



IAPD Report

Bryan Wise

CRD# 7224688

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Bryan Wise (CRD# 7224688)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/24/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	12/18/2023
IA	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	01/30/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **8** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Financial	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **8** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **THRIVENT INVESTMENT MANAGEMENT INC.**
Main Address: 600 PORTLAND AVENUE SOUTH
MINNEAPOLIS, MN 55415
Firm ID#: 18387

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	12/18/2023
B	California	Agent	Approved	04/24/2026
B	Delaware	Agent	Approved	03/13/2024
B	District of Columbia	Agent	Approved	04/27/2026
IA	District of Columbia	Investment Adviser Representative	Approved	04/30/2026
B	Florida	Agent	Approved	02/27/2026
B	Indiana	Agent	Approved	01/06/2025
B	New Jersey	Agent	Approved	02/27/2026
B	New York	Agent	Approved	04/24/2026
B	Pennsylvania	Agent	Approved	01/30/2024
IA	Pennsylvania	Investment Adviser Representative	Approved	01/30/2024

Branch Office Locations

THRIVENT INVESTMENT MANAGEMENT INC.
2500 E HIGH ST
POTTSTOWN, PA 19464



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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General Securities Representative Examination (S7TO)	Series 7TO	12/16/2023
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Securities Industry Essentials Examination (SIE)	SIE	01/20/2020
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State Securities Law Exams

Exam	Category	Date
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Uniform Combined State Law Examination (S66)	Series 66	01/26/2024
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

[Redacted area]

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
06/2024 - Present	Thrivent Financial	Financial Associate	Y	Appleton, WI, United States
07/2023 - Present	Thrivent Investment Management Inc	Registered Representative	Y	Exton, PA, United States
08/2023 - 06/2024	Thrivent Financial	Associate Representative	Y	Appleton, WI, United States
08/2007 - 08/2023	Coatesville Area School District	Teacher	N	Thorndale, PA, United States
03/2019 - 06/2023	Primerica Financial Services	Senior Representative	Y	Duluth, GA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

SUBSTITUTE TEACHER SERVICE

POSITION: substitute teacher NATURE: substitute teacher service for local k-12 schools INVESTMENT RELATED: No NUMBER OF HOURS: 8 SECURITIES TRADING HOURS: 8 START DATE: 11/01/2023

ADDRESS: 2901 Dutton Mill Road, Aston PA 19014, United States

DESCRIPTION: substitute teaching in k-12 classrooms



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1
Financial	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	District Court
Name of Court:	Magisterial District Court
Location of Court:	Chester County Pennsylvania
Docket/Case #:	CR-290-2002
Charge Date:	12/24/2002
Charge(s) 1 of 4	
Formal Charge(s)/Description:	CC0903A2 CRIMINAL CONSPIRACY
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	withdrawn
Disposition of charge:	charges withdrawn
Charge(s) 2 of 4	
Formal Charge(s)/Description:	CC3925A RECEIVING STOLEN PROPERTY
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	withdrawn
Disposition of charge:	charges withdrawn
Charge(s) 3 of 4	



Formal Charge(s)/Description: CC3921A THEFT BY UNLWF TAKING OR DISP

No of Counts: 1

Felony or Misdemeanor: Misdemeanor

Plea for each charge: withdrawn

Disposition of charge: charges withdrawn

Charge(s) 4 of 4

Formal Charge(s)/Description: CC3503B2 CRIMINAL TRESPASS AGRICULTURA

No of Counts: 1

Felony or Misdemeanor: Misdemeanor

Plea for each charge: withdrawn

Disposition of charge: charges withdrawn

Current Status: Final

Status Date: 12/24/2002

Disposition Date: 12/24/2002

Sentence/Penalty: charges withdrawn

Broker Statement

As noted in the FBI document, the event occurred on December 24, 2002. Two close friends, along with my brother and I, were playing a prank on my youth pastor. We attempted to grab a large circular hay bail from a neighboring farm in order to place it on our pastor's front porch. However, a cop showed up and proceeded to arrest all of us under the four charges listed on the FBI report. The police officer stated that the farmer was going to press charges and we were then taken to a nearby police station for fingerprinting and holding until a family member came to pick us up.

A court date was set for January. The four of us showed up to court as scheduled, only to find out that all charges were being dropped/withdrawn. We spoke with the district judge and he ordered us to perform community service for our pastor to make up for the trouble we had caused for everyone involved. This was agreed upon and all charges were dismissed with no further action by the court.

With that being said, I have been employed as a teacher within a Pennsylvania Public School for the past 16 years. My clearances are updated every 3 years. During this time, my PA Criminal Background check has always come up clean with 'no record' and the FBI report still lists the charges due to fingerprinting. I have previously attempted to track down any paper or electronic records of this specific event, but have not been successful. I visited the district court office on July 6, 2017 in an effort to retrieve the case/court files to assist in FBI expungement as specific docket information and case numbers are required. After a thorough search and review by the office clerks, there was found to be no case/court records, nor were there any list of charges found. The clerk suggested that the FBI record should also be clean and that it was "an unfortunate, clerical error on someone's part."

I have documentation from the District Court Magistrate, Chester County District Attorney, and the Pennsylvania State Police stating that all charges were withdrawn and there is currently no record. My goal was to gather these documents so that I could present as much documentation as possible for any organization, department, or employer that questioned the charges.

In summary, there is no record of my arrest at the local, district and state levels. I have attached the signed paperwork from district court and the most recent PA Background Check to assist with the committee's decision.



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Financial

This disclosure event involves a final bankruptcy, compromise with one or more creditors, or Securities Investor Protection Corporation liquidation that occurred within the last 10 years and that involved the Investment Adviser Representative or an organization/investment adviser that the Investment Adviser Representative controlled that occurred within the last 10 years.

Disclosure 1 of 1

Reporting Source: Individual
Action Type: Compromise
Action Date: 07/24/2020

Organization Investment-Related?

Action Pending? No
Disposition: Discharged
Disposition Date: 07/24/2020

If a compromise with creditor, provide:

Name of Creditor: Capital One Bank USA
Original Amount Owed: \$3,000.00
Terms Reached with Creditor: \$1509.77 of debt was cancelled due to financial hardship at the time. Account was closed.



End of Report

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