



IAPD Report

Aram Urfalyan

CRD# 6470898

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5
Disclosure Information	6



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Aram Urfalyan (CRD# 6470898)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/20/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	ACRYLIC FINANCIAL, INC.	CRD# 288263	03/20/2024

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	BROOKLIGHT PLACE SECURITIES, INC.	15764	FOUNTAIN HILLS, AZ	03/13/2024 - 06/20/2025
IA	CONCOURSE FINANCIAL GROUP ADVISORS	15708	Glendale, CA	03/16/2023 - 03/04/2024
B	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	15708	Glendale, CA	03/15/2023 - 03/04/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Termination	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **ACRYLIC FINANCIAL, INC.**
Main Address: 16930 EAST PALISADES BLVD.
 SUITE 100-C
 FOUNTAIN HILLS, AZ 85268
Firm ID#: 288263

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	03/20/2024

Branch Office Locations

ACRYLIC FINANCIAL, INC.
Glendale, CA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	04/11/2017
B Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	04/27/2015

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	05/05/2017
B Uniform Securities Agent State Law Examination (S63)	Series 63	05/07/2015

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	03/13/2024 - 06/20/2025	BROOKLIGHT PLACE SECURITIES, INC.	CRD# 15764	FOUNTAIN HILLS, AZ
IA	03/16/2023 - 03/04/2024	CONCOURSE FINANCIAL GROUP ADVISORS	CRD# 15708	Glendale, CA
B	03/15/2023 - 03/04/2024	CONCOURSE FINANCIAL GROUP SECURITIES, INC.	CRD# 15708	Glendale, CA
IA	02/12/2018 - 03/24/2023	PRUDENTIAL FINANCIAL PLANNING SERVICES	CRD# 5685	SHERMAN OAKS, CA
B	01/12/2018 - 03/24/2023	PRUCO SECURITIES, LLC.	CRD# 5685	SHERMAN OAKS, CA
IA	05/08/2017 - 01/02/2018	WESTERN INTERNATIONAL SECURITIES	CRD# 39262	WESTLAKE VILLAGE, C
B	03/08/2017 - 01/02/2018	WESTERN INTERNATIONAL SECURITIES, INC.	CRD# 39262	WESTLAKE VILLAGE, C
B	04/28/2015 - 03/14/2017	J.P. MORGAN SECURITIES LLC	CRD# 79	SIMI VALLEY, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2024 - Present	Acrylic Financial, LLC	Investment Adviser Representative	Y	Van Nuys, CA, United States
02/2024 - Present	Brooklight Place Securities	Registered Representative	Y	Van Nuys, CA, United States
03/2023 - 02/2024	Concourse Financial Group Securities Inc	Financial Professional	Y	Glendale, CA, United States
01/2018 - 03/2023	Pruco Securities, LLC.	Registered Representative	Y	Sherman Oaks, CA, United States
01/2018 - 03/2023	Prudential Insurance Company of America	Financial Professional	Y	Sherman Oaks, CA, United States
03/2017 - 01/2018	Western Internaltional Securities Inc	Registered Representative	Y	Pasadena, CA, United States
03/2015 - 03/2017	J.P. MORGAN SECURITIES LLC	LICENSED BANKER	Y	SIMI VALLEY, CA, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

LIONS PARTNERS INSURANCE AGENCY; Investment Related; Glendale, CA; Insurance; Insurance Specialist; Start Date 03/2023; 20 hours per month with 5 hours per month occurring during trading hours; Provide non-variable life insurance and health insurance to consumers. >> ARAM URFALYAN (NOTARY); Non-Investment Related; Glendale, CA; Other; Notary; Start Date 04/2015; 1 hour per month with that 1 hour per month occurring during trading hours; Provide notary services. >> REALTOR; Non-Investment Related; Burbank, CA; Real Estate; Realtor; Start Date 06/2023; 10 hours per month with some hours per month occurring during trading hours; Facilitating buying and selling of real estate transactions. >> PAPER TYCOON INC; Non-Investment Related; Van Nuys, CA; Other; CEO; Start Date 08/2021; 4 hours per month with no hours during trading hours; Administrative duties. >> URFALYAN FINANCIAL & INSURANCE SOLUTIONS INC; Investment Related; Van Nuys, CA 91405; Other; President/CEO/Registered Agent; Start Date 11/2017; 1 hour per month with no hours per month during trading hours; Established for business tax purposes.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Termination	1

Termination

This disclosure event involves a situation where the Investment Adviser Representative voluntarily resigned, was discharged or was permitted to resign after allegations were made that accused the Investment Adviser Representative of violating investment-related statutes, regulations, rules or industry standards of conduct; fraud or the wrongful taking of property; or failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct.

Disclosure 1 of 1

Reporting Source:	Firm
Firm Name:	J.P. MORGAN CHASE BANK, N.A.
Termination Type:	Voluntary Resignation
Termination Date:	02/18/2017
Allegations:	REGISTERED REP, IN THE CAPACITY OF AN AFFILIATE BANK EMPLOYEE, RESIGNED WHILE UNDER INTERNAL REVIEW FOR PROVIDING CONFIDENTIAL CLIENT INFORMATION TO AN INDIVIDUAL NO LONGER ASSOCIATED WITH THE FIRM.
Product Type:	No Product

Reporting Source:	Individual
Firm Name:	J.P. Morgan Securities LLC
Termination Type:	Voluntary Resignation
Termination Date:	02/18/2017
Allegations:	Registered rep, in the capacity of an affiliate bank employee, resigned while under internal review for providing confidential client information to an individual no longer associated with the firm.
Product Type:	No Product

Broker Statement	The allegation that I provided confidential client information to an individual no longer associated with the firm is 100% false and without merit. I did not provide any confidential client information to anyone outside of JPMorgan Chase. I began my employment with JPMorgan Chase in March of 2015 as a Relationship Banker. I was, then promoted to the position of Private Client Banker in January of 2016. During my time at Chase, I never had any issues or complaints; hence the reason
-------------------------	---



why I was promoted to a Private Client Banker in 2016. In my final year-end review (on or about January of 2017) my manager's comments were very positive. I voluntarily resigned from Chase to pursue an opportunity to become a Financial Advisor at another firm, an opportunity that was not available to me at Chase. No one at Chase informed me prior to my resignation that I was under review for anything. It is my belief that my resignation from Chase and my decision to join another firm as a Financial Advisor prompted the firm to place this negative comment on my FINRA BrokerCheck Report.



End of Report

This page is intentionally left blank.