



IAPD Report

Tanner J Stoddard

CRD# 7096595

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Tanner J Stoddard (CRD# 7096595)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/27/2026**.

CURRENT EMPLOYERS

This individual is not currently registered as an Investment Adviser Representative.

QUALIFICATIONS

This individual is not currently registered as an Investment Adviser Representative.

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	WELLS FARGO ADVISORS	19616	SALT LAKE CITY, UT	11/05/2025 - 07/27/2026

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Financial	3



Qualifications



REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is not currently registered as an Investment Adviser Representative.



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

No information reported.



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/05/2025 - 07/27/2026	WELLS FARGO ADVISORS	CRD# 19616	SALT LAKE CITY, UT

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	WELLS FARGO CLEARING SERVICES, LLC	REGISTERED REP	Y	SALT LAKE CITY, UT, United States
12/2023 - Present	Utah High School Athletics Association	Wrestling Referee - Seasonal	N	Salt Lake City, UT, United States
11/2023 - Present	Rocky Mountain Nationals	Wrestling Referee - Seasonal	N	Longmont, CO, United States
04/2023 - Present	SU Baseball	Baseball Umpire - Multiple locations in Utah. I also travel occasionally to work for them. I am a 1099 contractor.	N	St. George, UT, United States
06/2023 - 07/2025	US Tech Solutions	Contractor	N	Salt Lake City, UT, United States
06/2023 - 07/2025	Wells Fargo Clearing Services	Registered Rep	Y	Salt Lake City, UT, United States
04/2011 - 07/2025	Rocky Mountain School of Baseball	Baseball Umpire - Multiple locations. Utah and Pocatello Idaho when i was younger. I also travel occasionally to work for them. I am a 1099 contractor.	N	Salt Lake City, UT, United States
01/2023 - 08/2023	Morgan Stanley	NEX, Financial Service Rep 2	Y	SANDY, UT, United States
01/2021 - 01/2023	E*TRADE SECURITIES LLC	NEX, Financial Service Rep 2	Y	SANDY, UT, United States
11/2020 - 01/2021	Mountain America Credit Union	Member Service Representative	N	Sandy, UT, United States
04/2019 - 09/2020	Wells Fargo Advisors	Unlicensed Client Service Representative	Y	Salt Lake City, UT, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2018 - 04/2019	Wells Fargo Bank	Teller	N	Seattle, WA, United States
04/2018 - 09/2018	Jeremiah Boshard Construction	Construction help. Picking up supplies and digging for water drains.	N	Escondido, CA, United States
11/2013 - 04/2018	Wells Fargo Bank	Multiple - Customer Service Representative, Business Customer Service Representative, Bill Pay Specialist	N	Salt Lake City, UT, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(A)

1. Rocky Mountain School of Baseball
2. Investment Related:No
3. Providence, UT
4. Sports Officiating
5. Employee
6. 04/01/2010
7. Hours devoted to business:30
8. Hours devoted during Securities business:0
9. Umpire duties

(B)

1. Instacart
2. Investment Related:No
3. San Francisco, CA
4. Food and Grocery Delivery
5. Employee
6. 12/01/2020
7. Hours devoted to business:35
8. Hours devoted during Securities business:0
9. Drive and deliver food. Company is based in CA and I work in UT.

(D)

1. Rental Property
2. Investment Related:No
3. Utah



Registration & Employment History



OTHER BUSINESS ACTIVITIES

4. Renting rooms to others

5. Owner

6. 03/22/2021

7. Hours devoted to business:5

8. Hours devoted during Securities business:0

9. Landlord

UTAH HIGH SCHOOL ATHLETIC ASSOCIATION, NOT INV RELATED, MIDVALE, UT, WRESTLING REFEREE, START DATE: 12/4/23, 25 HRS PER MONTH, 0 HRS DURING TRADING;

RENTAL PROPERTY-3400; INV RELATED; WEST VALLEY CITY,UT; RENTAL;100% OWNERSHIP; START DATE: 08/01/2021. 5 HRS PER MONTH; 0 HRS DURING TRADING;



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Financial	3

Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 3

Reporting Source:	Individual
Action Type:	Compromise
Action Date:	11/07/2023
Organization Investment-Related?	
Type of Court:	Compromise - No court appearance
Name of Court:	
Location of Court:	
Docket/Case #:	
Action Pending?	No
Disposition:	Compromise - See Below
Disposition Date:	11/07/2023
If a compromise with creditor, provide:	
Name of Creditor:	Citi Card
Original Amount Owed:	\$7,812.66
Terms Reached with Creditor:	Settlement Amount Paid - \$4297.00
Amount Paid:	\$4,297.00
SIPA (Securities Investor Protection Act) Trustee:	



Currently Open? No

**Date Direct Payment
Initiated/Filed or Trustee
Appointed:** 11/07/2023

Disclosure 2 of 3

Reporting Source: Individual

Action Type: Compromise

Action Date: 08/07/2023

**Organization Investment-
Related?**

Type of Court: Compromise - No court appearance

Name of Court:

Location of Court:

Docket/Case #:

Action Pending? No

Disposition: Direct Payment Procedure

Disposition Date: 08/07/2023

**If a compromise with creditor,
provide:**

Name of Creditor: JPMCB

Original Amount Owed: \$24,802.26

Terms Reached with Creditor: Settlement Amount Paid - \$12,402.00

Amount Paid: \$12,402.00

**SIPA (Securities Investor
Protection Act) Trustee:**

Currently Open? No

**Date Direct Payment
Initiated/Filed or Trustee
Appointed:** 08/07/2023

Disclosure 3 of 3

Reporting Source: Individual

Action Type: Compromise

Action Date: 11/24/2023

**Organization Investment-
Related?**

Type of Court: Compromise - No court appearance



Name of Court:

Location of Court:

Docket/Case #:

Action Pending? No

Disposition: Direct Payment Procedure

Disposition Date: 11/24/2023

**If a compromise with creditor,
provide:**

Name of Creditor: CitiBank, NA 3015 (Citi Mastercard - Credit Control)

Original Amount Owed: \$3,768.67

Terms Reached with Creditor: Settlement Amount Paid - 1319.03

Amount Paid: \$1,319.03

**SIPA (Securities Investor
Protection Act)Trustee:**

Currently Open? No

**Date Direct Payment
Initiated/Filed or Trustee
Appointed:** 11/24/2023



End of Report

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