



IAPD Report

Craig S Bolier

CRD# 7247849

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Craig S Bolier (CRD# 7247849)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/11/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	ONEAMERICA SECURITIES, INC.	CRD# 4173	02/19/2025
IA	ONEAMERICA SECURITIES, INC.	CRD# 4173	08/29/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **3** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	ALLSTATE FINANCIAL SERVICES, LLC	18272	Mesa, AZ	12/09/2020 - 09/01/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 3 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **ONEAMERICA SECURITIES, INC.**
Main Address: ONE AMERICAN SQUARE
INDIANAPOLIS, IN 46282
Firm ID#: 4173

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	02/19/2025
B	Arizona	Agent	Approved	02/19/2025
IA	Arizona	Investment Adviser Representative	Approved	08/29/2025
IA	California	Investment Adviser Representative	Approved	09/11/2025
IA	Florida	Investment Adviser Representative	Approved	09/12/2025

Branch Office Locations

ONEAMERICA SECURITIES, INC.
9375 E Shea Blvd
Suite 114
Scottsdale, AZ 85260



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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 Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	12/09/2020
 Securities Industry Essentials Examination (SIE)	SIE	11/16/2020

State Securities Law Exams

Exam	Category	Date
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 Uniform Investment Adviser Law Examination (S65)	Series 65	08/28/2025
 Uniform Securities Agent State Law Examination (S63)	Series 63	12/17/2020

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	12/09/2020 - 09/01/2022	ALLSTATE FINANCIAL SERVICES, LLC	CRD# 18272	Mesa, AZ

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
02/2025 - Present	OneAmerica Securities	Registered Rep	Y	Scottsdale, AZ, United States
11/2024 - Present	American United Life	Agent	Y	Scottsdale, AZ, United States
09/2020 - 02/2025	Eaglerock Investments	Owner/Agent	Y	Mesa, AZ, United States
06/2023 - 01/2025	Fortis Lux Financial	Agent	Y	Scottsdale, AZ, United States
06/2023 - 01/2025	Mass Mutual	Agent	Y	Mesa, AZ, United States
07/2021 - 12/2022	Rover (In Home Pet Sitting)	Owner	N	Mesa, AZ, United States
09/2020 - 12/2022	Crownline Properties LLC	Manager	N	Mesa, AZ, United States
10/2020 - 08/2022	Allstate Insurance	Agency Owner	N	Mesa, AZ, United States
06/1992 - 09/2020	Ecolab Company	AVP	N	Naperville, IL, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Non-variable insurance sales (fixed life, fixed annuities)**Owner: Redrock Financial Advisors-Financial Services**Loan Officer: American Pacific Mortgage**Advisor: Integrated Trust Systems-Trusts for Estate Planning



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	
Investment Related Business:	No
Position:	
Formal Charges were brought in:	State Court
Name of Court:	State Court of Gwinnett County
Location of Court:	Gwinnett County, State of Georgia
Docket/Case #:	87-D-2410-3
Charge Date:	08/12/1987
Charge(s) 1 of 1	
Formal Charge(s)/Description:	theft by taking
No of Counts:	1
Felony or Misdemeanor:	Misdemeanor
Plea for each charge:	dismissed
Disposition of charge:	Deferred Adjudication
Current Status:	Final
Status Date:	01/22/1988



Disposition Date: 01/22/1988

Sentence/Penalty: 40 hours community service served Dec 21-24, 1987
Charges dismissed Jan 22, 1988

Broker Statement

In late summer 1987, I was involved in a very poor decision involving a senior prank that resulted in a Theft-By-Taking misdemeanor criminal charge. Summarizing the circumstances: One afternoon after lunch, there were 6 of us riding in a pickup truck, w/ 3 in the bed. We stopped at a red light in front of a strip mall shopping center. At that intersection, there was always a large over-sized white wicker rocking chair that the store owner used for advertisement. On a moments notice, those in the back of the truck jumped out and took the chair placing it in the bed of the truck. The intention was to spray paint the chair in our school colors and place it in front of the high school football stadium for the first game of the season to generate laughs. What a dumb and bad decision!

Unbeknownst to us in the truck, the store owner saw us take the chair, got the license plate of the truck, and reported to the police. A couple of days later, before we had painted the chair, the police were able to track down the driver, whom then named all of us involved. The chair was returned undamaged to the store owner. Yet, he was angry, and wanted punishment for all of us involved. Thus, the Theft charge was filed.

We hired a lawyer, whom working with the court, was able to get the charges dismissed via Nolle Prose (no contest) in exchange for a court diversion program of 40 hours of community service, which I completed in December of 1987. This was documented and recorded in court filings January 22, 1988, dismissing the charge.

This was an embarrassing and foolish prank from which I learned a valuable lesson about property rights of others and my personal freedoms, as this charge has followed me my working lifetime.



End of Report

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