



IAPD Report

Ryan Robert Gresmer

CRD# 6691254

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Ryan Robert Gresmer (CRD# 6691254)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/22/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	PNC WEALTH MANAGEMENT	CRD# 129052	04/21/2022
B	PNC WEALTH MANAGEMENT LLC	CRD# 129052	05/11/2022

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **50** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	NFP RETIREMENT INC.	121254	Madison, WI	03/24/2020 - 12/03/2020
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	MADISON, WI	03/05/2019 - 04/08/2020
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	MADISON, WI	01/02/2019 - 04/08/2020

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **50** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **PNC WEALTH MANAGEMENT**
Main Address: 300 FIFTH AVENUE
26TH FLOOR
PITTSBURGH, PA 15222-2722
Firm ID#: 129052

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	05/11/2022
B Alabama	Agent	Approved	06/16/2022
B Alaska	Agent	Approved	06/20/2022
B Arizona	Agent	Approved	06/27/2022
B Arkansas	Agent	Approved	06/20/2022
B California	Agent	Approved	06/17/2022
B Colorado	Agent	Approved	06/30/2022
B Connecticut	Agent	Approved	06/16/2022
B Delaware	Agent	Approved	06/27/2022
B District of Columbia	Agent	Approved	06/28/2022
B Florida	Agent	Approved	06/17/2022
B Georgia	Agent	Approved	06/21/2022
B Hawaii	Agent	Approved	09/30/2022



Qualifications

Regulator	Registration	Status	Date
B Idaho	Agent	Approved	06/23/2022
B Illinois	Agent	Approved	06/29/2022
B Indiana	Agent	Approved	07/18/2022
B Iowa	Agent	Approved	06/16/2022
B Kansas	Agent	Approved	06/21/2022
B Kentucky	Agent	Approved	06/16/2022
B Louisiana	Agent	Approved	06/21/2022
B Maine	Agent	Approved	06/17/2022
B Maryland	Agent	Approved	06/21/2022
B Michigan	Agent	Approved	06/21/2022
B Minnesota	Agent	Approved	06/23/2022
B Mississippi	Agent	Approved	06/21/2022
B Missouri	Agent	Approved	06/16/2022
B Montana	Agent	Approved	06/16/2022
B Nebraska	Agent	Approved	06/17/2022
B Nevada	Agent	Approved	06/28/2022
B New Hampshire	Agent	Approved	06/23/2022
B New Jersey	Agent	Approved	08/17/2022
B New Mexico	Agent	Approved	06/17/2022



Qualifications

	Regulator	Registration	Status	Date
B	New York	Agent	Approved	06/21/2022
B	North Carolina	Agent	Approved	06/23/2022
B	North Dakota	Agent	Approved	06/20/2022
B	Ohio	Agent	Approved	06/21/2022
B	Oklahoma	Agent	Approved	06/20/2022
B	Oregon	Agent	Approved	06/23/2022
B	Pennsylvania	Agent	Approved	06/14/2022
B	Rhode Island	Agent	Approved	06/23/2022
B	South Carolina	Agent	Approved	06/20/2022
B	South Dakota	Agent	Approved	07/26/2022
B	Tennessee	Agent	Approved	06/17/2022
B	Texas	Agent	Approved	06/21/2022
B	Utah	Agent	Approved	06/17/2022
B	Vermont	Agent	Approved	06/16/2022
B	Virginia	Agent	Approved	06/16/2022
B	Washington	Agent	Approved	06/16/2022
B	West Virginia	Agent	Approved	06/23/2022
IA	Wisconsin	Investment Adviser Representative	Approved	04/21/2022
B	Wisconsin	Agent	Approved	05/12/2022



Qualifications

Regulator	Registration	Status	Date
B Wyoming	Agent	Approved	07/06/2022

Branch Office Locations

PNC WEALTH MANAGEMENT
Madison, WI



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.



Principal/Supervisory Exams

Exam	Category	Date
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No information reported.




General Industry/Product Exams

Exam	Category	Date
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 General Securities Representative Examination (S7TO)	Series 7TO	01/10/2019
 Securities Industry Essentials Examination (SIE)	SIE	09/11/2018
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/20/2017

State Securities Law Exams

Exam	Category	Date
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 Uniform Securities Agent State Law Examination (S63)	Series 63	06/13/2022
  Uniform Combined State Law Examination (S66)	Series 66	03/01/2019

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/24/2020 - 12/03/2020	NFP RETIREMENT INC.	CRD# 121254	Madison, WI
IA	03/05/2019 - 04/08/2020	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	MADISON, WI
B	01/02/2019 - 04/08/2020	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	MADISON, WI
B	05/22/2017 - 09/11/2018	U.S. BANCORP INVESTMENTS, INC.	CRD# 17868	MADISON, WI

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2022 - Present	PNC Investments LLC	Advisor Direct Financial Advisor	Y	Pittsburg, PA, United States
08/2021 - Present	Bass Pro Outdoor World LLC	Firearms and Gun Vault Specialist	N	Sun Prairie, WI, United States
01/2021 - 04/2022	BMO Harris Bank	Bank Manager	N	Madison, WI, United States
03/2020 - 12/2020	NFP Retirement, Inc.	Registered Investment Adviser	Y	Aliso Viejo, CA, United States
01/2019 - 03/2020	BANK OF AMERICA, N.A.	FINANCIAL ADVISOR TRAINEE - FADP	Y	MADISON, WI, United States
11/2018 - 03/2020	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	Financial Advisor Trainee - FADP	Y	MADISON, WI, United States
10/2018 - 11/2018	Joint Construction LLC	Finish Carpenter	N	Cottage Grove, WI, United States
08/2016 - 08/2018	U.S. Bancorp Investments, Inc.	PBRM	Y	Madison, WI, United States
08/2009 - 08/2018	U.S. Bank	PBRM	Y	Madison, WI, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Name: Cabelas/ Bass Pro LLC / Job Title: Retail Outfitter- Firearms Sales / Job responsibilities: POSITION SUMMARY: Performs various Selling and Customer Service activities, to include greeting and acknowledging all customers in a prompt and friendly manner, handling merchandise with care providing information, assistance, and direction to customers. ESSENTIAL FUNCTIONS: Supports a strong commitment to world class customer service and ensures a pleasant and productive shopping experience for all customers, Insure a pleasant and productive shopping experience for all customers, Demonstrate product to customers, Replenish product on shelves as required per merchandising guidelines, Remains product expert through ongoing product knowledge training, Knowledgeable of advertised sales; maintain pricing and signing, Assist with Seasonal floor merchandise moves, Restock merchandise as required, Keeps work area clean, neat and well stocked with supplies, Follows all Company Policies and Procedures, Provide a legendary experience for every customer, every time by assisting customers in making buying decisions by: Identifying and evaluating customers's needs, Making product recommendations based off of this analysis, Promoting programs including, but not limited to CLUB Membership, VOC and In-Store Pick-up / State: Wisconsin / Website Address (if known): <https://www.cabelas.com> / Additional Comments: This is part time employment at night and on the weekends, which would not interfere with my job duties at PNC. My employment started with Cabelas in September of 2021. //



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	County Court
Name of Court:	St. Croix County
Location of Court:	Hudson, WI
Docket/Case #:	2001CF000046
Charge Date:	02/16/2001
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Physical Abuse of Child - As a Party to a Crime
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	No plea - Amended Charge
Disposition of charge:	Amended
Date of Amended Charge:	02/16/2001
Charge was Amended or reduced to:	1 count of Battery 1 count of Battery - Party to a Crime 1 count of Disorderly Conduct
Amended No of Counts:	3
Amended Charge:	Misdemeanor
Amended Plea:	No Contest
Disposition of Amended Charge:	Convicted



Current Status:	Final
Status Date:	07/19/2004
Disposition Date:	05/30/2001
Sentence/Penalty:	3 years of probation, a 30 day jail sentence and alcohol treatment program.
Broker Statement	<p>These charges were a result of a fight that happened at a high school graduation party shortly after I had turned 18 years old. The initial charge was one count of Misdemeanor Battery, since the other party that was involved was 17 at the time of the incident this charge was upgraded briefly to a felony. This felony charge was then settled with 3 misdemeanor charges that I pled no contest. I served probation for three years, and completed a 30 day sentence in jail, paid all court costs and fines, and also completed alcohol treatment per court order. I was discharged after the three years of probation supervision were completed, and this has been finalized since. Attached are supporting court documents.</p>



End of Report

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