



IAPD Report

Mikayla Elizabeth Raleigh

CRD# 7181755

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Mikayla Elizabeth Raleigh (CRD# 7181755)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **10/05/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	RALEIGH CAPITAL MANAGEMENT INC.	CRD# 149538	10/02/2019
B	QUINCY WELLS CAPITAL, LLC	CRD# 334163	05/01/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **18** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	GREAT POINT CAPITAL LLC	114203	Cary, NC	07/13/2021 - 05/01/2026
B	AAG CAPITAL, INC	188	CARY, NC	02/11/2021 - 10/28/2021

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **18** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **QUINCY WELLS CAPITAL, LLC**

Main Address: 145 SOUTH WELLS STE 1301
CHICAGO, IL 60606

Firm ID#: 334163

	Regulator	Registration	Status	Date
	FINRA	General Securities Principal	Approved	05/01/2026
	FINRA	General Securities Representative	Approved	05/01/2026
	California	Agent	Approved	05/01/2026
	Florida	Agent	Approved	05/04/2026
	Georgia	Agent	Approved	05/01/2026
	Idaho	Agent	Approved	05/01/2026
	Maryland	Agent	Approved	05/01/2026
	Michigan	Agent	Approved	05/01/2026
	Missouri	Agent	Approved	05/01/2026
	Nebraska	Agent	Approved	05/01/2026
	New York	Agent	Approved	05/01/2026
	North Carolina	Agent	Approved	05/01/2026
	Oklahoma	Agent	Approved	05/01/2026



Qualifications

	Regulator	Registration	Status	Date
B	Pennsylvania	Agent	Approved	05/01/2026
B	South Carolina	Agent	Approved	05/01/2026
B	Tennessee	Agent	Approved	05/01/2026
B	Vermont	Agent	Approved	05/01/2026
B	Virginia	Agent	Approved	05/01/2026
B	Washington	Agent	Approved	05/01/2026
B	Wisconsin	Agent	Approved	05/01/2026

Branch Office Locations

145 SOUTH WELLS STE 1301
CHICAGO, IL 60606

Employment 2 of 2

Firm Name: **RALEIGH CAPITAL MANAGEMENT INC.**
Main Address: 117 EDINBURGH SOUTH DRIVE
SUITE 110
CARY, NC 27511
Firm ID#: 149538

	Regulator	Registration	Status	Date
IA	North Carolina	Investment Adviser Representative	Approved	10/02/2019

Branch Office Locations

RALEIGH CAPITAL MANAGEMENT INC.
117 EDINBURGH SOUTH DRIVE
SUITE 110
CARY, NC 27511



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

	Exam	Category	Date
	General Securities Principal Examination (S24)	Series 24	06/05/2025

General Industry/Product Exams

	Exam	Category	Date
	General Securities Representative Examination (S7TO)	Series 7TO	02/11/2021
	Securities Industry Essentials Examination (SIE)	SIE	12/28/2020

State Securities Law Exams

	Exam	Category	Date
	Uniform Securities Agent State Law Examination (S63)	Series 63	03/01/2021
	Uniform Investment Adviser Law Examination (S65)	Series 65	10/02/2019

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	07/13/2021 - 05/01/2026	GREAT POINT CAPITAL LLC	CRD# 114203	Cary, NC
B	02/11/2021 - 10/28/2021	AAG CAPITAL, INC	CRD# 188	CARY, NC

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - Present	Quincy Wells Capital, LLC	Registered Representative	Y	CHICAGO, IL, United States
09/2019 - Present	RALEIGH CAPITAL MANAGEMENT INC.	Investment Advisor Representative	Y	Cary, NC, United States
05/2019 - Present	Raleigh Wealth Solutions	Insurance Agent	Y	Cary, NC, United States
07/2021 - 05/2026	Great Point Capital, LLC	Registered Representative	Y	Chicago, IL, United States
02/2021 - 07/2021	AAG Capital Inc	Registered Representative	Y	WESLEY CHAPEL, FL, United States
08/2017 - 05/2019	Oklahoma State University	Student, Personal Trainer	N	Stillwater, OK, United States
05/2015 - 03/2019	National Cheerleaders Assoc.	Lead Instructor	N	All travel, no specific location, NC, United States
08/2017 - 01/2019	Perry High School	Cheer Coach	N	Perry, OK, United States
08/2013 - 05/2017	NC State University	Student	N	Raleigh, NC, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Employee of Raleigh Wealth Solutions, investment related business, Address: 117 Edinburgh South Drive, Suite 110, Cary, NC 27511, Nature of business: insurance services, Position-Insurance Agent, Start Date May 2019, approx. 40 hours/week, 160 hours/month. I devote all of my time to this business during trading hours. This is my full time job. Duties including servicing client accounts, meeting with clients and prospects, coordinating marketing events, and streamlining operations.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

2) Quincy Wells Capital, LLC - a FINRA registered broker / dealer. Investment-related - Yes. Address of the other business - 145 South Wells Street, Suite 1301, Chicago, IL 60606. Nature of the other business - Registered Representative offering alternative investments . Position, title, or relationship with the other business - Registered Representative. Start date of relationship, 5/1/2026. Approximate number of hours/month devoted to the other business - 40 hours a month. Approximate number of hours devoted to the other business during securities trading hours - 40 hours per month. Duties relating to the other business - Research and implement alternative investment strategies as part of a comprehensive wealth management approach to financial planning.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	1

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 1

Reporting Source:	Firm
Employing firm when activities occurred which led to the complaint:	GREAT POINT CAPITAL LLC
Allegations:	Violation of common law fraud, breach of fiduciary duty, negligent failure to supervise, and negligence
Product Type:	Real Estate Security
Alleged Damages:	\$500,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	Yes
Arbitration/Reparation forum or court name and location:	FINRA
Docket/Case #:	26-00926
Filing date of arbitration/CFTC reparation or civil litigation:	04/22/2026

Customer Complaint Information

Date Complaint Received:	09/08/2026
Complaint Pending?	Yes



Settlement Amount:

**Individual Contribution
Amount:**

.....

Reporting Source: Individual

**Employing firm when
activities occurred which led
to the complaint:** GREAT POINT CAPITAL LLC

Allegations: Common law fraud, breach of fiduciary duty, negligent failure to supervise, and
negligence

Product Type: Real Estate Security

Alleged Damages: \$500,000.00

Is this an oral complaint? No

Is this a written complaint? Yes

**Is this an arbitration/CFTC
reparation or civil litigation?** Yes

**Arbitration/Reparation forum
or court name and location:** Arbitration

Docket/Case #: 26-00926

**Filing date of
arbitration/CFTC reparation
or civil litigation:** 04/22/2026

Customer Complaint Information

Date Complaint Received: 09/08/2026

Complaint Pending? Yes

Status:

Status Date: 09/10/2026

Settlement Amount:

**Individual Contribution
Amount:**



End of Report

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