2025	M HOLDINGS SECURITIES, INC.	CRD# 43285	Morristown, NJ
IA	04/18/2017 - 12/10/2019	MALTIN WEALTH MANAGEMENT, INC.	CRD# 164330	PARAMUS, NJ

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2025 - Present	Eagle Rock Wealth Mgmt dba Eagle Rock, A Greenberg & Rapp Co.	Advisor; DBA/Support Co.	N	Morristown, NJ, United States
08/2025 - Present	Raymond James Financial Services Advisors, Inc.	Investment Advisor Representative	Y	Morristown, NJ, United States
08/2025 - Present	Raymond James Financial Services, Inc.	Registered Representative	Y	Morristown, NJ, United States
09/2022 - 08/2025	Greenberg & Rapp	Wealth Manager	Y	Morristown, NJ, United States
09/2022 - 08/2025	M Holdings Securities, Inc.	Registered Representative	Y	Portland, OR, United States
04/2022 - 09/2022	Alpine Country Club	Outside Services	N	Demarest, NJ, United States
12/2021 - 03/2022	2nd Swing	Golf Club Fitter	N	Scottsdale, AZ, United States
12/2021 - 03/2022	Desert Mountain Country Club	Outside Services	N	Scottsdale, AZ, United States
05/2021 - 11/2021	PGA Tour Superstore	Golf Club Fitter	N	Paramus, NJ, United States
12/2019 - 04/2021	Unemployed	Unemployed	N	Palisades Park, NJ, United States
08/2016 - 12/2019	Maltin Wealth Management	Associate Financial Planner	Y	Paramus, NJ, United States
04/2015 - 07/2016	SunTrust Bank	Specialty Loan Service Rep	N	Nashville, TN, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) Name of Business: Eagle Rock Wealth Management Address: 67 E Park Pl Ste 750, Morristown, NJ, 07960-7103, United States Activity Type: Support Company - Non Owner Position/Title: Associate/Employee Investment Related: No Start Date: 09/13/2022 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 41+ Description of duties: Financial Advisor

(2) Name of Business: Greenberg & Rapp Address: 67 E Park Pl Ste 750, Morristown, NJ, 07960-7103, United States Activity Type: Support Company - Non Owner Position/Title: Associate/Employee Investment Related: No Start Date: 09/12/2022 Hours per month devoted to this business: 81+ Hours per month devoted to this business during trading hours: 41+ Description of duties: Financial Advisor

3. Eagle Rock Wealth Management Inc. dba Eagle Rock, A Greenberg & Rapp Company  
Non-Investment Related  
Morristown, New Jersey  
DBA/Support Company  
Advisor  
Started: 08/11/2025  
0 hrs per month  
0 hrs during trading hrs



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1
Judgment/Lien	1

### Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

#### Disclosure 1 of 1

**Reporting Source:** Firm

**Firm Name:** Maltin Wealth Management, Inc.

**Termination Type:** Discharged

**Termination Date:** 12/10/2019

**Allegations:** Firm discovered a series of questionable money movements in personal brokerage and checking accounts which employee turned out to have submitted knowing them to be NSF.

**Product Type:** No Product

**Reporting Source:** Individual

**Firm Name:** Maltin Wealth Management, Inc.

**Termination Type:** Discharged

**Termination Date:** 12/10/2019

**Allegations:** Firm discovered a series of questionable money movement in personal brokerage and checking accounts which employee turned out to have submitted knowing them to be NSF.

**Product Type:** No Product

**Broker Statement** A disclosure was placed on my U4 from Maltin Wealth Management after termination in December 2019. The disclosure states the firm discovered a series of questionable money movement in personal brokerage and checking accounts, which employee knew were NSF. The allegations referred to several instances in which I had written checks from my brokerage account, which was also my checking account, which ended up bouncing. The account was at Fidelity who



was the custodian for the firm. At the time I was very much living paycheck to paycheck and transaction would occur that might not make funds available for the transaction in time, or I couldn't sell securities and have the transaction clear in time to make withdrawable cash available to cover the transactions. In every instances this occurred, I notified Fidelity to issue stop payments on the checks. My employer terminated me and placed the disclosure after we had a lengthy discussion about salary and how I was struggling at the time to make ends meet and was asking for a higher salary. My employer was not happy with this discussion.



### Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>Judgment/Lien Holder:</b>	Mariner Financem LLC
<b>Judgment/Lien Amount:</b>	\$10,558.00
<b>Judgment/Lien Type:</b>	Civil
<b>Date Filed with Court:</b>	10/19/2021
<b>Date Individual Learned:</b>	09/14/2022
<b>Type of Court:</b>	State Court
<b>Name of Court:</b>	Superior Court Special Civil Part
<b>Location of Court:</b>	Hackensack, New Jersey
<b>Docket/Case #:</b>	DC01236521
<b>Judgment/Lien Outstanding?</b>	Yes



## End of Report

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