IAPD Report

JEFFERY ALLEN ACHESON

CRD# 706698

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JEFFERY ALLEN ACHESON (CRD# 706698)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/29/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	INDEPENDENT FINANCIAL PARTNERS	CRD# 125112	04/28/2017
B	IFP SECURITIES, LLC	CRD# 297287	05/23/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **16** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	LPL FINANCIAL LLC	6413	POWELL, OH	04/28/2017 - 05/23/2019
B	AXA ADVISORS, LLC	6627	POWELL, OH	07/15/2014 - 05/01/2017
IA	AXA ADVISORS, LLC	6627	POWELL, OH	07/15/2014 - 05/01/2017

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **16** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **IFP SECURITIES, LLC**

Main Address: 3030 NORTH ROCKY POINT DRIVE WEST,
SUITE 700
TAMPA, FL 33607

Firm ID#: 297287

	Regulator	Registration	Status	Date
B	FINRA	Direct Participation Programs	Approved	05/23/2019
B	FINRA	General Securities Principal	Approved	05/23/2019
B	FINRA	General Securities Representative	Approved	05/23/2019
B	FINRA	Invest. Co and Variable Contracts	Approved	05/23/2019
B	FINRA	Investment Co./Variable Contracts Prin	Approved	05/23/2019
B	Colorado	Agent	Approved	05/23/2019
B	District of Columbia	Agent	Approved	05/23/2019
B	Florida	Agent	Approved	05/24/2019
B	Illinois	Agent	Approved	06/20/2019
B	Maryland	Agent	Approved	05/23/2019
B	Michigan	Agent	Approved	11/15/2023
B	Nevada	Agent	Approved	05/23/2019
B	New Jersey	Agent	Approved	12/14/2022

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

Qualifications

	Regulator	Registration	Status	Date
B	North Carolina	Agent	Approved	05/23/2019
B	Ohio	Agent	Approved	05/23/2019
B	Oklahoma	Agent	Approved	06/21/2022
B	Pennsylvania	Agent	Approved	05/23/2019
B	Rhode Island	Agent	Approved	04/25/2022
B	Virginia	Agent	Approved	05/23/2019
B	West Virginia	Agent	Approved	05/23/2019
B	Wisconsin	Agent	Approved	05/23/2019

Branch Office Locations

3030 N. Rocky Point Dr. W.
Ste 700
Tampa, FL 33607

Employment 2 of 2

Firm Name: **INDEPENDENT FINANCIAL PARTNERS**

Main Address: 3030 NORTH ROCKY POINT DRIVE WEST
SUITE 700
TAMPA, FL 33607

Firm ID#: 125112

	Regulator	Registration	Status	Date
IA	Florida	Investment Adviser Representative	Approved	05/09/2017

Branch Office Locations

INDEPENDENT FINANCIAL PARTNERS
3030 N. Rocky Point Dr. W. Suite 700
St. Petersburg, FL 33607

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 5 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
B	General Securities Principal Examination (S24)	Series 24	12/20/2002
B	Investment Company Products/Variable Contracts Principal Examination (S26)	Series 26	01/12/1999

General Industry/Product Exams

	Exam	Category	Date
B	Direct Participation Programs Representative Examination (S22TO)	Series 22TO	01/02/2023
B	Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	01/02/2023
B	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
B	General Securities Representative Examination (S7)	Series 7	11/19/2002
B	Registered Representative Examination (S1)	Series 1	03/22/1980

State Securities Law Exams

	Exam	Category	Date
IA	Uniform Investment Adviser Law Examination (S65)	Series 65	10/28/2002
B	Uniform Securities Agent State Law Examination (S63)	Series 63	07/03/1998

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	04/28/2017 - 05/23/2019	LPL FINANCIAL LLC	CRD# 6413	POWELL, OH
B	07/15/2014 - 05/01/2017	AXA ADVISORS, LLC	CRD# 6627	POWELL, OH
IA	07/15/2014 - 05/01/2017	AXA ADVISORS, LLC	CRD# 6627	POWELL, OH
B	10/31/2008 - 07/15/2014	SAGEPOINT FINANCIAL, INC.	CRD# 133763	COLUMBUS, OH
IA	10/31/2008 - 07/15/2014	SAGEPOINT FINANCIAL, INC.	CRD# 133763	COLUMBUS, OH
IA	07/23/2004 - 07/14/2014	SCHNEIDER DOWNS WEALTH MANAGEMENT ADVISORS, LP	CRD# 128591	COLUMBUS, OH
IA	11/12/2002 - 10/31/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	COLUMBUS, OH
B	07/02/2001 - 10/31/2008	AMERICAN GENERAL SECURITIES INCORPORATED	CRD# 13626	COLUMBUS, OH
B	04/01/1980 - 07/09/2001	FRANKLIN FINANCIAL SERVICES CORPORATION	CRD# 5435	HOUSTON, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2019 - Present	IFP Securities, LLC	Registered Representative	Y	Powell, OH, United States
01/2019 - Present	INDEPENDENT FINANCIAL PARTNERS	Chief Business Development Officer	Y	Powell, OH, United States
04/2017 - Present	Independent Financial Partners	Investment Advisor Representative	Y	Powell, OH, United States
04/2017 - 05/2019	LPL FINANCIAL, LLC	REGISTERED REPRESENTATIVE	Y	POWELL, OH, United States
07/2015 - 04/2017	Corporate & Endowment Solutions, Inc.	Managing Partner - Investment Advisory Services	Y	Springfield, IL, United States
07/2014 - 04/2017	AXA ADVISORS, LLC	REGISTERED REPRESENTATIVE	Y	NEW YORK, NY, United States

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

Registration & Employment History

EMPLOYMENT HISTORY

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) 04/27/2017 Â-ADVANCED STRATEGIES GROUP, LLC Â-DBA for activities as an IAR of IFP Advisors, LLC, as a RR of IFP Securities LLC and as an Insurance Agent placing non-Âvariable insurance products primarily through IFP Insurance Group but at times extending to other insurance Brokerage General Agencies for non-Âvariable business. 7540 Sawmill Parkway, Suite E. POWELL, OH 43065 100% owner 2. 03/15/2015 The National Association of Plan Advisors - 4245 N. Fairfax Drive Arlington VA 22203 - Non-Financial Related - Non-compensated member of the Leadership Council & Immediate Past President. 3. 04/15/18 The American Retirement Association - 4245 N. Fairfax Drive Arlington VA 22203 - Non-Financial Related - Non-compensated member of the Board of Directors.

(2) American Retirement Association; Not Investment related; 4245 Fairfax Drive, Arlington, VA 22203; Non-Profit 501(c)(3); Volunteer; Non-compensated member of the Board of Directors; Start 4/9/18; 10 hrs/mo, 0 during trading.

(3) National Association of Plan Advisors (NAPA); Not Investment related; 4245 N. Fairfax Drive, Arlington, VA 22203; Non-Profit 501(c)(3); Volunteer; Non-compensated Chairman of the NAPA Nonqualified Plan Advisor Certificate Education program and annual conference; start 3/15/15; 10 hrs/mo, 0 during trading.

(4) Advanced Strategies Group, LLC; DBA; Investment related; 7540 Sawmill Parkway, Ste E, Powell, OH 43065; 100% Owner; DBA for activities as an IAR of IFP Advisors, LLC, as a RR of IFP Securities, LLC and as an Insurance Agent placing non-variable insurance products primarily through IFP Insurance Group but at times extending to other insurance Brokerage General Agencies for non-variable business; Start 4/7/17; 160 hrs/mo, 160 hrs/mo during trading.

(5) Advanced Strategies Group, LLC - Investment Related - 7540 Sawmill Parkway, Suite E, Powell, OH 43065 - Owner/Consultant - Consulting and Educational Services helping other organizations and individuals advise their clients on NQDC Plans, business succession planning, and exit planning. start 5/1/2022 - 15 hrs/mo, 10 hrs/mo during trading hours.

(6) AmberStrong Memorial Fund c/o The Columbus Foundation - not investment related - 1234 East Main Street Columbus OH 43205-1453 - 501(c)(3) Donor Advised Fund (DAF) - Volunteer - Creator of the DAF - start date 6/23/2023 - 10 hours/month - 5hours/month during trading - I am the creator of the DAF, solicit contributions to support charitable causes and direct which received donations from the DAF.

(7) ASG Database Marketing Services, Inc. - not investment related - 3030 N Rocky Point Dr W Suite 700 Tampa FL 33607 - It is an entity created by Jeff and Tyler Acheson to hold a Salesforce license to create a database of law firms, lawyers, and lobbyists. This database will then be licensed to the Advanced Strategies Group, also owned by Jeff Acheson, to market financial services under its name and supervised by IFP. ASG DMS will provide technology support to ASG. - Owner - start date Nov. 1, 2024 - 20 hours/month - 20 hours/month during trading - Administrative duties

(8) Ruling Our Experience (ROX) - not investment related - 1335 Dublin Road Suite 18A Columbus Ohio 43215 - Ruling Our eXperiences (ROX) is the national nonprofit authority on girls. Since 2011, ROX research, programs, and resources have helped ensure that girls are in control of their own relationships, experiences, decisions, and futures. - Board Member - 01/27/2026 - 5 hours/month - 2 hours/month during - As a BOD member, providing guidance on growth, governance, marketing, and fund raising. Promoting awareness of the organization and its mission.

(9) ASG Nonqualified Plan Consulting - investment related - 3030 N Rocky Point Drive W Suite 700, Tampa, FL 33607 - consulting services for nonqualified plans and executive benefits - owner - start date Jan 24, 2025 - 10 hours/month (10 during trading hours) - fee-based consulting on plan design, vendor selection, and implementation; no pro

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: AMERICAN GENERAL SECURITIES INCORPORATED

Allegations: CUSTOMER ALLEGES SUITABILITY WITH REGARD TO DEATH BENEFIT OPTION ON DECEASED HUSBAND'S TWO PRUDENTIAL VARIABLE ANNUITIES ON 03/21/2003.

Product Type: Annuity(ies) - Variable

Alleged Damages: $55,000.00

Customer Complaint Information

Date Complaint Received: 09/17/2003

Complaint Pending? No

Status: Closed/No Action

Status Date: 11/13/2003

Settlement Amount:

Individual Contribution Amount:

Broker Statement MY INVOLVEMENT IN THIS COMPLAINT WAS INCIDENTAL AND AFTER THE FACT. I WAS ASKED BY MARY MALANIAK AND THE REGISTERED REPRESENTATIVE ACTUALLY WORKING WITH HER TO REVIEW THE FACTS, CIRCUMSTANCES AND RESULTS OF A CHOSEN DEATH BENEFIT FOR TWO PRUDENTIAL VARIABLE ANNUITIES. SUBSEQUENTLY, A COMPLAINT LETTER AGAINST THE OTHER REGISTERED REPRESENTATIVE WAS SENT

AND ADDRESSED IN A MANNER TO ALERT ME OF THE COMPLAINT, NOT ACTUALLY MAKE A COMPLAINT AGAINST ME. HOWEVER, AS A RESULT OF THE LETTER ADDRESSING FORMAT, THE COMPLAINT HAS TO BE RECORDED UNDER MY NAME ALSO. THE CIVIL LITIGATION DRP WAS FILED BY THE FIRM IN ERROR IN THAT MR. ACHESON WAS NOT NAMED AS A DEFENDENT IN THE LAWSUIT.

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.

End of Report

This page is intentionally left blank.

©2026 FINRA. All rights reserved. Report about JEFFERY ALLEN ACHESON.