



IAPD Report

Jeri Margolis Glazer

CRD# 719333

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Jeri Margolis Glazer (CRD# 719333)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **05/22/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	INNOVATION PARTNERS LLC	CRD# 146344	05/22/2026
IA	IP FINANCIAL ADVISORY SERVICES LLC	CRD# 305772	05/22/2026

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **6** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	PARK AVENUE SECURITIES LLC	46173	CHARLOTTE, NC	05/20/2026 - 05/20/2026
B	PARK AVENUE SECURITIES LLC	46173	CHARLOTTE, NC	05/19/2026 - 05/20/2026
B	AMERIPRISE FINANCIAL SERVICES, LLC	6363	Rutherfordton, NC	11/15/2023 - 04/16/2026

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 6 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **INNOVATION PARTNERS LLC**
Main Address: 5950 FAIRVIEW ROAD
SUITE 140
CHARLOTTE, NC 28210
Firm ID#: 146344

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	05/22/2026
B Delaware	Agent	Approved	05/27/2026
B District of Columbia	Agent	Approved	05/29/2026
B Maryland	Agent	Approved	05/22/2026
B North Carolina	Agent	Approved	05/22/2026
B South Carolina	Agent	Approved	05/26/2026
B Virginia	Agent	Approved	05/28/2026

Branch Office Locations

INNOVATION PARTNERS LLC
Huntersville, NC

INNOVATION PARTNERS LLC
Rockville, MD

Employment 2 of 2

Firm Name: **IP FINANCIAL ADVISORY SERVICES LLC**
Main Address: 5950 FAIRVIEW ROAD, SUITE 140
CHARLOTTE, NC 28210
Firm ID#: 305772



Qualifications

Regulator	Registration	Status	Date
IA Delaware	Investment Adviser Representative	Approved	05/27/2026
IA Maryland	Investment Adviser Representative	Approved	05/22/2026
IA North Carolina	Investment Adviser Representative	Approved	05/27/2026
IA South Carolina	Investment Adviser Representative	Approved	05/27/2026
IA Virginia	Investment Adviser Representative	Approved	05/28/2026

Branch Office Locations

IP FINANCIAL ADVISORY SERVICES LLC
Rockville, MD

IP FINANCIAL ADVISORY SERVICES LLC
Huntersville, NC



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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 General Securities Representative Examination (S7TO)	Series 7TO	01/02/2023
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 Securities Industry Essentials Examination (SIE)	SIE	07/09/2019
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 General Securities Representative Examination (S7)	Series 7	01/19/2001
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State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	04/12/2024
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **1** professional designation(s).

Certified Financial Planner

This representative holds or did hold **1** professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	05/20/2026 - 05/20/2026	PARK AVENUE SECURITIES LLC	CRD# 46173	CHARLOTTE, NC
B	05/19/2026 - 05/20/2026	PARK AVENUE SECURITIES LLC	CRD# 46173	CHARLOTTE, NC
B	11/15/2023 - 04/16/2026	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Rutherfordton, NC
IA	11/15/2023 - 04/16/2026	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	Rutherfordton, NC
IA	03/03/2023 - 11/15/2023	IP FINANCIAL ADVISORY SERVICES LLC	CRD# 305772	Denver, NC
B	02/01/2022 - 11/15/2023	INNOVATION PARTNERS LLC	CRD# 146344	CHARLOTTE, NC
B	12/04/2019 - 02/06/2020	MML INVESTORS SERVICES, LLC	CRD# 10409	VIENNA, VA
B	05/04/2001 - 03/31/2003	MORGAN STANLEY DW INC.	CRD# 7556	PURCHASE, NY
IA	04/04/2001 - 03/31/2003	MORGAN STANLEY	CRD# 7556	WOODLAND HILLS, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - Present	IP Financial Advisory Services LLC	Investment Advisor Representative	Y	Charlotte, NC, United States
05/2026 - Present	Innovation Partners LLC	Registered Representative	Y	Charlotte, NC, United States
05/2026 - 05/2026	GUARDIAN LIFE INSURANCE COMPANY	AGENT	Y	HUNTERSVILLE, NC, United States
05/2026 - 05/2026	GUARDIAN LIFE INSURANCE COMPANY	AGENT	Y	ROCKVILLE, MD, United States
05/2026 - 05/2026	GUARDIAN LIFE INSURANCE COMPANY	AGENT	Y	CHARLOTTE, NC, United States
05/2026 - 05/2026	PARK AVENUE SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	ROCKVILLE, MD, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2026 - 05/2026	PARK AVENUE SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	HUNTERSVILLE, NC, United States
05/2026 - 05/2026	PARK AVENUE SECURITIES LLC	REGISTERED REPRESENTATIVE	Y	CHARLOTTE, NC, United States
04/2026 - 05/2026	Unemployed	None	N	Huntersville, NC, United States
11/2023 - 04/2026	AMERIPRISE FINANCIAL SERVICES, LLC	Registered Rep	Y	Huntersville, NC, United States
01/2022 - 11/2023	Innovation Partners LLC	Registered Representative	Y	Charlotte, NC, United States
07/2021 - 11/2023	Amerilife	Independent Agent	Y	Clearwater, FL, United States
08/2020 - 07/2021	Select Quote	Agent	Y	Overland Park, KS, United States
10/2017 - 08/2020	MASSMUTUAL	AGENT	Y	vienna, VA, United States
05/2019 - 01/2020	MML INVESTORS SERVICES	REGISTERED REP	Y	vienna, VA, United States
04/2017 - 05/2018	NEW PENN FINANCIAL	WHOLESALE ACCOUNT EXECUTIVE MORTGAGE	Y	PLYMOUTH MEETING, PA, United States
07/2015 - 03/2017	ORION LENDING	WHOLESALE ACCOUNT EXECUTIVE MORTGAGE	Y	ORANGE, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Insurance other than The Guardian - Broker for fixed insurance products-1099 independent contractor
 05/03/2021
 10039 Mamillion Dr, Huntersville, NC 28078
 10 total hours per month, 1 hour during securities trading hours
 Investment Related
 less than 10% annual compensation



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	PRUDENTIAL SECURITIES
Allegations:	CLIENT SUBMITTED CLAIM FORM TO CLAIMS RESOLUTION PROCESS RELATING TO LTD. PARTNERSHIP PURCHASED DURING PERIOD OF 9/83 - 11/85. CLAIMS PROCESS SUBMISSION: 90420312
Product Type:	Other
Other Product Type(s):	LIMITED PARTNERSHIP
Alleged Damages:	\$17,765.00

Customer Complaint Information

Date Complaint Received:	10/21/1993
Complaint Pending?	No
Status:	Litigation Settled
Status Date:	10/21/1993
Settlement Amount:	\$22,298.00
Individual Contribution Amount:	\$0.00

Civil Litigation Information

Court Details:	STATE OF MARYLAND - SEC V PSI COURT DOCKET #CIV 2164; 10/21/93
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Date Notice/Process Served: 10/21/1993
Litigation Pending? No
Disposition: Settled
Disposition Date: 10/21/1993
Monetary Compensation Amount: \$22,298.00
Individual Contribution Amount: \$0.00
Broker Statement CIVIL LITIGATION WAS SETTLED FOR THE AMOUNT OF \$22,298.00 ON 10/21/1993. NO LIMITS OR CONDITIONS.

Disclosure 2 of 3

Reporting Source: Individual
Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES
Allegations: CLIENT SUBMITTED A CLAIM FORM TO THE CLAIMS RESOLUTION PROCESS RELATING TO THE PURCHASE OF LTD. PARTNERSHIPS DURING 8/86 - 12/87.
Product Type: Other
Other Product Type(s): LIMITED PARTNERSHIPS
Alleged Damages: \$5,272.00

Customer Complaint Information

Date Complaint Received: 10/21/1993
Complaint Pending? No
Status: Litigation
Settled
Status Date: 10/21/1993
Settlement Amount: \$10,945.00
Individual Contribution Amount: \$0.00

Civil Litigation Information

Court Details: CLAIMS PROCESS SUBMISSION: 90176399 - SEC V.PSI COURT DOCKET #93-CIV: 10/21/93 - STATE OF MARYLAND
Date Notice/Process Served: 10/21/1993
Litigation Pending? No
Disposition: Settled
Disposition Date: 10/21/1993
Monetary Compensation Amount: \$10,945.00
Individual Contribution Amount: \$0.00
Broker Statement CIVIL LITIGATION WAS SETTLED FOR THE AMOUNT OF \$10,945.00 ON



Broker Statement

CIVIL LITIGATION WAS SETTLED FOR THE AMOUNT OF \$10,000.00 ON 10/21/1993. NO LIMITS OR CONDITIONS.

Disclosure 3 of 3

Reporting Source: Regulator

Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INC.

Allegations: SUITABILITY, BREACH OF FIDUCIARY DUTY AND CONTRACT, MISREPRESENTATION

Product Type: Other

Other Product Type(s): LIMITED PARTNERSHIPS

Alleged Damages: \$15,000.00

Arbitration Information

Arbitration/Reparation Claim filed with and Docket/Case No.: NASD - CASE #93-04358

Date Notice/Process Served: 02/16/1994

Arbitration Pending? No

Disposition: Withdrawn

Disposition Date: 11/14/1994

Disposition Detail: >11/29/00 CASE WITHDRAWN WITH PREJUDICE.

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: PRUDENTIAL SECURITIES INC.

Allegations: SUITABILITY, BREACH OF FIDUCIARY DUTY AND CONTRACT, MISREPRESENTATION.

Product Type: Other

Other Product Type(s): LIMITED PARTNERSHIPS

Alleged Damages: \$15,000.00

Customer Complaint Information

Date Complaint Received: 02/16/1994

Complaint Pending? No

Status: Arbitration/Reparation

Status Date: 11/14/1994

Settlement Amount:

Individual Contribution Amount:

Arbitration Information



Arbitration/Reparation Claim filed with and Docket/Case No.:	NASD; CASE NUMBER 93-04358
Date Notice/Process Served:	02/16/1994
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	11/14/1994
Monetary Compensation Amount:	\$60,000.00
Individual Contribution Amount:	\$0.00



End of Report

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