



IAPD Report

Kelly Marie Fasterling

CRD# 6900683

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Kelly Marie Fasterling (CRD# 6900683)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **02/03/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	ASPEN CREEK WEALTH MANAGEMENT, LLC	CRD# 160649	01/02/2019

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	HORTER INVESTMENT MANAGEMENT, LLC	119880	Colorado Springs, CO	01/19/2018 - 01/04/2019

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Civil Event	1



Qualifications

REGISTRATIONS



This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **ASPEN CREEK WEALTH MANAGEMENT, LLC**

Main Address: 950 E STATE HIGHWAY 114
STE. 160
SOUTHLAKE, TX 76092

Firm ID#: 160649

	Regulator	Registration	Status	Date
	Colorado	Investment Adviser Representative	Approved	01/02/2019
	Montana	Investment Adviser Representative	Approved	12/19/2022

Branch Office Locations

ASPEN CREEK WEALTH MANAGEMENT, LLC

7222 Commerce Center Drive
Suite 245
Colorado Springs, CO 80919



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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No information reported.

State Securities Law Exams

Exam	Category	Date
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IA Uniform Investment Adviser Law Examination (S65)	Series 65	12/29/2017
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	01/19/2018 - 01/04/2019	HORTER INVESTMENT MANAGEMENT, LLC	CRD# 119880	Colorado Springs, CO

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2024 - Present	Aspen Creek Wealth Management, LLC	Investment adviser Representative	Y	Southlake, TX, United States
05/2019 - Present	eXp Realty LLC	Realtor	N	Colorado Springs, CO, United States
08/2015 - Present	Banking on Real Estate/Carson Square/Orlando 43	Owner/Investor	Y	Colorado Springs, CO, United States
04/2015 - Present	Aspen Creek Wealth Strategies	Advisor	Y	Colorado Springs, CO, United States
01/2012 - Present	TerraNova	Owner/Investor Real Estate	Y	Colorado Springs, CO, United States
08/2011 - Present	Done For You Real Estate	Real Estate Marketer	Y	Colorado Springs, CO, United States
11/2008 - Present	Golden Eagle Alliance	Owner	N	Colorado Springs, CO, United States
06/2006 - Present	StickySheets Unlimited	Owner	N	Colorado Springs, CO, United States
11/2018 - 11/2024	Tyler Wealth Management	Investment Adviser Representative	Y	Colorado Springs, CO, United States
12/2016 - 05/2019	Colorado Dream Realty	Realtor	Y	Colorado Springs, CO, United States
01/2018 - 01/2019	Horter Investment Management	Investment Advisor Representative	Y	Cincinnati, OH, United States
10/2015 - 04/2017	Aspen Creek Wealth Strategies	Operations Manager	Y	Colorado Springs, CO, United States



Registration & Employment History



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. The Name of the other business: Aspen Creek Wealth Strategies

Investment Related?: Yes

Address of other business: 9790 Kit Carson Lane Colorado Springs, CO 80920

Nature of other business: Life Insurance

Your position: Advisor

Start Date: 05/2015

How many hours you devote to the other business per month: 30

How many hours you devote to the other business during securities trading hours: 30

Briefly describe your duties: Advise people on retirement choices including life insurance, annuities, real estate

2. The Name of the other business: Terra Nova/Done For You Real Estate/eXp Realty LLC/Banking on Real Estate/Carson Square/Orlando 43

Investment Related?: Yes

Address of other business: 1880 Office Club Pointe Colorado Spring, CO 80920

Nature of other business: Real Estate Investing

Your position: Owner

Start Date: 01/2012

How many hours you devote to the other business per month: 10

How many hours you devote to the other business during securities trading hours: 10

Briefly describe your duties: I invest in buy and hold properties with my husband using a service called "Done For You Real Estate". We own 10 jointly. I spend less than 1 hour a month on these. I invest in buy and hold bulk properties with 2 other partners. We own 41 single family homes and an apartment building. I spend 3 hours/week on these. When I meet with a client that has interest in real estate investing, I introduce them to how I do real estate using "Done For You Real Estate". I meet with the client as part of our normal discovery/suitability discussion. Then I email DFYRE the client's contact information and DFYRE takes it from there. I spend 2 hours/month in this area.

3. The Name of the other business: Golden Eagle Alliance

Investment Related: No

Address of Your Other Business: 9790 Kit Carson Ln, Colorado Springs, CO 80920

Nature of other business: oil/gas drilling

Your position: President

How many hours you devote to the other business per month: 2

How many hours you devote to the other business during securities trading hours: 2

4. The Name of the other business: Aspen Creek Development

Investment Related: Yes

Address of Your Other Business: Colorado Springs, CO

Nature of other business: Private real estate investing

Your position: Project Manager

How many hours you devote to the other business per month: 10

How many hours you devote to the other business during securities trading hours: 10

Brief Description of Duties: facilitate the sales of modular homes

5. eXp Realty, LLC, non-investment related, EXP Realty, LLC, P.O. Box 913328

Denver, Co 80291-3328, Real Estate, Realtor, 05/2019, 2/ mo, 2/mo, Sell Real Estate



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Civil Event	1
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Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

Disclosure 1 of 1

Reporting Source:	Individual
Initiated By:	ABW REO's, LLC
Relief Sought:	Disgorgement
Date Court Action Filed:	06/27/2019
Date Notice/Process Served:	07/21/2019
Product Type:	Promissory Note
Type of Court:	State Court
Name of Court:	District Court, City and County of Denver, CO
Location of Court:	Denver, Colorado
Docket/Case #:	2019CV32496
Employing firm when activity occurred which led to the action:	Aspen Creek Wealth Strategies
Allegations:	The action is to collect unpaid principal and interest evidenced by a promissory note held by ABW from the Defendants including Majestic Partner Investments, LLC and Kelly Fasterling. The note was issued on 11/8/17 and was due 8/7/18. The note went into default as of 8/22/18 due to late payments and failure to pay the principal amount due. ABW is requesting payment of principal, interest, default interest, and late fees totalling \$114,292.88 as well as restitution for unjust enrichment.
Current Status:	Pending
Limitations or Restrictions in Effect During Appeal:	N/A
Broker Statement	I was a member of Majestic Partner Investments, LLC when the promissory note was issued. As of 1/1/18 I was no longer a partner.



End of Report

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