



IAPD Report

GREGORY GIFFORD GILES

CRD# 6437435

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

GREGORY GIFFORD GILES (CRD# 6437435)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/12/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	RDA FINANCIAL NETWORK	CRD# 147309	06/09/2017
B	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	12/13/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	20804	CORALVILLE, IA	06/02/2017 - 01/17/2020
IA	ROBERT W. BAIRD & CO. INCORPORATED	8158	SIOUX CITY, IA	08/07/2015 - 12/14/2016
B	ROBERT W. BAIRD & CO. INCORPORATED	8158	SIOUX CITY, IA	03/19/2015 - 12/14/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 8 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **RDA FINANCIAL NETWORK**
Main Address: 321 REED STREET
SUITE #2
AKRON, IA 51001
Firm ID#: 147309

Regulator	Registration	Status	Date
IA Iowa	Investment Adviser Representative	Approved	06/09/2017
IA Nebraska	Investment Adviser Representative	Approved	11/29/2022
IA Texas	Investment Adviser Representative	Restricted Approval	12/06/2022

Branch Office Locations

RDA FINANCIAL NETWORK
505 5TH ST.
100
SIOUX CITY, IA 51101

Employment 2 of 2

Firm Name: **UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER**
Main Address: 7333 EAST DOUBLETREE RANCH RD, SUITE 120
SCOTTSDALE, AZ 85258
Firm ID#: 20804

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	12/13/2021
B California	Agent	Approved	12/13/2021
B Florida	Agent	Approved	12/13/2021



Qualifications

Regulator	Registration	Status	Date
B Iowa	Agent	Approved	12/13/2021
B Maryland	Agent	Approved	12/13/2021
B Minnesota	Agent	Approved	12/13/2021
B Nebraska	Agent	Approved	12/13/2021
B South Dakota	Agent	Approved	12/13/2021
B Texas	Agent	Approved	12/13/2021

Branch Office Locations

**UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A
LIMITED PARTNER**
505 5TH ST.
STE. 604
Sioux City, IA 51101



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

General Industry/Product Exams



Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	03/19/2015
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State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	08/06/2015
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	06/02/2017 - 01/17/2020	UNITED PLANNERS' FINANCIAL SERVICES OF AMERICA A LIMITED PARTNER	CRD# 20804	CORALVILLE, IA
IA	08/07/2015 - 12/14/2016	ROBERT W. BAIRD & CO. INCORPORATED	CRD# 8158	SIoux CITY, IA
B	03/19/2015 - 12/14/2016	ROBERT W. BAIRD & CO. INCORPORATED	CRD# 8158	SIoux CITY, IA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2021 - Present	UNITED PLANNERS FINANCIAL SERVICES	REGISTERED REPRESENTATIVE	Y	SCOTTSDALE, AZ, United States
06/2017 - Present	Legacy Financial, LLC	Agent	Y	Sioux City, IA, United States
06/2017 - Present	Legacy Financial, LLC	Agent	Y	Sioux City, IA, United States
06/2017 - Present	Legacy Financial, LLC	Vice President	Y	Akron, IA, United States
06/2017 - Present	RDA Financial Network	Investment Advisor Representative	Y	Coralville, IA, United States
06/2017 - Present	RDA Financial Network	Investment Advisor Representative	Y	Akron, IA, United States
10/2015 - Present	Rental property, 20744 Berdon St., Woodland Hills, CA 91367, own	owner, with my wife	Y	Woodland Hills, CA, United States
06/2015 - Present	Al-Mar Building Co., LLC	12.5% owner	Y	Sioux City, IA, United States
06/2015 - Present	Auditorium Shopping Area, LLC	3.575% owner	Y	Sioux City, IA, United States
06/2015 - Present	Green Gables Building Co., LLP	18.75% owner	Y	Sioux City, IA, United States
03/2001 - Present	Rental property, 332 W. Diamond Lk. Rd., Minneapolis, MN 55419,	owner, with my wife	Y	Minneapolis, MN, United States
03/2001 - Present	Self Employed	Residential/Commercial Real Estate	Y	Minneapolis, MN, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2017 - 01/2020	United Planners' Financial Services of America	Registered Representative	Y	Scottsdale, AZ, United States
01/2015 - 11/2016	ROBERT W. BAIRD	FINANCIAL ADVISOR	Y	SIOUX CITY, IA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

- 1.) RENTAL PROPERTY, 332 W. DIAMOND LK. RD., MINNEAPOLIS, MN 55419, OWNED BY THE GREGORY & RANDE GILES LIVING REVOCABLE TRUST, FBO GREGORY & RANDE GILES - OWNER WITH WIFE - REAL ESTATE SALES/RENTAL PROPERTIES/ PROPERTY MANAGEMENT - MINNEAPOLIS, MN - SINCE 03/2021 - INVESTMENT RELATED
- 2.) RENTAL PROPERTY, 20744 BERDON ST., WOODLAND HILLS, CA 91367, OWNED BY THE GREGORY & RANDE GILES LIVING REVOCABLE TRUST, FBO GREGORY & RANDE GILES - OWNER WITH WIFE - REAL ESTATE SALES/RENTAL PROPERTIES/ PROPERTY MANAGEMENT - WOODLAND HILLS, CA - SINCE 10/2015 - INVESTMENT RELATED
- 3.) AUDITORIUM SHOPPING AREA, LLC - 3.575% OWNER - REAL ESTATE SALES/RENTAL PROPERTIES/ PROPERTY MANAGEMENT - SIOUX CITY, IA - SINCE 06/2015 - INVESTMENT RELATED
- 4.) AL-MAR BUILDING CO., LLC - 12.5% OWNER - REAL ESTATE SALES/RENTAL PROPERTIES/ PROPERTY MANAGEMENT - SIOUX CITY, IA - SINCE 06/2015 - INVESTMENT RELATED
- 5.) GREEN GABLES BUILDING CO., LLP - 18.75% OWNER - REAL ESTATE SALES/RENTAL PROPERTIES/ PROPERTY MANAGEMENT - SIOUX CITY, IA - SINCE 06/2015 - INVESTMENT RELATED
- 6.) LEGACY FINANCIAL, LLC - VICE PRESIDENT - DBA NAME FOR MARKETING PURPOSES ONLY - AKRON, IA - SINCE 06/2017 - INVESTMENT RELATED
- 7.) LEGACY FINANCIAL, LLC - AGENT - NON-VARIABLE INSURANCE - SIOUX CITY, IA - SINCE 06/2017 - INVESTMENT RELATED
- 8.) RDA FINANCIAL NETWORK - INVESTMENT ADVISOR REPRESENTATIVE - REGISTERED INVESTMENT ADVISOR - AKRON, IA - SINCE 06/2017 - INVESTMENT RELATED



End of Report

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