



IAPD Report

TIMOTHY PAUL SCHWISTER

CRD# 6315494

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TIMOTHY PAUL SCHWISTER (CRD# 6315494)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/27/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	GLOBAL VIEW CAPITAL MANAGEMENT LLC	CRD# 158292	06/05/2020

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	NYLIFE SECURITIES LLC	5167	MILWAUKEE, WI	08/25/2015 - 03/01/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Judgment/Lien	1





Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **GLOBAL VIEW CAPITAL MANAGEMENT LLC**
Main Address: N14 W23833 STONE RIDGE DR - SUITE 350
WAUKESHA, WI 53188
Firm ID#: 158292

	Regulator	Registration	Status	Date
	Texas	Investment Adviser Representative	Restricted Approval	01/31/2024
	Wisconsin	Investment Adviser Representative	Approved	06/05/2020

Branch Office Locations

GLOBAL VIEW CAPITAL MANAGEMENT LLC
N14 W23833 STONE RIDGE DR - SUITE 350
WAUKESHA, WI 53188



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	08/25/2015

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	06/03/2020
Uniform Securities Agent State Law Examination (S63)	Series 63	01/10/2020

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	08/25/2015 - 03/01/2019	NYLIFE SECURITIES LLC	CRD# 5167	MILWAUKEE, WI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2020 - Present	ALLIED NATIONAL	INSURANCE AGENT- HEALTH	N	OVERLAND PARK, KS, United States
10/2020 - Present	AMERICAN NATIONAL INSURANCE COMPANY	INSURANCE AGENT- HEALTH	N	SPRINGFIELD, MO, United States
04/2020 - Present	GLOBAL VIEW CAPITAL MANAGEMENT, LLC	INVESTMENT ADVISER REPRESENTATIVE	Y	WAUKESHA, WI, United States
03/2020 - Present	GLOBAL VIEW CAPITAL INSURANCE SERVICES, LLC	INSURANCE AGENT	Y	WAUKESHA, WI, United States
02/2020 - Present	GLOBAL VIEW CAPITAL ADVISORS, LLC	INDEPENDENT CONTRACTOR	Y	WAUKESHA, WI, United States
06/2019 - Present	SWIS FINANCIAL, LLC	MEMBER & INSURANCE AGENT- HEALTH, VISION & DENTAL	Y	SAUKVILLE, WI, United States
10/2020 - 01/2023	AETNA MEDICARE	INSURANCE AGENT- MEDICARE	N	HARTFORD, CT, United States
10/2020 - 01/2023	CIGNA HEALTH INSURANCE	INSURANCE AGENT- HEALTH	N	BLOOMFIELD, CT, United States
09/2020 - 01/2022	REALM HEALTH	INSURANCE AGENT- HEALTH	N	DALLAS, TX, United States
12/2014 - 03/2019	NYLIFE SECURITIES, LLC	REGISTERED REPRESENTATIVE	Y	MILWAUKEE, WI, United States
03/2014 - 01/2019	NEW YORK LIFE INSURANCE	INSURANCE AGENT	Y	MILWAUKEE, WI, United States
12/2017 - 12/2017	CREAM CITY CASTING	INDEPENDENT CONTRACTOR	N	BAYVIEW, WI, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

[1] SCHWISTER WEALTH & INSURANCE SERVICES & SOLUTIONS, LLC D/B/A SWIS FINANCIAL, LLC; NOT; 971 S. COLONIAL PARKWAY, SAUKVILLE, WI 53080; ENTITY FOR SELLING INSURANCE; MEMBER & INS. AGENT-HEALTH, VISION & DENTAL; 06.25.2019; APPROX. 2-4 HRS/MO; APPROX. 1 HR/MO DURING TRADING; MARKET, EDUCATE AND SELL HEALTH, VISION & DENTAL INSURANCE.

[2] GLOBAL VIEW CAPITAL ADVISORS, LLC, AN AFFILIATE OF GLOBAL VIEW CAPITAL MANAGEMENT, LLC; YES; N14W23833 STONE RIDGE DRIVE, SUITE 350, WAUKESHA, WI 53188; MARKETING FIRM FOR INSURANCE & ADVISORY SERVICES; INDEPENDENT CONTRACTOR; AFFILIATED 02.26.2020; 40 ADVISORY HRS/MO DURING TRADING; 25 ADVISORY HRS/MO OUTSIDE TRADING; MARKETING, EDUCATION AND SALES OF GVCM ADVISORY SERVICES AND GVCI INSURANCE SERVICES. GVCI HOURS LISTED SEPARATELY IF APPLICABLE.

[3] GLOBAL VIEW CAPITAL INSURANCE SERVICES, LLC, AN AFFILIATE OF GLOBAL VIEW CAPITAL ADVISORS, LLC; YES; N14W23833 STONE RIDGE DRIVE, SUITE 350, WAUKESHA, WI 53188; INSURANCE; INS. AGENT; 03.19.2020; 60 HRS/MO DURING TRADING; 60 HRS/MO OUTSIDE TRADING; MARKETING, EDUCATION AND SALES OF FIXED INSURANCE PRODUCTS FOR MULTIPLE PROVIDER COMPANIES WITH FOCUS ON SALE OF LIFE, LONG TERM CARE AND DISABILITY INSURANCE PRODUCTS AND FIXED ANNUITIES.

[4] ALLIED NATIONAL; NOT; 4551 W. 107TH STREET, SUITE 100, OVERLAND PARK, KS 66207.4037; INSURANCE; INS. AGENT-HEALTH; 10.14.2020; 6 HRS/MO DURING TRADING; 6 HRS/MO OUTSIDE TRADING; MARKET AND SELL PRIVATE HEALTH INSURANCE TO INDIVIDUALS.

[5] AMERICAN NATIONAL INSURANCE COMPANY; NOT; P.O. BOX 10546, SPRINGFIELD, MO 65808-0546; INSURANCE; INS. AGENT-HEALTH; 10.14.2020; APPROX. 1 HR/MO DURING TRADING; APPROX. 1 HR/MO OUTSIDE TRADING; MARKET AND SELL HEALTH INSURANCE TO INDIVIDUALS AND BUSINESSES WITH MAIN FOCUS ON GROUP INSURANCE POLICIES.

[6] THE DEBT SHREDDER; NOT; BLANDON, PA 19510; DEBT REDUCTION SERVICES; AGENT; 05.01.2023; APPROX. 5 HRS/MO DURING TRADING; APPROX. 29 HRS/MO OUTSIDE TRADING; MARKET AND SELL DEBT MANAGEMENT SERVICES TO CONSUMERS.

[7] EXPERIOR FINANCIAL GROUP, INC.; YES; HQ ADDR: 300 AIRBORNE PARKWAY, SUITE 208, CHEEKTOWAGO, NY 14225; INSURANCE; INS. AGENT; 02.09.2023; APPROX.24 HRS/MO DURING TRADING; APPROX. 24 HRS/MO OUTSIDE TRADING; MARKET, EDUCATE AND SELL LIFE PRODUCTS INCLUDING FIXED AND INDEXED ANNUITIES.

[8] LOCAL AD FACES; NOT; 555 FIFTH AVE.,18TH FL, NEW YORK, NY 10017; ADVERTISING; AGENT; 08.17.2023; ZERO HRS/MO DURING TRADING; 1 HR/MO OUTSIDE; MARKET WITH ELECTRONIC BILLBOARDS IN RESTAURANTS.

[9] WISACT (THE WISCONSIN ALLIANCE FOR CIVIC TRUST); NOT; ONE COPENHILL, 453 JOHN LEWIS FREEDOM PARKWAY NE 30307, ALANTA, GEORGIA 30307; CROSS-PARTISAN ENTITY; REGIONAL DIRECTOR-VOLUNTEER; 09.13.2024; ZERO HRS DURING TRADING; APPOX. 8 HRS/MO OUTSIDE TRADING; FUNDRAISING, ADVISE GRANT DISTRIBUTIONS, NO MONETARY RESPONSIBILITIES.

[10] EXCEL EMPIRE; NOT; 1555 PALM BEACH LAKES BLVD. WEST PALM BEACH FLORIDA 33401; EXPERT TAX REDUCTION & ACCOUNTING.; REPRESENTATIVE; 2025-08-19; ZERO HRS/MO DURING TRADING; APPROX. 4 HRS/MO OUTSIDE TRADING; REFER PEOPLE TO EXCEL EMPIRE FOR ANALYSIS OF TAX SAVINGS AND/OR ACCOUNTING SERVICES.

[11] THE SCHWISTER GROUP; YES; 971 S.COLONIAL PARKWAY, SAUKVILLE, WI 53080; INVESTMENT SIGNAL PROVIDER; CEO; 08.19.2025; APPROX. 10 HRS/MO DURING TRADING; APPROX.. 20 HRS/MO OUTSIDE TRADING;



Registration & Employment History



OTHER BUSINESS ACTIVITIES

OVERSEE DESIGNING INVESTMENT MODELS AND SELLING SIGNALS REQUIRED TO TRADE THEM.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Judgment/Lien	1
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Judgment/Lien

This disclosure event involves an unsatisfied and outstanding judgment or lien against the Investment Adviser Representative.

Disclosure 1 of 1

Reporting Source:	Individual
Judgment/Lien Holder:	WORLDWIDE ASSET PURCHASING
Judgment/Lien Amount:	\$6,058.54
Judgment/Lien Type:	Civil
Date Filed with Court:	09/28/2014
Date Individual Learned:	02/08/2005
Type of Court:	State Court
Name of Court:	MILWAUKEE COUNTY COURT
Location of Court:	MILWAUKEE COUNTY, WISCONSIN
Docket/Case #:	2004CV008532
Judgment/Lien Outstanding?	Yes



End of Report

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