



IAPD Report

Eduardo Ignacio Sacco Perez Sosa

CRD# 7292371

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4
Disclosure Information	5



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Eduardo Ignacio Sacco Perez Sosa (CRD# 7292371)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **03/25/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
	SOLIDUS ADVISORS LLC	CRD# 334863	08/18/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
	SOLIDUS ADVISORS	317838	MIAMI, FL	11/08/2022 - 12/31/2023
	HARBOR ITHAKA	170580	MIAMI, FL	03/10/2021 - 04/13/2022

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **SOLIDUS ADVISORS LLC**
Main Address: 800 BRICKELL AVE.
4TH FLOOR, SUITE 408
MIAMI, FL 33131-2974
Firm ID#: 334863

Regulator	Registration	Status	Date
IA Florida	Investment Adviser Representative	Approved	08/18/2025

Branch Office Locations

SOLIDUS ADVISORS LLC
800 BRICKELL AVE.
4TH FLOOR, SUITE 408
MIAMI, FL 33131-2974



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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No information reported.

State Securities Law Exams

Exam	Category	Date
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IA	Uniform Investment Adviser Law Examination (S65)	Series 65	11/06/2020
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PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	11/08/2022 - 12/31/2023	SOLIDUS ADVISORS	CRD# 317838	MIAMI, FL
IA	03/10/2021 - 04/13/2022	HARBOR ITHAKA	CRD# 170580	MIAMI, FL

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
12/2021 - Present	Solidus Advisors LLC	Managing Director	Y	Miami, FL, United States
05/2016 - 04/2022	Blue Bank International N.V.	Consultant / Board Advisor	N	Willemstad, Curacao
10/2019 - 03/2022	HARBOR ITHAKA WM, LLC	Business Development / Operations	Y	Miami, FL, United States
10/2019 - 06/2021	Biodiesel Las Americas LLC	Project Coordinator	N	Miami, FL, United States
01/2010 - 12/2016	Servicios Generales La Victoria	Board Advisor	N	Caracas, Venezuela
09/2013 - 09/2016	SGO	M&A Corporate Office Manager	N	Caracas, Venezuela

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

The GreenShape, a full-service commercial landscaping business.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
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Criminal	1
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Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source: Individual

If charge(s) were brought against an organization over which individual exercised control:

Organization Name: Venevalores Casa de Bolsa, C.A.

Investment Related Business: Yes

Position: Director / Co-Owner

Formal Charges were brought in: Foreign Court

Name of Court: Circuit of the Judicial District of the Metropolitan Area of Caracas (Venezuela)

Location of Court: Venezuela

Docket/Case #: 05-J-627-2011-D

Charge Date: 05/20/2010

Charge(s) 1 of 1

Formal Charge(s)/Description: "Illegal foreign currency transactions and criminal association, because through Venevalores Casa de Bolsa C.A. (the broker dealer in Venezuela that I co-founded), I had engaged in market transactions that they wanted to label, again, as illegal even though they were covered by an exception expressly laid down in the Act on illegal foreign exchange transactions (published in the Gaceta Oficial on 28 December 2007) which was in force at the time of the alleged acts.

No of Counts: 2

Felony or Misdemeanor: Misdemeanor

Plea for each charge: Not guilty



Disposition of charge:	Dismissed
Current Status:	Final
Status Date:	03/06/2014
Disposition Date:	03/06/2014
Sentence/Penalty:	The trial never ended. The case was dismissed - logically, there was no case, no penalty and no fine - by the public prosecutor (the same party that initiated the case through an accusation without any legal ground).
Broker Statement	<p>In the year 2010, specifically in May, in a sad turn of events my career was shattered. The government of Venezuela under Hugo Chavez presidency restricted operations in the capital markets in an attempt to blame broker dealers for the rising inflation indexes during April 2010-an irony if we consider those statistics five or ten years later. They even put in jail 10 directors of five (5) different broker dealers as scapegoats-I was one of them-and liquidated more than thirty brokerage houses trying to build a strong case. It all started with a complaint from Tomás Sánchez, the former Securities Superintendent. The complaint stated that several brokerage houses were operating in the FX markets illegally (i.e. without documenting the operations through securities, the only way permitted under the foreign exchange control laws established in the year 2003 and which prevailed up until May 15th, 2010). It is worth noting at this point that Venevalores Casa de Bolsa, C.A. was not part of that complaint. Venevalores Casa de Bolsa, C.A. an institution I co-founded, and co-directed, and Econoinvest Casa de Bolsa, the number one brokerage house in Venezuela back then, were two of the institutions subjected to prosecution that ended up in the incarceration of 10 directors. The government wanted to blame brokerage houses of manipulating the FX market which was regulated and supervised by the "Superintendencia Nacional de Valores" (formerly known as "Comisión Nacional de Valores" equivalent to the SEC) and the Central Bank ("Banco Central de Venezuela"). The market operated through securities swaps since the government established foreign exchange controls around 2003 and even though the aforementioned institutions were active participants of this FX market they did not hesitate to follow on the government idea that the culprits of rising inflation indexes were the brokerage houses. The Superintendencia Nacional de Valores (Venezuelan Securities Commission) approved in its meeting held on May 25, 2010, the intervention of Venevalores Casa de Bolsa, C.A., due to our detention. And after our arrest they decided to suspend me temporarily as a broker dealer (agent) on July 6th 2010. The case in court continued without any proof of illegal behavior even though they tried to blame us for manipulating the FX market and operating illicitly among other charges. While we were in jail the liquidators did what they wanted with our company, stealing and misappropriating several goods, and on November 09th by recommendation of Mr. Ramón Ramos, first appointed auditor of the intervention process and then liquidator by Tomás Sánchez, decided to liquidate Venevalores Casa de Bolsa, C.A. apparently-I say apparently because we never had access to the intervention report-alleging, among other things that Venevalores was headless (obviously, we were in jail). After the liquidation of Venevalores Casa de Bolsa, C.A. in the Resolution No. 151 dated August 16th 2011 published in the Official Gazette No. 39.751 dated September 2011, the Securities Commission decided to cancel my authorization to act as a broker dealer. Our trial started in the year 2013 after they liberated us with enforcement measures and a presentation regime in court every 30 days. After more than a year fulfilled with delays, and before the trial could end and upon the prosecutor's office-the same party that accused us "ab initio"-request, the case was dismissed. One of the reasons of our liberation, apart from not being able to prove any misconduct and/or wrongdoing on our part, was that they had to reopen the securities market they previously closed since the FX controlled system, SITME, proposed and implemented by Jorge Giordani (former Finance Ministry) after our detention, resulted in a total fiasco and led to a leak of</p>



tens of thousands of millions of U.S. dollars, affecting foreign reserves dramatically and promoting unnecessary and/or fake imports and smuggling.



End of Report

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