IAPD Report

CHRISTOPHER Kyle TIETSORT

CRD# 6666556

Section Title	Page(s)

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

CHRISTOPHER Kyle TIETSORT (CRD# 6666556)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/13/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	BANKERS LIFE ADVISORY SERVICES, INC.	CRD# 281285	07/06/2016
B	BANKERS LIFE SECURITIES, INC.	CRD# 173962	09/01/2016

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **4** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **BANKERS LIFE SECURITIES, INC.**

Main Address: 303 E WACKER DRIVE
STE 500
CHICAGO, IL 60601

Firm ID#: 173962

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	09/01/2016
B	Georgia	Agent	Approved	08/15/2025
B	North Carolina	Agent	Approved	09/22/2016
B	South Carolina	Agent	Approved	10/06/2020

Branch Office Locations

10706 SIKES PLACE
SUITE 300
CHARLOTTE, NC 28277

Employment 2 of 2

Firm Name: **BANKERS LIFE ADVISORY SERVICES, INC.**

Main Address: 303 E. WACKER DRIVE
STE 500
CHICAGO, IL 60601

Firm ID#: 281285

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	07/31/2024
IA	North Carolina	Investment Adviser Representative	Approved	07/06/2016

Qualifications

	Regulator	Registration	Status	Date
IA	South Carolina	Investment Adviser Representative	Approved	01/21/2025

Branch Office Locations

BANKERS LIFE ADVISORY SERVICES, INC.
10706 Sikes Place Ste 300
Charlotte, NC 28277

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	06/16/2016

State Securities Law Exams

	Exam	Category	Date
B	Uniform Securities Agent State Law Examination (S63)	Series 63	09/16/2016
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	04/19/2016

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2016 - Present	Bankers Life Advisory Services	Financial Advisor	Y	Charlotte, NC, United States
06/2016 - Present	Bankers Life Securities Inc	REGISTERED REPRESENTATIVE	Y	CHARLOTTE, NC, United States
06/2014 - Present	Banker's Life	Agent	N	Charlotte, NC, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

RENTAL PROPERTY
POSITION: Owner NATURE: Rental Property INVESTMENT RELATED: No NUMBER OF HOURS: 0 SECURITIES TRADING HOURS: 0 START DATE: 11/19/2005
ADDRESS: 514 West 10th Street, Suite 301, Charlotte NC 28202
DESCRIPTION: I own a rental condo

BANKERS LIFE & CAUSALTY
POSITION: Agent NATURE: Insurance INVESTMENT RELATED: No NUMBER OF HOURS: 30 SECURITIES TRADING HOURS: 30 START DATE: 06/09/2014
ADDRESS: 10706 Sikes Place, Suite 300, Charlotte NC 28277, United States
DESCRIPTION: Selling Medicare, Extended Care, Life Insurance and Fixed (non-securities) products.

RENTAL PROPERTY
POSITION: Owner NATURE: Rental Property INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 05/01/2018
ADDRESS: 4428 Yoruk Forest Lane, Charlotte NC 28211, United States
DESCRIPTION: I own this property and rent it out.

RENTAL PROPERY (2)
POSITION: Owner NATURE: Rental Property INVESTMENT RELATED: No NUMBER OF HOURS: 2 SECURITIES TRADING HOURS: 0 START DATE: 05/01/2023
ADDRESS: 3303 Ellingford Road, Charlotte NC 28214, United States
DESCRIPTION: Collect RentMaintain property

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	State Court
Name of Court:	Gaston County Courts (State)
Location of Court:	Gastonia, NC (Gaston County)
Docket/Case #:	08-CR-065189
Charge Date:	05/08/2008
Charge(s) 1 of 2	
Formal Charge(s)/Description:	08-CR-065189 Embezzlement
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty
Disposition of charge:	Dismissed
Charge(s) 2 of 2	

Formal Charge(s)/Description:	08-CR-065190 Stolen Goods
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	Not Guilty
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	03/01/2012
Disposition Date:	03/01/2012
Sentence/Penalty:	No sentence or penalty. In March of 2012, I was provided a Dismissal Notice of Reinstatement from Gaston County Courts pertaining to the above Case Number. The prosecutor entered a dismissal to the above charge due to not enough probable cause and insufficient evidence to warrant prosecution.
Broker Statement	In 2002, I started working in the Mental Health field with children/adolescents who had mental health and substance abuse needs. In 2006, I made the decision to start my own Mental Health agency and left the organization that filed the charges in question. The client's that I served while employed with said organization exercised their right of "consumer choice" and elected to receive care from my agency as opposed to my previous employer. Those families' decision, while clearly within their rights, adversely affected my previous employer and that caused them to first attempt to sue me as a course of retaliation. When the LME Authorities decided that those clients' had a right to switch providers it ended any attempt for legal recourse made by the organization that I left. As a last resort, my previous employer filed unsubstantiated charges of stolen goods and embezzlement. My previous employer filed charges stating that I stole a lab top that was provided to me during my employment which resulted in the stolen goods charge. The embezzlement charge stemmed from allegedly using that said stolen lap top to generate income for my own agency. All of these charges were filed after my termination with the said organization. Upon my separation with my previous employer I worked a full resignation time period of 2 weeks and I have a signed document signed by the Operations Manager/Owner stating that I returned the said laptop among other items that were provided to me. I hired a lawyer to fight these bogus charges and provided the courts with the signed document by the Owner stating that I returned the very property that is in question. The charges were dropped based on the conclusion of no probably cause however the charges that were filed took place in Gaston County which adopts the laws that the information remains on my record. At no point did I ever commit any crime. This was simply an attempt of retaliation for the loss of revenue caused by my exit in pursuit of my dreams. The families' decision to follow me and receive the same support, care and assistance was based on my relationship with them and a great job done with their children

End of Report

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