



IAPD Report

James William Gibney

CRD# 6400117

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

James William Gibney (CRD# 6400117)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/10/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	J.P. MORGAN SECURITIES LLC	CRD# 79	12/05/2023
IA	J.P. MORGAN SECURITIES LLC	CRD# 79	12/05/2023

QUALIFICATIONS

This representative is currently registered in **27** SRO(s) and **25** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIDELITY PERSONAL AND WORKPLACE ADVISORS	288590	STUART, FL	03/16/2022 - 11/30/2023
B	FIDELITY BROKERAGE SERVICES LLC	7784	MERRIMACK, NH	03/02/2022 - 11/24/2023
IA	EAGLE STRATEGIES LLC	110826	BOCA RATON, FL	11/17/2021 - 01/12/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **25** jurisdiction(s) and 27 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**
Main Address: 270 PARK AVENUE
NEW YORK, NY 10017
Firm ID#: 79

Regulator	Registration	Status	Date
B 24X National Exchange LLC	General Securities Representative	Approved	10/31/2025
B BOX Exchange LLC	General Securities Representative	Approved	12/05/2023
B Cboe BYX Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B Cboe BZX Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B Cboe C2 Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B Cboe Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B FINRA	General Securities Representative	Approved	12/05/2023
B FINRA	Invest. Co and Variable Contracts	Approved	12/05/2023
B Investors' Exchange LLC	General Securities Representative	Approved	12/05/2023
B Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	12/05/2023
B MEMX LLC	General Securities Representative	Approved	12/05/2023



Qualifications

Regulator	Registration	Status	Date
B MIAX Emerald, LLC	General Securities Representative	Approved	12/05/2023
B MIAX PEARL, LLC	General Securities Representative	Approved	12/05/2023
B MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B Miami International Securities Exchange, LLC	General Securities Representative	Approved	12/05/2023
B NYSE American LLC	General Securities Representative	Approved	12/05/2023
B NYSE Arca, Inc.	General Securities Representative	Approved	12/05/2023
B NYSE National, Inc.	General Securities Representative	Approved	12/05/2023
B NYSE Texas, Inc.	General Securities Representative	Approved	12/05/2023
B Nasdaq GEMX, LLC	General Securities Representative	Approved	12/05/2023
B Nasdaq ISE, LLC	General Securities Representative	Approved	12/05/2023
B Nasdaq MRX, LLC	General Securities Representative	Approved	12/05/2023
B Nasdaq PHLX LLC	General Securities Representative	Approved	12/05/2023
B Nasdaq Stock Market	General Securities Representative	Approved	12/05/2023
B Nasdaq Texas, LLC	General Securities Representative	Approved	12/05/2023
B New York Stock Exchange	General Securities Representative	Approved	12/05/2023
B Alabama	Agent	Approved	04/01/2025
B Arizona	Agent	Approved	05/10/2026
B Arkansas	Agent	Approved	10/16/2024



Qualifications

Regulator	Registration	Status	Date
B Colorado	Agent	Approved	04/23/2024
B Connecticut	Agent	Approved	02/24/2026
B Delaware	Agent	Approved	02/24/2026
B Florida	Agent	Approved	12/05/2023
IA Florida	Investment Adviser Representative	Approved	12/18/2023
B Illinois	Agent	Approved	04/07/2025
B Indiana	Agent	Approved	06/25/2024
B Kentucky	Agent	Approved	01/27/2025
B Maine	Agent	Approved	05/10/2026
B Massachusetts	Agent	Approved	05/08/2024
B Nevada	Agent	Approved	03/24/2025
B New Jersey	Agent	Approved	06/13/2024
B New York	Agent	Approved	01/19/2024
B North Carolina	Agent	Approved	12/05/2023
B Ohio	Agent	Approved	05/14/2024
B Pennsylvania	Agent	Approved	01/16/2025
B Puerto Rico	Agent	Approved	03/18/2026
B South Carolina	Agent	Approved	05/25/2025
B South Dakota	Agent	Approved	01/21/2025



Qualifications

Regulator	Registration	Status	Date
B Tennessee	Agent	Approved	07/01/2025
B Texas	Agent	Approved	12/05/2023
IA Texas	Investment Adviser Representative	Approved	12/05/2023
B Virginia	Agent	Approved	09/10/2024
B Wyoming	Agent	Approved	03/28/2024

Branch Office Locations

J.P. MORGAN SECURITIES LLC
1701 NW ST LUCIE WEST BLVD.
PORT ST. LUCIE, FL 34986



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 4 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
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No information reported.




General Industry/Product Exams

Exam	Category	Date
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 General Securities Representative Examination (S7TO)	Series 7TO	07/07/2021
 Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	09/12/2020
 Securities Industry Essentials Examination (SIE)	SIE	06/26/2020
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	10/11/2014

State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	10/05/2021
 Uniform Securities Agent State Law Examination (S63)	Series 63	10/03/2020

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/16/2022 - 11/30/2023	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	STUART, FL
B	03/02/2022 - 11/24/2023	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	MERRIMACK, NH
IA	11/17/2021 - 01/12/2022	EAGLE STRATEGIES LLC	CRD# 110826	BOCA RATON, FL
B	09/14/2020 - 01/12/2022	NYLIFE SECURITIES LLC	CRD# 5167	BOCA RATON, FL
B	06/15/2021 - 06/24/2021	HORNOR, TOWNSEND & KENT, LLC	CRD# 4031	BOCA RATON, FL
B	10/11/2014 - 05/05/2016	STATE FARM VP MANAGEMENT CORP.	CRD# 43036	BLOOMINGTON, IL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2023 - Present	J.P. MORGAN SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	Port St Lucie, FL, United States
12/2023 - Present	JPMORGAN CHASE BANK, N.A.	WORKFORCE MEMBER	Y	Port St Lucie, FL, United States
08/2017 - Present	James Gibney Coaching, Inc.	Employee/Contractor	N	Stuart, FL, United States
03/2022 - 11/2023	Fidelity Personal And Workplace Advisors	Registered Rep	Y	Merrimack, NH, United States
01/2022 - 11/2023	FIDELITY INVESTMENTS	Workplace Planning Consultant III	Y	MERRIMACK, NH, United States
01/2022 - 11/2023	Fidelity Brokerage Services LLC	Registered Rep	Y	Merrimack, NH, United States
11/2021 - 01/2022	Eagle Strategies LLC	IAR	Y	BOCA RATON, FL, United States
08/2021 - 01/2022	THE BRIDGE GROUP, INC.	Associate	Y	Boca Raton, FL, United States
08/2020 - 01/2022	NYLIFE Securities LLC	Registered Rep	Y	Sunrise, FL, United States
06/2020 - 01/2022	New York Life Insurance Company	Agent	Y	Sunrise, FL, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2017 - 08/2021	Southwestern Consulting	Consulting	N	Nashville, TN, United States
06/2021 - 06/2021	Hornor, Townsend & Kent, LLC	Registered Rep	Y	HORSHAM, PA, United States
06/2021 - 06/2021	PENN MUTUAL LIFE INSURANCE COMPANY	AGENT	Y	HORSHAM, PA, United States
05/2015 - 07/2017	JAMES GIBNEY INSURANCE AGENCY	OWNER/OPERATOR	Y	SALT LAKE CITY, UT, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Entity Name: James Gibney Coaching, Inc.

Investment related: No

Address: Stuart, FL 34997

Nature of the other business: Coaching Instructor

Position/Title/Relationship: Employee/Contractor

Start Date: 08/01/2017

Approximate # of hours a week: 0-10

Approximate # of hours during securities trading hours: 0

Briefly describe your duties: I provide Sales and Leadership Coaching to legacy coaching clients outside of business hours spending less than 10 hours per week per FINRA filing.



End of Report

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