



IAPD Report

ROBERT CHEYENNE WALKER

CRD# 729738

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

ROBERT CHEYENNE WALKER (CRD# 729738)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/16/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	EQUITABLE ADVISORS, LLC	CRD# 6627	08/04/2025
IA	EQUITABLE ADVISORS, LLC	CRD# 6627	08/04/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **14** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	OSAIC WEALTH, INC.	23131	FLORENCE, KY	01/19/2024 - 08/14/2025
IA	OSAIC WEALTH, INC.	23131	FLORENCE, KY	01/19/2024 - 08/14/2025
B	WOODBURY FINANCIAL SERVICES, INC.	421	FLORENCE, KY	03/01/2019 - 01/19/2024

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **14** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **EQUITABLE ADVISORS, LLC**
Main Address: 1345 AVENUE OF THE AMERICAS
NEW YORK, NY 10105
Firm ID#: 6627

	Regulator	Registration	Status	Date
B	FINRA	Invest. Co and Variable Contracts	Approved	08/04/2025
B	FINRA	Corporate Securities Represent	Approved	09/02/2025
B	FINRA	Direct Participation Programs	Approved	09/02/2025
B	FINRA	Municipal Securities Representative	Approved	09/02/2025
B	Florida	Agent	Approved	08/04/2025
B	Illinois	Agent	Approved	08/14/2025
B	Indiana	Agent	Approved	08/18/2025
B	Kentucky	Agent	Approved	08/04/2025
IA	Kentucky	Investment Adviser Representative	Approved	08/04/2025
B	Michigan	Agent	Approved	08/04/2025
B	Minnesota	Agent	Approved	08/18/2025
B	New York	Agent	Approved	08/04/2025
B	Ohio	Agent	Approved	08/04/2025



Qualifications

Regulator	Registration	Status	Date
B Oklahoma	Agent	Approved	08/04/2025
B Oregon	Agent	Approved	08/04/2025
B Pennsylvania	Agent	Approved	08/04/2025
B Tennessee	Agent	Approved	08/04/2025
B Virginia	Agent	Approved	08/04/2025
B Wisconsin	Agent	Approved	08/04/2025

Branch Office Locations

EQUITABLE ADVISORS, LLC
7000 HOUSTON ROAD
BUILDING 100, SUITE 13
FLORENCE, KY 41042




Qualifications

PASSED INDUSTRY EXAMS






This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 1 principal/supervisory exam, 5 general industry/product exams, and 1 state securities law exam.


Principal/Supervisory Exams

Exam	Category	Date
 General Securities Principal Examination (S24)	Series 24	03/21/1989

General Industry/Product Exams

Exam	Category	Date
 Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
 Municipal Securities Representative Examination (S52)	Series 52	12/18/1996
 Direct Participation Programs Representative Examination (S22)	Series 22	02/21/1989
 Corporate Securities Limited Representative Examination (S62)	Series 62	09/26/1988
 Investment Company Products/Variable Contracts Representative Examination (S6)	Series 6	05/07/1981

State Securities Law Exams

Exam	Category	Date
 Uniform Securities Agent State Law Examination (S63)	Series 63	06/11/1981

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	01/19/2024 - 08/14/2025	OSAIC WEALTH, INC.	CRD# 23131	FLORENCE, KY
IA	01/19/2024 - 08/14/2025	OSAIC WEALTH, INC.	CRD# 23131	FLORENCE, KY
B	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	FLORENCE, KY
IA	03/01/2019 - 01/19/2024	WOODBURY FINANCIAL SERVICES, INC.	CRD# 421	FLORENCE, KY
IA	01/10/2005 - 03/01/2019	QUESTAR ASSET MANAGEMENT, INC.	CRD# 133358	FLORENCE, KY
B	06/07/1999 - 03/01/2019	QUESTAR CAPITAL CORPORATION	CRD# 43100	FLORENCE, KY
IA	02/20/2004 - 12/31/2005	QUESTAR CAPITAL CORPORATION	CRD# 43100	FLORENCE, KY
B	09/16/1997 - 05/26/1999	ROYAL ALLIANCE ASSOCIATES, INC.	CRD# 23131	SCOTTSDALE, AZ
B	01/10/1996 - 09/08/1997	1717 CAPITAL MANAGEMENT COMPANY	CRD# 4082	NEWARK, DE
B	06/24/1988 - 12/05/1995	MARINER FINANCIAL SERVICES, INC.	CRD# 8292	LARGO, FL
B	05/11/1981 - 07/09/1988	CHUBB SECURITIES CORPORATION	CRD# 3870	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
08/2025 - Present	Equitable Advisors, LLC	Registered Representative	Y	New York, NY, United States
01/2024 - 08/2025	OSAIC WEALTH, INC.	Mass Transfer	Y	FLORENCE, KY, United States
03/2019 - 01/2024	WOODBURY FINANCIAL SERVICES, INC.	REGISTERED REPRESENTATIVE	Y	FLORENCE, KY, United States



Registration & Employment History

EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2005 - 03/2019	QUESTAR ASSET MANAGEMENT	INVESTMENT ADVISOR REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States
05/1999 - 03/2019	QUESTAR CAPITAL CORPORATION	REGISTERED REPRESENTATIVE	Y	MINNEAPOLIS, MN, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1. Insurance Agent - Outside Fixed Insurance Sales.
2. Millson/Walker Investments, Inc. - Vice President of S. Corp. established to transfer commissions to from sales of investment and insurance products. Located in: Florence, KY, Start Date: 10/2007. Approx. 20 hours per month.
3. Millwalk Properties, LLC - Member, Property Management for two office locations in Florence, KY. Start Date: 12/2011. Approx. 4 hours per month. Not investment-related.
4. Tax Preparation - Co-Owner. Located in: Florence, KY. Start Date: 01/2007. Approx. 20-40 hours per month. Not investment-related.
5. Millson/Walker Financial Group, LTD. - Vice-President; business entity for DBA name.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Customer Dispute	3

Customer Dispute

This section provides information regarding a customer dispute that was reported to the Investment Adviser Registration Depository (IARD) by the Investment Adviser Representative (IAR), an investment adviser and/or securities firm, and/or a securities regulator. The event may include a consumer-initiated, investment-related complaint, arbitration proceeding or civil suit that contains allegations of sales practice violations against the individual.

The customer dispute may be pending or may have resulted in a civil judgment, arbitration award, monetary settlement, closure without action, withdrawal, dismissal, denial, or other outcome.

Disclosure 1 of 3

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	Questar Capital Corporation
Allegations:	alleged unsuitable recommendation in the sale of a real estate investment trust in 2004.
Product Type:	Real Estate Security
Alleged Damages:	\$0.00
Alleged Damages Amount Explanation (if amount not exact):	No specific claim for damages but the Firm can not determine damages would be less than \$5,000.
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	01/22/2018
Complaint Pending?	No
Status:	Denied
Status Date:	04/13/2018
Settlement Amount:	

**Individual Contribution
Amount:****Disclosure 2 of 3**

Reporting Source:	Individual
Employing firm when activities occurred which led to the complaint:	QUESTAR CAPITAL CORPORATION
Allegations:	ALLEGED MISREPRESENTATION AND UNSUITABLE RECOMMENDATIONS IN THE SALE OF REITS & VARIABLE ANNUITIES.
Product Type:	Annuity-Variable Real Estate Security
Alleged Damages:	\$275,000.00
Is this an oral complaint?	No
Is this a written complaint?	Yes
Is this an arbitration/CFTC reparation or civil litigation?	No

Customer Complaint Information

Date Complaint Received:	08/25/2014
Complaint Pending?	No
Status:	Evolved into Arbitration/CFTC reparation (the individual is a named party)
Status Date:	05/03/2015
Settlement Amount:	

**Individual Contribution
Amount:****Arbitration Information**

Arbitration/CFTC reparation claim filed with (FINRA, AAA, CFTC, etc.):	FINRA
Docket/Case #:	15-00988
Date Notice/Process Served:	05/11/2015
Arbitration Pending?	No
Disposition:	Settled
Disposition Date:	02/18/2016
Monetary Compensation Amount:	\$52,500.00
Individual Contribution Amount:	\$25,000.00
Broker Statement	NO MERIT TO THE CLAIM. CLIENTS WERE ADVISED OF ALL RISK AT THE TIME OF RECOMMENDATION. INVESTMENTS WERE SUITABLE AT THE TIME OF THE RECOMMENDATION.

**Disclosure 3 of 3**

Reporting Source: Individual

Employing firm when activities occurred which led to the complaint: QUESTAR CAPITAL CORPORATION

Allegations: CLIENT LACKED THE SOPHISTICATION TO UNDERSTAND MARGIN PROPERLY, AND AS A RESULT, SHE DID NOT KNOWINGLY CONSENT TO THE USE OF MARGIN IN HER ACCOUNT. REPRESENTATIVE FAILED TO COMMUNICATE AND FAILED TO DISCLOSE "MATTERS" ADEQUATELY OR PROPERLY DURING THE RELATIONSHIP. REPRESENTATIVE NEGLIGENTLY "MANAGED" INVESTMENTS IN CLIENTS SELF-DIRECTED INVESTMENT ACCOUNTS. REPRESENTATIVES' RELATIONSHIP WITH CLIENT INVOLVED CONFLICTS OF INTEREST.

Product Type: Equity Listed (Common & Preferred Stock)
Other: VARIABLE LIFE INSURANCE

Alleged Damages: \$700,000.00

Customer Complaint Information

Date Complaint Received: 12/11/2006

Complaint Pending? No

Status: Evolved into Civil litigation (the individual is a named party)

Status Date: 05/02/2008

Settlement Amount:

Individual Contribution Amount:

Civil Litigation Information

Type of Court: COUNTY CIRCUIT COURT

Name of Court: BOONE COUNTY CIRCUIT COURT DIVISION III

Location of Court: BURLINGTON KY USA

Docket/Case #: 08-C1-1052

Date Notice/Process Served: 05/02/2008

Litigation Pending? No

Disposition: Settled

Disposition Date: 12/27/2010

Monetary Compensation Amount: \$250,000.00

Individual Contribution Amount: \$105,000.00

Broker Statement CLIENT HAS BEEN A CLIENT SINCE 1993. THE INSURANCE POLICIES AT ISSUE WERE PURCHASED IN 1993 AND 1996. THE STRATEGY IN HER BROKERAGE ACCOUNT ABOUT WHICH SHE COMPLAINS HAS BEEN USED BY HER SINCE AT LEAST 1996. SHE NEVER COMPLAINED OR EXPRESSED CONCERN ABOUT HER ACCOUNT OR INSURANCE POLICIES UNTIL NOV 2006. OVERALL, SINCE 1993, INCLUDING MARGIN INTEREST SHE PAID, HER ACCOUNT HAS BEEN PROFITABLE. FROM JUNE 1999 THROUGH NOVEMBER 2006, HER ACCOUNT WAS PROFITABLE BY APPROXIMATELY



\$279,000 (THIS FIGURE IS NET OF MARGIN INTEREST). SHE RECEIVED THE BENEFIT OF LIFE INSURANCE COVERAGE FOR ANYWHERE FROM 10 TO 13 YRS. I BELIEVE HER COMPLAINTS ARE WITHOUT MERIT AND UNTIMELY. AS PARTS OF THIS CASE WERE IN COURT AND ARBITRATION SIMULTANIOUSLY, MY INSURANCE CARRIER BELIEVED THIS SETTLEMENT ELIMINATED THE CHANCE OF INCONSISTENT VERDICTS AND WAS LESS THAN THE COST OF DEFENSE.



End of Report

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