



IAPD Report

David Edward Messing

CRD# 6572651

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page
<http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

David Edward Messing (CRD# 6572651)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/24/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	GREAT POINT CAPITAL LLC	CRD# 114203	09/12/2024
IA	QUINCY WELLS ADVISORS, LLC	CRD# 307477	01/23/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	GREAT POINT CAPITAL LLC	114203	CHICAGO, IL	08/05/2020 - 12/06/2022
B	BRIGHT TRADING, LLC	34702	HENDERSON, NV	08/01/2019 - 08/25/2020
B	HOLD BROTHERS CAPITAL LLC	151864	NEW YORK, NY	01/01/2017 - 10/23/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **QUINCY WELLS ADVISORS, LLC**

Main Address: 200 W. JACKSON BLVD. #1000
CHICAGO, IL 60606

Firm ID#: 307477

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	01/23/2025

Branch Office Locations

QUINCY WELLS ADVISORS, LLC

Torrance, CA

Employment 2 of 2

Firm Name: **GREAT POINT CAPITAL LLC**

Main Address: 200 WEST JACKSON BLVD.
SUITE 1000
CHICAGO, IL 60606

Firm ID#: 114203

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	09/12/2024
B FINRA	Securities Trader	Approved	09/12/2024
B California	Agent	Approved	10/21/2024

Branch Office Locations

Torrance, CA



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/23/2017
 Securities Trader Exam (S57)	Series 57	12/30/2016
 General Securities Representative Examination (S7)	Series 7	11/17/2015

State Securities Law Exams

Exam	Category	Date
  Uniform Combined State Law Examination (S66)	Series 66	09/24/2024

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	08/05/2020 - 12/06/2022	GREAT POINT CAPITAL LLC	CRD# 114203	CHICAGO, IL
	08/01/2019 - 08/25/2020	BRIGHT TRADING, LLC	CRD# 34702	HENDERSON, NV
	01/01/2017 - 10/23/2017	HOLD BROTHERS CAPITAL LLC	CRD# 151864	NEW YORK, NY
	11/17/2015 - 02/02/2016	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	Tysons Corner, VA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2024 - Present	Great Point Capital, LLC	Prop Trader	Y	Chicago, IL, United States
10/2017 - Present	David Messing Tutoring	Private Tutor	N	Torrance, CA, United States
08/2020 - 12/2022	Great Point Capital, LLC	Prop Trader	Y	Chicago, IL, United States
07/2019 - 07/2020	Bright Trading, LLC	Trader	Y	Hawthorne, CA, United States
04/2019 - 07/2019	Unemployed	Unemployed	N	Hawthorne, CA, United States
06/2018 - 04/2019	Menke Appraisal Research	Research	Y	Oakland, CA, United States
10/2017 - 06/2018	Unemployed	Unemployed	N	Hawthorne, CA, United States
07/2016 - 10/2017	HOLD BROS. CAPITAL, LLC	SECURITIES TRADER	Y	NEW YORK, NY, United States
04/2016 - 10/2017	LYFT	LIMO DRIVER	N	SAN FRANCISCO, CA, United States
04/2016 - 02/2017	UBER	LIMO DRIVER	N	SAN FRANCISCO, CA, United States
10/2015 - 02/2016	Purshe Kaplan Sterling Investments	Registered Rep	Y	Albany, NY, United States



Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

David Messing is the managing tutor for David Messing Tutoring, a tutoring Company. Address 3710 Garnet St. Apt 118 Torrance CA 90503. Start date is July 2017 His duties will be to own and operate his own Private Tutoring Business. , and he plans to spend 0 hours per month during normal trading hours and 20 hours per month outside of normal trading hours. This is not investment related.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Criminal	
This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).	
Disclosure 1 of 1	
Reporting Source:	Individual
Formal Charges were brought in:	Arlington General District Court
Name of Court:	Arlington General District Court
Location of Court:	Arlington, Virginia
Docket/Case #:	GT17017683-00
Charge Date:	07/04/2017
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Failed to Stop at the scene of an accident with damages greater than \$1000
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	not guilty
Disposition of charge:	Amended
Date of Amended Charge:	09/11/2017
Charge was Amended or reduced to:	amended complaint to misdemeanor fail to stop at an accident < \$1000
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	pled guilty
Disposition of Amended Charge:	Pled guilty
Current Status:	Final



Status Date:	09/11/2017
Disposition Date:	09/11/2017
Sentence/Penalty:	Suspended VA drivers license for 90 days. \$116 Fine paid on 11/20/2017. Sentenced to 1 year in prison with 11 months suspended. Served 15 days in jail from 9/11/2017 to 9/26/2017. \$1,018 restitution fee was paid to victim on 9/11/2017. Good driving for 1 year from 9/11/2017 to 9/11/2018 was completed.
Broker Statement	Left scene of accident after trying to talk to accident victim. Victim was intoxicated and belligerent. As accident occurred on dark highway with no witnesses and I feared for my safety I left scene of accident, went home and called police. Was charged with leaving scene of accident. All penalties and sentences have been satisfied and fines paid



End of Report

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