



IAPD Report

TIMOTHY CARLIN MCCABE

CRD# 724176

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

TIMOTHY CARLIN MCCABE (CRD# 724176)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/22/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	CETERA WEALTH SERVICES, LLC	CRD# 13572	05/19/1998
IA	ADVISORNET WEALTH PARTNERS	CRD# 113074	05/20/2019

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **4** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	MCCABE & ASSOCIATES, INC	106588	MOKENA, IL	08/11/1998 - 08/02/2019
B	DREHER & ASSOCIATES, INC.	8665	OAKBROOK TERRACE, IL	06/10/1982 - 05/18/1998
B	TITAN CAPITAL CORPORATION	6359	OAKBROOK TERRACE, IL	12/26/1981 - 04/23/1984

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 4 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ADVISORNET WEALTH PARTNERS**
Main Address: 110 CHESHIRE LANE
SUITE 200
MINNETONKA, MN 55305
Firm ID#: 113074

Regulator	Registration	Status	Date
IA Illinois	Investment Adviser Representative	Approved	06/17/2019
IA Michigan	Investment Adviser Representative	Approved	08/15/2025

Branch Office Locations

ADVISORNET WEALTH PARTNERS
9480 ENTERPRISE DR. SUITE 1
MOKENA, IL 60448

Employment 2 of 2

Firm Name: **CETERA WEALTH SERVICES, LLC**
Main Address: 2301 ROSECRANS AVE #5100
EL SEGUNDO, CA 90245
Firm ID#: 13572

Regulator	Registration	Status	Date
B FINRA	General Securities Principal	Approved	05/19/1998
B FINRA	General Securities Representative	Approved	05/19/1998
B Florida	Agent	Approved	05/22/1998
B Illinois	Agent	Approved	05/27/1998
B Michigan	Agent	Approved	02/09/2023



Qualifications

Regulator	Registration	Status	Date
B Wisconsin	Agent	Approved	05/20/1998

Branch Office Locations

CETERA ADVISOR NETWORKS LLC
9480 ENTERPRISE DRIVE
STE 1
MOKENA, IL 60448

CETERA ADVISOR NETWORKS LLC
9480 ENTERPRISE DR STE 1
MOKENA, IL 60448

CETERA ADVISOR NETWORKS LLC
St Joseph, MI




Qualifications

PASSED INDUSTRY EXAMS



This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 2 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	05/27/1994

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 General Securities Representative Examination (S7)	Series 7	02/21/1981

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	06/02/1981

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported 1 professional designation(s).

Certified Financial Planner

This representative holds or did hold 1 professional designation(s) that may have been used to qualify as an Investment Advisor representative. Please check with the appropriate designation authority for verification that the designation is still in effect. The contact information for these professional designation authorities can be found on the website for the North American Securities Administrators Association at <http://www.nasaa.org>



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	08/11/1998 - 08/02/2019	MCCABE & ASSOCIATES, INC	CRD# 106588	MOKENA, IL
B	06/10/1982 - 05/18/1998	DREHER & ASSOCIATES, INC.	CRD# 8665	OAKBROOK TERRACE,
B	12/26/1981 - 04/23/1984	TITAN CAPITAL CORPORATION	CRD# 6359	
B	02/23/1981 - 12/21/1981	WEINRICH, ZITZMANN & WHITEHEAD, INC.	CRD# 5717	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
05/2019 - Present	ADVISORNET WEALTH PARTNERS	IAR	Y	MINNEAPOLIS, MN, United States
01/2013 - Present	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
01/1981 - 08/2019	MCCABE & ASSOCIATES, INC.	OTHER - VICE-PRES.	Y	MOKENA, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

(1) NAME OF OTHER BUSINESS: FIXED INSURANCE WITH VARIOUS COMPANIES;
 INVESTMENT RELATED: YES;
 ADDRESS: SAME AS REGISTERED LOCATION;
 NATURE OF BUSINESS: FIXED INSURANCE;
 START DATE: 9/2021

APX NUMBER OF HOURS PER WEEK: LESS THAN 2;
 APX NUMBER OF HOURS DURING TRADING HOURS: LESS THAN 2;
 POSITION/TITLE/RELATIONSHIP: INSURANCE AGENT
 BRIEF DESCRIPTION OF DUTIES: SELLS LIFE, HEALTH, DISABILITY, ANNUITIES AND LONG-TERM CARE;

(2) NAME OF OTHER BUSINESS: ADVISORNET FINANCIAL INC, DBA ADVISORNET WEALTH PARTNERS - CRD #113074
 INVESTMENT RELATED: YES
 ADDRESS: SAME AS REGISTERED LOCATION
 NATURE OF BUSINESS: ADVISORY BUSINESS
 POSITION/TITLE/RELATIONSHIP: ADVISORY REPRESENTATIVE
 START DATE: 04/2019



Registration & Employment History



OTHER BUSINESS ACTIVITIES

APX NUMBER OF HOURS PER WEEK: 40
APX NUMBER OF HOURS DURING TRADING HOURS: 32.5
BRIEF DESCRIPTION OF DUTIES: FINANCIAL PLANNING, ASSET MANAGEMENT

(3) NAME OF OTHER BUSINESS: EGW, LLC;
INVESTMENT RELATED: NO;
ADDRESS: SAME AS REGISTERED LOCATION;
NATURE OF BUSINESS: REAL ESTATE;
START DATE: 06/2000;
POSITION/TITLE/RELATIONSHIP: MANAGER;
APX NUMBER OF HOURS PER WEEK: 1;
APX NUMBER OF HOURS DURING TRADING HOURS: 0;
BRIEF DESCRIPTION OF DUTIES: HOLDING COMPANY FOR CURRENT BUSINESS OFFICE BUILDING ;



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	2

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 2

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	TITAN CAPTIAL CORPORATION
Allegations:	CUSTOMER ALLEGES WITHOUT ANY BASIS AND SUPPORT, THAT I ACTED AS A FIDCUIARY WITH RESPECT TO CUSTOMER'S PURCHASE OF TWO REAL ESTATE LIMITED PARTNERSHIP 19 YEARS AGO IN 1980. [CUSTOMER] PURCHASED THESE 2 INVESTMENTS FROM A REGISTERED REPRESENTATIVE LOCATED IN ST. LOUIS AND NOT FROM ME.
Product Type:	Other
Other Product Type(s):	REAL ESTATE LIMITED PARTNERSHIP
Alleged Damages:	\$105,000.00

Customer Complaint Information

Date Complaint Received:	11/26/1996
Complaint Pending?	No
Status:	Settled
Status Date:	10/30/1998
Settlement Amount:	\$21,000.00
Individual Contribution Amount:	\$21,000.00
Broker Statement	THE ALLEGATIONS WERE WITHOUT MERIT. IN 1980 FOLLOWING A SOCIAL INQUIRY BY [CUSTOMER] FOR WAYS TO "SHELTER" INCOME, MY FATHER,



[FAMILY MEMBER] INTRODUCED HER TO THE REGISTERED REPRESENTATIVE FROM WHOM [CUSTOMER] ULTIMATELY BOUGHT INTEREST IN 2 REAL ESTATE LIMITED PARTNERSHIPS. I BECAME A LICENSED SECURITIES DEALER IN 1981 AND ACTED AS BROKER FOR [CUSTOMER] IN 1985 & 1995 IN THE PURCHASE OF 3 MUTUAL FUNDS. NEITHER I NOR MY FATHER WERE INVOLVED IN THE PURCHASE OF HER PARTNERSHIP INTERESTS. FOR A VARIETY OF REASONS THE PARTNERSHIP DECLINED IN VALUE. [CUSTOMER] ERRONEOUSLY CLAIMED I WAS HER ADVISOR WITH RESPECT TO THESE PARTNERSHIPS. DUE TO THE COST AND HAZARDS OF POTENTIAL LITIGATION, AN OUT-OF-COURT SETTLEMENT WAS REACHED.

Disclosure 2 of 2

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: MCCABE AND ASSOCIATES

Allegations: FMT ALLEGES DAMAGES RESULTING FROM THE PURCHASE OF THE JACQUES-MILLER INCOME FUND II LIMITED PARTNERSHIP BY THE FMT EMPLOYEES PENSION PLAN. THE CORPORATION MCCABE & ASSOCIATES, INC. OF WHICH I AM THE PRESIDENT WAS NAMED IN THE COMPLAINT. THREE OTHER DEFENDANTS WERE NAMED IN PART 1 OF THE COMPLAINT INVOLVING THE PURCHASE OF AN INSURANCE CONTRACT.

Product Type:

Alleged Damages: \$30,000.00

Customer Complaint Information

Date Complaint Received:

Complaint Pending? No

Status: Litigation

Status Date:

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Court Details: NORTHERN DISTRICT IL; 94 C 2027

Date Notice/Process Served: 02/25/1994

Litigation Pending? No

Disposition: Settled

Disposition Date: 12/02/1994

Monetary Compensation Amount: \$130,000.00

Individual Contribution Amount:

Broker Statement DUE TO THE COST AND HAZARDS OF LITIGATION, AN



OUT-OF-COURT SETTLEMENT WAS REACHED. THE FOUR DEFENDANTS COLLECTIVELY AGREED TO PAY \$100,000 AT SETTLEMENT AND A PROMISSORY NOTE OF \$30,000 PAID ON DECEMBER 31, 1997. WHEN THE LIQUIDATION OF INTER-AMERICAN LIFE INSURANCE COMPANY (ILIC) IS FINALIZED, THE DEFENDANTS WILL RECEIVE A PORTION AND POSSIBLY ALL OF THE MONEY ADVANCED IN THE SETTLEMENT. THE ALLEGATIONS WERE COMPLETELY WITHOUT MERIT. FMT WAS FULLY AWARE OF THE ILLIQUID NATURE OF THE LIMITED PARTNERSHIP INVESTMENT. WHEN FMT DECIDED TO TERMINATE THEIR PENSION PLAN, IT VOLUNTARILY PURCHASED THE PARTNERSHIP INTERESTS FROM THE PLAN FOR ITS THEN APPRAISED VALUE OF \$36,960. DESPITE ALLEGING DAMAGES OF \$30,000 FMT HAS RECEIVED CASE DISTRIBUTIONS TO DATE OF \$18,035. THE ESTIMATED NET ASSET VALUE OF THEIR REMAINING INTEREST IN THE PARTNERSHIP AS OF DECEMBER 31, 1995 AS PROVIDED BY THE GENERAL PARTNER WAS APPROXIMATELY \$16,800.



End of Report

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