



IAPD Report

Wilmer Ricardo Cordoba

CRD# 6857480

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Wilmer Ricardo Cordoba (CRD# 6857480)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **08/22/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CENTAURUS FINANCIAL, INC.	CRD# 30833	02/23/2022
B	CENTAURUS FINANCIAL, INC.	CRD# 30833	03/09/2022
IA	NESTYIELD ETFs	CRD# 332971	11/27/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MORGAN STANLEY	149777	BEVERLY HILLS, CA	02/13/2018 - 03/10/2021
B	MORGAN STANLEY	149777	BEVERLY HILLS, CA	12/15/2017 - 03/10/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Financial	5



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **NESTYIELD ETFS**
Main Address: 8141 2ND STREET
SUITE 305
DOWNEY, CA 90241
Firm ID#: 332971

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	11/27/2024

Branch Office Locations

NESTYIELD ETFS
8141 2ND STREET
SUITE 305
DOWNEY, CA 90241

Employment 2 of 2

Firm Name: **CENTAURUS FINANCIAL, INC.**
Main Address: 2300 EAST KATELLA AVE
SUITE 200
ANAHEIM, CA 92806
Firm ID#: 30833

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	03/09/2022
IA California	Investment Adviser Representative	Approved	02/23/2022
B California	Agent	Approved	03/09/2022

Branch Office Locations

CENTAURUS FINANCIAL, INC.
8141 E. 2ND STREET
SUITE #330



Qualifications

DOWNEY, CA 90241



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
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General Securities Representative Examination (S7)	Series 7	12/14/2017
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	02/12/2018
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/13/2018 - 03/10/2021	MORGAN STANLEY	CRD# 149777	BEVERLY HILLS, CA
B	12/15/2017 - 03/10/2021	MORGAN STANLEY	CRD# 149777	BEVERLY HILLS, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2024 - Present	NEST EGG ETFS, LLC DBA NESTYIELD ETFS	FINANCIAL ANALYST	Y	DOWNEY, CA, United States
02/2022 - Present	CENTAURUS FINANCIAL, INC.	REGISTERED REPRESENTATIVE	Y	ANAHEIM, CA, United States
01/2022 - Present	RAMOS CONSULTING & WELATH MANAGEMENT	AGENT	N	DOWNEY, CA, United States
02/2021 - 01/2022	FAMILY LEAVE	STAY AT HOME DAD	N	CYPRESS, CA, United States
11/2017 - 02/2021	Morgan Stanley Private Bank, National Association	Financial Advisor	Y	NEW YORK, NY, United States
10/2017 - 02/2021	Morgan Stanley	Financial Advisor	Y	Beverly Hills, CA, United States
07/2017 - 10/2017	Unemployed	Unemployed	N	Los Angeles, CA, United States
01/2016 - 06/2017	UC Davis	Student	N	Davis, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. RAMOS CONSULTING & WEALTH MANAGEMENT INC. 8141 2ND STREET, SUITE #608A, DOWNEY, CA 90241. DBA FOR BRANDING PUPOSES ONLY.
2. AGENT, FINANCIAL ADVISORS, LLC. 8141 2ND STREET, SUITE #608A, DOWNEY, CA 90241. NON INVESTMENT RELATED SINCE 2/2022 AND I SPEND APPROX. 10-15 HOURS PER MONTH ON THIS ACTIVITY. INSURANCE AGENT.
3. AGENT, PSP FINANCIAL & INSURANCE, 8141 2ND STREET, SUITE #608A, DOWNEY, CA 90241. NON INVESTMENT



Registration & Employment History



OTHER BUSINESS ACTIVITIES

RELATED SINCE 2/2022 AND I SPEND APPROX. 10-15 HOURS PER MONTH ON THIS ACTIVITY. INSURANCE AND ANNUITY ADVISOR.

4. NEST EGG ETFs, LLC

POSITION: Portfolio Manager NATURE: Portfolio Management: Actively managing and overseeing the ETF portfolios, making decisions about asset allocation, security selection, and investment strategies to meet the fund's objectives. INVESTMENT RELATED: Yes NUMBER OF HOURS: 30 SECURITIES TRADING HOURS: 5 START DATE: 09/24/2024
ADDRESS: 8141 2nd St, Suite 330, Downey CA 90241, United States
DESCRIPTION: Portfolio Manager

5. NESTYIELD ETFs, LLC

POSITION: Manager NATURE: NestYield ETFs, LLC is a marketing company for branding purposes. INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 0 START DATE: 09/24/2024
ADDRESS: 8141 2nd St, Suite 330, Downey CA 90241, United States
DESCRIPTION: Marketing Company

6. RAMOS CAPITAL GROUP

POSITION: Financial Advisor, Portfolio Manager NATURE: Ramos Capital Group is a wealth management firm under the supervision of Centaurus Financial, a registered investment advisory firm. INVESTMENT RELATED: Yes NUMBER OF HOURS: 140 SECURITIES TRADING HOURS: 5 START DATE: 01/20/2022
ADDRESS: 8141 2nd St, Suite 330, Downey CA 90241, United States
DESCRIPTION: I provide investment advisory and portfolio management services to clients of Ramos Capital Group. My responsibilities include constructing and managing customized portfolios, delivering financial planning advice, conducting investment research, and ensuring compliance with regulatory and firm standards. All activities are conducted under the supervision of Centaurus Financial, a registered investment advisory firm.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1
Financial	5

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	MSSB
Allegations:	Claimant alleges, inter alia, misrepresentation with respect to selling options - June, 2020
Product Type:	Other: Employee/Employer Stock Option Plan
Alleged Damages:	\$74,340.00
Is this an oral complaint?	No
Is this a written complaint?	No
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	21-00428
Filing date of arbitration/CFTC reparation or civil litigation:	02/17/2021

Customer Complaint Information

Date Complaint Received:	02/18/2021
Complaint Pending?	No



Status: Settled
Status Date: 12/21/2021
Settlement Amount: \$35,000.00
Individual Contribution Amount: \$0.00

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Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: MSSB
Allegations: Claimante alleges, inter alia, misrepresentation with respect to selling options in June, 2020.
Product Type: Other: Employee/Employer Stock Option Plan
Alleged Damages: \$74,340.00
Is this an oral complaint? No
Is this a written complaint? No
Is this an arbitration/CFTC reparation or civil litigation? Yes
Arbitration/Reparation forum or court name and location: FINRA
Docket/Case #: 21-00428
Filing date of arbitration/CFTC reparation or civil litigation: 02/17/2021

Customer Complaint Information

Date Complaint Received: 02/18/2021
Complaint Pending? No
Status: Settled
Status Date: 12/21/2021
Settlement Amount: \$35,000.00
Individual Contribution Amount: \$0.00



Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 5

Reporting Source: Individual
Action Type: Compromise
Action Date: 07/18/2018
Organization Investment-Related?
Action Pending? No
Disposition: Satisfied/Released
Disposition Date: 12/31/2019
If a compromise with creditor, provide:
Name of Creditor: MACY'S
Original Amount Owed: \$1,704.00
Terms Reached with Creditor: CREDITOR WILL ACCEPT \$816

Disclosure 2 of 5

Reporting Source: Individual
Action Type: Compromise
Action Date: 11/13/2019
Organization Investment-Related?
Action Pending? No
Disposition: Satisfied/Released
Disposition Date: 11/13/2019
If a compromise with creditor, provide:
Name of Creditor: SANTANDER USA
Original Amount Owed: \$11,621.15
Terms Reached with Creditor: CREDITOR ACCEPTED \$5,000

Disclosure 3 of 5

Reporting Source: Individual
Action Type: Compromise



Action Date: 07/18/2018

Organization Investment-Related?

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 10/28/2019

If a compromise with creditor, provide:

Name of Creditor: SYNCHRONY BANK

Original Amount Owed: \$3,024.00

Terms Reached with Creditor: CREDITOR ACCEPTED \$1,815

Disclosure 4 of 5

Reporting Source: Individual

Action Type: Compromise

Action Date: 07/18/2018

Organization Investment-Related?

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 04/03/2019

If a compromise with creditor, provide:

Name of Creditor: SYNCHRONY BANK

Original Amount Owed: \$1,937.00

Terms Reached with Creditor: CREDITOR ACCEPTED \$738.44

Disclosure 5 of 5

Reporting Source: Individual

Action Type: Compromise

Action Date: 07/18/2018

Organization Investment-Related?

Action Pending? No

Disposition: Satisfied/Released

Disposition Date: 04/19/2019



**If a compromise with creditor,
provide:**

Name of Creditor: BARCLAYS BANK DELAWARE
Original Amount Owed: \$1,311.00
Terms Reached with Creditor: CREDITOR ACCEPTED \$820



End of Report

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