IAPD Report

William Ronald Barr

CRD# 6742998

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When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

William Ronald Barr (CRD# 6742998)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	FIRST COMMAND BROKERAGE SERVICES, INC.	CRD# 3641	09/25/2020
IA	FIRST COMMAND ADVISORY SERVICES	CRD# 281958	11/09/2020

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **36** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
No information reported.			

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	2

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Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **36** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **FIRST COMMAND BROKERAGE SERVICES, INC.**

Main Address: 1 FIRSTCOMM PLAZA
FORT WORTH, TX 76109

Firm ID#: 3641

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	09/25/2020
B	FINRA	General Securities Principal	Approved	11/01/2021
B	Alabama	Agent	Approved	09/09/2022
B	Alaska	Agent	Approved	09/19/2022
B	Arizona	Agent	Approved	09/20/2022
B	Arkansas	Agent	Approved	09/14/2022
B	California	Agent	Approved	11/09/2020
B	Colorado	Agent	Approved	09/08/2022
B	District of Columbia	Agent	Approved	09/15/2022
B	Florida	Agent	Approved	09/09/2022
B	Georgia	Agent	Approved	09/12/2022
B	Idaho	Agent	Approved	09/09/2022
B	Illinois	Agent	Approved	11/13/2024

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Qualifications

	Regulator	Registration	Status	Date
B	Indiana	Agent	Approved	09/08/2022
B	Iowa	Agent	Approved	09/13/2022
B	Kentucky	Agent	Approved	09/09/2022
B	Louisiana	Agent	Approved	09/12/2022
B	Maine	Agent	Approved	05/24/2024
B	Maryland	Agent	Approved	09/13/2022
B	Michigan	Agent	Approved	09/12/2022
B	Mississippi	Agent	Approved	09/12/2022
B	Missouri	Agent	Approved	10/20/2023
B	Montana	Agent	Approved	09/08/2022
B	Nevada	Agent	Approved	11/02/2021
B	New Mexico	Agent	Approved	09/12/2022
B	New York	Agent	Approved	09/10/2022
B	North Carolina	Agent	Approved	01/26/2026
B	Ohio	Agent	Approved	09/09/2022
B	Oklahoma	Agent	Approved	09/13/2022
B	Oregon	Agent	Approved	09/10/2022
B	Rhode Island	Agent	Approved	01/07/2026
B	South Dakota	Agent	Approved	09/09/2022

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Qualifications

	Regulator	Registration	Status	Date
B	Tennessee	Agent	Approved	03/06/2023
B	Texas	Agent	Approved	09/09/2022
B	Utah	Agent	Approved	09/09/2022
B	Virginia	Agent	Approved	09/08/2022
B	Washington	Agent	Approved	09/08/2022
B	Wisconsin	Agent	Approved	04/11/2024

Branch Office Locations

FIRST COMMAND FINANCIAL PLANNING, INC.
1300 Oliver Rd
Suite 270
Fairfield, CA 94534

Employment 2 of 2

Firm Name: **FIRST COMMAND ADVISORY SERVICES**

Main Address: 1 FIRSTCOMM PLAZA
FORT WORTH, TX 76109

Firm ID#: 281958

	Regulator	Registration	Status	Date
IA	California	Investment Adviser Representative	Approved	11/09/2020
IA	Nevada	Investment Adviser Representative	Approved	10/11/2021
IA	Texas	Investment Adviser Representative	Restricted Approval	09/09/2022

Branch Office Locations

FIRST COMMAND ADVISORY SERVICES
1300 Oliver Rd
Suite 270
Fairfield, CA 94534

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Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	10/29/2021

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	09/24/2020
B	Securities Industry Essentials Examination (SIE)	SIE	06/05/2020

State Securities Law Exams

		Exam	Category	Date
IA	B	Uniform Combined State Law Examination (S66)	Series 66	11/05/2020

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

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Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2021 - Present	First Command Advisory Services	Mass Transfer	Y	Fort Worth, TX, United States
10/2021 - Present	First Command Financial Planning, Inc	Investment Adv Rep/ registered Rep	Y	Fort Worth, TX, United States
10/2021 - Present	First Command Insurance Services, Inc	Insurance Agent	Y	Fort Worth, TX, United States
03/2017 - 10/2021	First Command Financial	Deputy Director, Operations	Y	Ft. Worth, TX, United States
06/2010 - 06/2017	U.S. Marine Corps	Master Gunnery Sergeant	N	Quantico, VA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name of the other business: 8412 Financial Services LLC
Whether the business is investment-related: Yes
Address of the other business: 1541 Base Ct., Dixon, CA, 95620
Nature of the other business: Other OBA
Your position, title, or relationship with the other business: Owner
The start date of your relationship: Jan 28, 2025
The approximate number of hours/month you devote to the other business: 1 to 5
The number of hours you devote to the other business during securities trading hours: None
Briefly describe your duties relating to the other business: I am the sole owner of the OBA. I opened the LLC at the suggestion of my CPA.

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Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

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DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	2

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 2

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	No formal action was taken
Name of Court:	Superior Court of Fresno
Location of Court:	Fresno, California
Docket/Case #:	AGENCY CASE-150178
Charge Date:	01/12/2001
Charge(s) 1 of 1	
Formal Charge(s)/Description:	CHARGE 1-001 COUNTS OF INF CORP INJ,SPOUSE/COHAB
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty/Innocent
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	01/30/2001

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Disposition Date:	01/30/2001
Sentence/Penalty:	No sentence rendered. All dismissed.
Broker Statement	This was a false charge brought upon spending my birthday with a new girlfriend and a pending child custody case. The thought was both arrests would give her a leg up in court. I cannot stress enough that I was arrested twice with no evidence to support the claims.

Disclosure 2 of 2

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	no formal action taken
Name of Court:	Superior Court of Fresno
Location of Court:	Fresno, California
Docket/Case #:	140178
Charge Date:	05/15/1999
Charge(s) 1 of 1	
Formal Charge(s)/Description:	CHARGE 1-001 COUNTS OF INF CORP INJ,SPOUSE/COHAB
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	No plea was ever entered. Case was dismissed before going to court.
Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	06/15/1999
Disposition Date:	06/01/1999
Sentence/Penalty:	No sentence, case dismissed.
Broker Statement	I was going through a divorce and false charges were rendered to have me arrested. Arrested, no charges were filed by the DA.

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End of Report

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