



IAPD Report

ADAM BEA

CRD# 6697540

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ADAM BEA (CRD# 6697540)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **11/19/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FIDELITY BROKERAGE SERVICES LLC	CRD# 7784	01/28/2022
IA	STRATEGIC ADVISERS LLC	CRD# 104555	03/31/2025

QUALIFICATIONS

This representative is currently registered in **2** SRO(s) and **15** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	FIDELITY PERSONAL AND WORKPLACE ADVISORS	288590	OVERLAND PARK, KS	03/25/2022 - 03/31/2025
B	IVY DISTRIBUTORS, INC.	16496	OVERLAND PARK, KS	03/20/2019 - 04/30/2021
B	WADDELL & REED	866	OVERLAND PARK, KS	03/20/2019 - 04/21/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No





Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **15** jurisdiction(s) and 2 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **STRATEGIC ADVISERS LLC**
Main Address: 155 SEAPORT BLVD
BOSTON, MA 02210-2698
Firm ID#: 104555

	Regulator	Registration	Status	Date
	Kansas	Investment Adviser Representative	Approved	03/31/2025
	Texas	Investment Adviser Representative	Approved	03/31/2025






Branch Office Locations

STRATEGIC ADVISERS LLC
11200 NALL AVENUE
OVERLAND PARK, KS 66211-1233

STRATEGIC ADVISERS LLC
BURLINGTON, KS

Employment 2 of 2

Firm Name: **FIDELITY BROKERAGE SERVICES LLC**
Main Address: 900 SALEM STREET
SMITHFIELD, RI 02917
Firm ID#: 7784

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	01/28/2022
	New York Stock Exchange	General Securities Representative	Approved	01/28/2022
	Arizona	Agent	Approved	01/28/2022
	Arkansas	Agent	Approved	01/28/2022
	Colorado	Agent	Approved	01/28/2022



Qualifications

	Regulator	Registration	Status	Date
B	Florida	Agent	Approved	01/28/2022
B	Hawaii	Agent	Approved	09/29/2023
B	Illinois	Agent	Approved	01/28/2022
B	Iowa	Agent	Approved	01/28/2022
B	Kansas	Agent	Approved	01/28/2022
B	Massachusetts	Agent	Approved	03/02/2024
B	Missouri	Agent	Approved	01/28/2022
B	Nebraska	Agent	Approved	01/28/2022
B	North Carolina	Agent	Approved	09/29/2023
B	Ohio	Agent	Approved	09/29/2023
B	Oklahoma	Agent	Approved	01/28/2022
B	Texas	Agent	Approved	01/28/2022

Branch Office Locations

FIDELITY BROKERAGE SERVICES, LLC
11200 NALL AVENUE
OVERLAND PARK, KS 66211

FIDELITY BROKERAGE SERVICES, LLC
BURLINGTON, KS



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B General Securities Representative Examination (S7)	Series 7	08/31/2016

State Securities Law Exams

Exam	Category	Date
IA Uniform Investment Adviser Law Examination (S65)	Series 65	03/16/2022
B Uniform Securities Agent State Law Examination (S63)	Series 63	09/12/2016



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	03/25/2022 - 03/31/2025	FIDELITY PERSONAL AND WORKPLACE ADVISORS	CRD# 288590	OVERLAND PARK, KS
B	03/20/2019 - 04/30/2021	IVY DISTRIBUTORS, INC.	CRD# 16496	OVERLAND PARK, KS
B	03/20/2019 - 04/21/2021	WADDELL & REED	CRD# 866	OVERLAND PARK, KS
B	08/31/2016 - 03/04/2019	GWFS EQUITIES, INC.	CRD# 13109	OVERLAND PARK, KS

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2025 - Present	Fidelity Investments	Mass Transfer	Y	BOSTON, MA, United States
12/2021 - Present	FIDELITY INVESTMENTS	INVESTMENT CONSULTANT	Y	OVERLAND PARK, KS, United States
05/2021 - 12/2021	Unemployed	Unemployed	N	Gardner, KS, United States
03/2019 - 04/2021	Ivy Funds Distributor, Inc	Associated Person	Y	Shawnee Mission, KS, United States
03/2019 - 04/2021	Waddell & Reed, Inc	Associated Person	Y	Shawnee Mission, KS, United States
08/2016 - 03/2019	Empower Retirement/Great West Financial	Sr Retirement Consultant	Y	Shawnee Mission, KS, United States
07/2014 - 08/2016	AON Hewitt/National Flood Services	Insurance Underwriter	N	Overland Park, KS, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

OBA-122140|OrgNm:Bea Investments LLC|Org Addr:Burlington,Kansas,US|OBA Nature:Buy/Sell/Rent/Manage Real esta|Invst Rel:Y|Title:Partner|Duties:Buy/Sell/Manage |StrtDt:08-01-25|TotHrs/mon:2|TrdHrs/mon:0|



End of Report

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