



## IAPD Report

# Kyle Wayne Olson

CRD# 6800287

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Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Kyle Wayne Olson (CRD# 6800287)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/24/2026**.

### CURRENT EMPLOYERS

|           | Firm                                | CRD#       | Registered Since |
|-----------|-------------------------------------|------------|------------------|
| <b>B</b>  | THRIVENT INVESTMENT MANAGEMENT INC. | CRD# 18387 | 08/04/2017       |
| <b>IA</b> | THRIVENT INVESTMENT MANAGEMENT INC. | CRD# 18387 | 08/26/2020       |

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **7** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

| FIRM | CRD# | LOCATION | REGISTRATION DATES |
|------|------|----------|--------------------|
|------|------|----------|--------------------|

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**Yes**

The following types of events are disclosed about this representative:

| Type     | Count |
|----------|-------|
| Criminal | 1     |



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 7 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **THRIVENT INVESTMENT MANAGEMENT INC.**  
Main Address: 600 PORTLAND AVENUE SOUTH  
MINNEAPOLIS, MN 55415  
Firm ID#: 18387

|           | Regulator      | Registration                      | Status   | Date       |
|-----------|----------------|-----------------------------------|----------|------------|
| <b>B</b>  | FINRA          | Invest. Co and Variable Contracts | Approved | 08/04/2017 |
| <b>B</b>  | FINRA          | General Securities Representative | Approved | 03/29/2024 |
| <b>B</b>  | Iowa           | Agent                             | Approved | 08/23/2024 |
| <b>B</b>  | Kansas         | Agent                             | Approved | 08/31/2017 |
| <b>IA</b> | Kansas         | Investment Adviser Representative | Approved | 08/26/2020 |
| <b>B</b>  | Missouri       | Agent                             | Approved | 09/12/2017 |
| <b>B</b>  | Nebraska       | Agent                             | Approved | 03/20/2018 |
| <b>B</b>  | North Carolina | Agent                             | Approved | 03/16/2022 |
| <b>B</b>  | Oklahoma       | Agent                             | Approved | 06/29/2026 |
| <b>IA</b> | Oklahoma       | Investment Adviser Representative | Approved | 06/29/2026 |
| <b>B</b>  | South Dakota   | Agent                             | Approved | 01/22/2026 |

### Branch Office Locations

**THRIVENT INVESTMENT MANAGEMENT INC.**  
523 Oregon Street  
Hiawatha, KS 66434

**THRIVENT INVESTMENT MANAGEMENT INC.**  
602 John Riggins Ave  
Centralia, KS 66415



## Qualifications

**THRIVENT INVESTMENT MANAGEMENT INC.**  
Hiawatha, KS



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

| Exam | Category | Date |
|------|----------|------|
|------|----------|------|

No information reported.

#### General Industry/Product Exams

| Exam                                                                                    | Category   | Date       |
|-----------------------------------------------------------------------------------------|------------|------------|
| <b>B</b> General Securities Representative Examination (S7TO)                           | Series 7TO | 03/29/2024 |
| <b>B</b> Securities Industry Essentials Examination (SIE)                               | SIE        | 10/01/2018 |
| <b>B</b> Investment Company Products/Variable Contracts Representative Examination (S6) | Series 6   | 08/04/2017 |

#### State Securities Law Exams

| Exam                                                          | Category  | Date       |
|---------------------------------------------------------------|-----------|------------|
| <b>IA</b> Uniform Investment Adviser Law Examination (S65)    | Series 65 | 06/26/2020 |
| <b>B</b> Uniform Securities Agent State Law Examination (S63) | Series 63 | 08/18/2017 |



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

| Employment Dates  | Employer Name                      | Position                     | Investment Related | Employer Location            |
|-------------------|------------------------------------|------------------------------|--------------------|------------------------------|
| 11/2017 - Present | Thrivent Financial                 | Financial Associate          | Y                  | Appleton, WI, United States  |
| 06/2017 - Present | Thrivent Investment Management Inc | Registered Representative    | Y                  | Hiawatha, KS, United States  |
| 07/2017 - 11/2017 | Thrivent Financial                 | Associate Representative     | Y                  | Appleton, WI, United States  |
| 07/2010 - 07/2017 | First Lutheran Church              | Director of Spiritual Growth | N                  | Manhattan, KS, United States |

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

#### JELKS MANAGEMENT, LLC

POSITION: Co-Member NATURE: The LLC owns rental properties, including two office buildings that are used for Thrivent and non-Thrivent related businesses; and a small piece of land with a Maintenance Building. INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 5 START DATE: 09/01/2020

ADDRESS: 2654 Kestrel Rd, Hiawatha KS 66434, United States

DESCRIPTION: General Maintenance; Collect Rent; Maintain Books; Pay Bills and Taxes

#### TRINITY CENTER, LLC

POSITION: Board of Directors Member NATURE: This is an ecumenical center dedicated to the needs of our community. Churches and individuals support the center who then supports their clients with things like food assistance, shelter assistance, and linking them to community resources. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2025

ADDRESS: 101 S 3rd St, Hiawatha KS 66434, United States

DESCRIPTION: Consultation, governance, and support of the center and its director.

#### HIAWATHA FOUNDATION FOR ECONOMIC DEVELOPMENT

POSITION: President NATURE: HFED works alongside the business community to strengthen and resource our existing members and help attract new business to our town. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 3 START DATE: 02/20/2025

ADDRESS: 105 S 6th St, Hiawatha KS 66434, United States

DESCRIPTION: Attend monthly meetings, learning about resources in the community and voting on matters relating to HFED's policies. We also have a budget with various grant and government funded income and can vote to help out applicants through



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

those resources.

[2/12/25 addition]: I am being slated for the office of president. The board has not approved my appointment and I will not begin duties until our meeting which is scheduled for February 20. I may be adding the responsibilities of president at that time.



## Disclosure Summary

### Disclosure Information

**What you should know about reported disclosure events:**

**(1) Certain thresholds must be met before an event is reported to IARD, for example:**

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

**(2) Disclosure events in IAPD reports come from different sources:**

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

**(3) There are different statuses and dispositions for disclosure events:**

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

**(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.**



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

| Type     | Count |
|----------|-------|
| Criminal | 1     |

### Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

#### Disclosure 1 of 1

|                                                                                            |                           |
|--------------------------------------------------------------------------------------------|---------------------------|
| Reporting Source:                                                                          | Individual                |
| If charge(s) were brought against an organization over which individual exercised control: |                           |
| Organization Name:                                                                         |                           |
| Investment Related Business:                                                               | No                        |
| Position:                                                                                  |                           |
| Formal Charges were brought in:                                                            | Municipal Court           |
| Name of Court:                                                                             | Manhattan Municipal Court |
| Location of Court:                                                                         | Manhattan, Kansas         |
| Docket/Case #:                                                                             | CR0304135                 |
| Charge Date:                                                                               | 06/19/2003                |
| Charge(s) 1 of 1                                                                           |                           |
| Formal Charge(s)/Description:                                                              | Theft                     |
| No of Counts:                                                                              | 1                         |
| Felony or Misdemeanor:                                                                     | Misdemeanor               |
| Plea for each charge:                                                                      | No Contest                |
| Disposition of charge:                                                                     | Convicted                 |
| Current Status:                                                                            | Final                     |
| Status Date:                                                                               | 07/16/2003                |



|                          |                                                                                                                                                                                                                                                                            |
|--------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Disposition Date:</b> | 07/16/2003                                                                                                                                                                                                                                                                 |
| <b>Sentence/Penalty:</b> | \$200 - Paid 7/16/2003<br>80 Hours Community Service - Exact completion dates unknown (within time allowed. 90 days?)                                                                                                                                                      |
| <b>Broker Statement</b>  | I took a vinyl banner displaying college insignia. This was while I was in college. The police saw me take the sign from the yard and charged me with theft. I paid the fine and completed the community service in the time required. The sign was returned to its owner. |



## End of Report

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