



## IAPD Report

# Nicholas Kollias

CRD# 6504465

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Nicholas Kollias (CRD# 6504465)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/09/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	J.P. MORGAN SECURITIES LLC	CRD# 79	12/22/2016
<b>IA</b>	J.P. MORGAN SECURITIES LLC	CRD# 79	04/04/2023

### QUALIFICATIONS

This representative is currently registered in **28** SRO(s) and **53** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	TD AMERITRADE, INC.	7870	CHICAGO, IL	05/17/2016 - 12/14/2016

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **53** jurisdiction(s) and 28 SRO(s) through his or her employer(s).

#### Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**  
Main Address: 270 PARK AVENUE  
NEW YORK, NY 10017  
Firm ID#: 79

Regulator	Registration	Status	Date
<b>B</b> 24X National Exchange LLC	General Securities Representative	Approved	10/31/2025
<b>B</b> BOX Exchange LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> Cboe BYX Exchange, Inc.	General Securities Representative	Approved	05/05/2021
<b>B</b> Cboe BZX Exchange, Inc.	General Securities Representative	Approved	05/05/2021
<b>B</b> Cboe C2 Exchange, Inc.	General Securities Representative	Approved	12/22/2016
<b>B</b> Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	05/05/2021
<b>B</b> Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	05/05/2021
<b>B</b> Cboe Exchange, Inc.	General Securities Representative	Approved	12/22/2016
<b>B</b> FINRA	General Securities Representative	Approved	12/22/2016
<b>B</b> Investors' Exchange LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	04/27/2020
<b>B</b> MEMX LLC	General Securities Representative	Approved	02/16/2021
<b>B</b> MIAX Emerald, LLC	General Securities Representative	Approved	03/22/2019



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> MIAX PEARL, LLC	General Securities Representative	Approved	05/05/2021
<b>B</b> MIAX Sapphire	General Securities Representative	Approved	09/23/2024
<b>B</b> Miami International Securities Exchange, LLC	General Securities Representative	Approved	05/05/2021
<b>B</b> NYSE American LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> NYSE Arca, Inc.	General Securities Representative	Approved	12/22/2016
<b>B</b> NYSE National, Inc.	General Securities Representative	Approved	05/18/2018
<b>B</b> NYSE Texas, Inc.	General Securities Representative	Approved	12/22/2016
<b>B</b> Nasdaq GEMX, LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> Nasdaq ISE, LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> Nasdaq MRX, LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> Nasdaq PHLX LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> Nasdaq Stock Market	General Securities Representative	Approved	12/22/2016
<b>B</b> Nasdaq Texas, LLC	General Securities Representative	Approved	12/22/2016
<b>B</b> New York Stock Exchange	General Securities Representative	Approved	12/22/2016
<b>B</b> Texas Stock Exchange LLC	General Securities Representative	Approved	07/02/2026
<b>B</b> Alabama	Agent	Approved	05/05/2021
<b>B</b> Alaska	Agent	Approved	05/05/2021
<b>B</b> Arizona	Agent	Approved	05/05/2021



## Qualifications

	Regulator	Registration	Status	Date
B	Arkansas	Agent	Approved	05/05/2021
B	California	Agent	Approved	05/05/2021
B	Colorado	Agent	Approved	05/05/2021
B	Connecticut	Agent	Approved	05/05/2021
B	Delaware	Agent	Approved	05/05/2021
B	District of Columbia	Agent	Approved	05/05/2021
B	Florida	Agent	Approved	05/05/2021
IA	Florida	Investment Adviser Representative	Approved	04/05/2023
B	Georgia	Agent	Approved	05/05/2021
B	Hawaii	Agent	Approved	05/05/2021
B	Idaho	Agent	Approved	05/05/2021
B	Illinois	Agent	Approved	12/22/2016
B	Indiana	Agent	Approved	05/05/2021
B	Iowa	Agent	Approved	05/05/2021
B	Kansas	Agent	Approved	05/05/2021
B	Kentucky	Agent	Approved	05/05/2021
B	Louisiana	Agent	Approved	05/05/2021
B	Maine	Agent	Approved	05/05/2021
B	Maryland	Agent	Approved	05/05/2021



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Massachusetts	Agent	Approved	05/05/2021
<b>B</b> Michigan	Agent	Approved	05/05/2021
<b>B</b> Minnesota	Agent	Approved	05/05/2021
<b>B</b> Mississippi	Agent	Approved	05/05/2021
<b>B</b> Missouri	Agent	Approved	05/05/2021
<b>B</b> Montana	Agent	Approved	05/05/2021
<b>B</b> Nebraska	Agent	Approved	05/05/2021
<b>B</b> Nevada	Agent	Approved	05/05/2021
<b>B</b> New Hampshire	Agent	Approved	05/05/2021
<b>B</b> New Jersey	Agent	Approved	05/05/2021
<b>B</b> New Mexico	Agent	Approved	05/05/2021
<b>B</b> New York	Agent	Approved	03/02/2017
<b>B</b> North Carolina	Agent	Approved	05/05/2021
<b>B</b> North Dakota	Agent	Approved	05/05/2021
<b>B</b> Ohio	Agent	Approved	05/05/2021
<b>B</b> Oklahoma	Agent	Approved	05/05/2021
<b>B</b> Oregon	Agent	Approved	05/05/2021
<b>B</b> Pennsylvania	Agent	Approved	05/05/2021
<b>B</b> Puerto Rico	Agent	Approved	05/05/2021



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Rhode Island	Agent	Approved	05/05/2021
<b>B</b> South Carolina	Agent	Approved	05/05/2021
<b>B</b> South Dakota	Agent	Approved	05/05/2021
<b>B</b> Tennessee	Agent	Approved	05/05/2021
<b>B</b> Texas	Agent	Approved	05/05/2021
<b>IA</b> Texas	Investment Adviser Representative	Approved	04/04/2023
<b>B</b> Utah	Agent	Approved	05/05/2021
<b>B</b> Vermont	Agent	Approved	05/05/2021
<b>B</b> Virgin Islands	Agent	Approved	05/05/2021
<b>B</b> Virginia	Agent	Approved	05/05/2021
<b>B</b> Washington	Agent	Approved	05/05/2021
<b>B</b> West Virginia	Agent	Approved	05/05/2021
<b>B</b> Wisconsin	Agent	Approved	05/05/2021
<b>B</b> Wyoming	Agent	Approved	05/05/2021

### Branch Office Locations

**J.P. MORGAN SECURITIES LLC**  
201 E Las Olas  
Suite 2400  
Ft. Lauderdale, FL 33301



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**


#### Principal/Supervisory Exams


Exam	Category	Date
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No information reported.

#### General Industry/Product Exams


Exam	Category	Date
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 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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 General Securities Representative Examination (S7)	Series 7	05/17/2016
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#### State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	03/03/2023
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 Uniform Securities Agent State Law Examination (S63)	Series 63	06/21/2016
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

Registration Dates	Firm Name	ID#	Branch Location
B 05/17/2016 - 12/14/2016	TD AMERITRADE, INC.	CRD# 7870	CHICAGO, IL

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	Okin Holdings LLC	Owner/Partner	N	Fort Lauderdale, FL, United States
01/2024 - Present	Marwar Junction Productions, LLC c/o McKuin Frankel WhiteheadLLP	Independent Contractor	N	Beverly Hills, CA, United States
05/2021 - Present	JPMORGAN CHASE BANK NA	PB PROFESSIONAL	Y	FORT LAUDERDALE, FL, United States
05/2021 - Present	JPMORGAN SECURITIES LLC	PB PROFESSIONAL	Y	FORT LAUDERDALE, FL, United States
07/2017 - Present	NICHOLAS KOLLIAS	MUSIC ARTIST	N	NORTHBROOK, IL, United States
05/2018 - 04/2021	JPMORGAN CHASE BANK NA	CLIENT SERVICE ASSOCIATE	Y	CHICAGO, IL, United States
05/2018 - 04/2021	JPMORGAN SECURITIES LLC	CLIENT SERVICE ASSOCIATE	Y	CHICAGO, IL, United States
12/2016 - 05/2018	J.P. MORGAN SECURITIES LLC	Investment Support Sr. Specialist II	Y	CHICAGO, IL, United States
02/2016 - 12/2016	TD Ameritrade	Technical Support Representative	Y	Chicago, IL, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Entity Name: Okin Holdings LLC  
Investment related: No  
Address: Private Residence  
Nature of the other business: Consultant  
Position/Title/Relationship: Owner/Partner  
Start Date: 10/01/2025  
Approximate # of hours a Week: 0-10  
Approximate # of hours during securities trading hours: 0



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

Briefly describe your duties: Okin Holdings LLC is a single-member limited liability company wholly owned by me. The business specializes in consulting services focused on life coaching, wellness, brand development, and generating income from name, image, and likeness opportunities. Okin Holdings LLC does not engage in financial services and has no affiliation with JPMorgan Chase or my current professional role.

Entity Name: Marwar Junction Productions, LLC c/o McKuin Frankel WhiteheadLLP

Investment related: No

"Address: 141 El Camino Drive, Suite 100 Beverly Hills, CA, 90212 <https://www.marwar-junction.com/>"

Nature of the other business: Content Creator

Position/Title/Relationship: Independent Contractor

Start Date: 01/29/2024

Approximate # of hours a week: 0-10

Approximate # of hours during securities trading hours: 0

"Briefly describe your duties: No connection to JPMC. I will use my Name, image, and likeness to create future productions. Marwar Junction Productions, an independent production company known for creating distinctive content for television and streaming services. "

Entity Name: Musical Artist

Investment related: No

Address: Northbrook, IL

Nature of the other business: I own the rights and receive compensation for song published on iTunes.

Position/Title/Relationship: Musical Artist

Start Date: 18-Jul-2017

Approximate # of hours a month: 0

Approximate # of hours during securities trading hours: 0

Briefly describe your duties: I have one song which I sell on iTunes music store.



## End of Report

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