IAPD Report

JACOB HASSINGER

CRD# 7312326

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IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

JACOB HASSINGER (CRD# 7312326)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **06/10/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	05/14/2021
IA	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	07/28/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **5** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES

No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **5** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **VALIC FINANCIAL ADVISORS, INC.**

Main Address: 2919 ALLEN PKWY
HOUSTON, TX 77019

Firm ID#: 42803

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	05/14/2021
B	Georgia	Agent	Approved	06/17/2025
IA	Georgia	Investment Adviser Representative	Approved	06/17/2025
B	Maryland	Agent	Approved	11/07/2024
IA	Maryland	Investment Adviser Representative	Approved	11/12/2024
B	Mississippi	Agent	Approved	06/11/2026
IA	Mississippi	Investment Adviser Representative	Approved	06/11/2026
B	Tennessee	Agent	Approved	07/26/2021
IA	Tennessee	Investment Adviser Representative	Approved	07/28/2021
B	Virginia	Agent	Approved	09/05/2024
IA	Virginia	Investment Adviser Representative	Approved	09/05/2024

Branch Office Locations

VALIC FINANCIAL ADVISORS, INC.
White Pine, TN

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	05/13/2021
B	Securities Industry Essentials Examination (SIE)	SIE	06/20/2020

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	07/23/2021

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	Smoky Mountain Landworks LLC	Owner	N	White pine, TN, United States
09/2024 - Present	Youth Pastor	Youth Pastor	Y	Newport, TN, United States
12/2022 - Present	AGIA	Agent	Y	Houston, TX, United States
05/2021 - Present	VALIC Financial Advisors, Inc.	Registered Representative	Y	Knoxville, TN, United States
02/2021 - 04/2021	unemployed	unemployed	N	Morristown, TN, United States
11/2020 - 02/2021	Benjamin F. Edwards	Financial Client Associate	Y	Morristown, TN, United States
09/2020 - 11/2020	Clinch Powell RC&D	Application Processor	N	Rutledge, TN, United States
08/2020 - 09/2020	US Census	Field agent	N	Thompson, CT, United States
09/2019 - 08/2020	YOU INC	Shift supervisor	N	Worcester, MA, United States
02/2019 - 07/2019	R & L Carriers	Forklift driver	N	Baltimore, MD, United States
01/2019 - 07/2019	Student Transportation of America	Student aid	N	Crownsville, MD, United States
11/2018 - 01/2019	Bite Squad	Driver	N	Knoxville, TN, United States
08/2018 - 01/2019	The Bed Store	Sales rep	N	Knoxville, TN, United States
08/2015 - 08/2018	Mattress One	Store Manager	N	Spring Hill, FL, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

AGIA
POSITION: Agent NATURE: null INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 1 START DATE: 12/17/2022
ADDRESS: 2929 Allen Parkway, Houston TX 77019, United States
DESCRIPTION: Non-Securities Insurance Products

YOUTH PASTOR
POSITION: Youth Pastor NATURE: Church organization INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 0 START DATE: 09/29/2024
ADDRESS: 2263 Cosby Hwy, Newport TN 37821, United States
DESCRIPTION: Leading the youth of the community in worship and teaching the Gospel.

SMOKY MOUNTAIN LANDWORKS LLC
POSITION: Owner NATURE: Land Clearing / Excavating INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING HOURS: 2 START DATE: 11/28/2025
ADDRESS: 2318 Wonderland Way, White pine TN 37890, United States
DESCRIPTION: Decision maker

Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least $5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending, on appeal,* or *final*.
    - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
    - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
    - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated, settled* or *otherwise resolved*.
    - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
    - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
    - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.

DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
If charge(s) were brought against an organization over which individual exercised control:	
Organization Name:	Pinellas county
Investment Related Business:	No
Position:	citizen
Formal Charges were brought in:	County Court
Name of Court:	Pinellas County Circuit Court
Location of Court:	Pinellas County Florida
Docket/Case #:	1400A0SXTAP
Charge Date:	01/30/2014
Charge(s) 1 of 1	
Formal Charge(s)/Description:	Fleeing or Eluding
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty
Disposition of charge:	Amended
Date of Amended Charge:	04/14/2014

Charge was Amended or reduced to:	Resisting arrest without violence
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Guilty
Disposition of Amended Charge:	Pled guilty
Current Status:	Final
Status Date:	04/16/2014
Disposition Date:	04/14/2014
Sentence/Penalty:	Serve 1 year probation. Serve 70 hours community service Pay all court fines and fees Start Date of Penalty: (04/15/2014) End date of Penalty: (04/15/2015) If Monetary penalty/fine - Amount paid ($50 month + 4% surcharge towards the cost of supervision) Date monetary/penalty fine paid: (each month)
Broker Statement	In the evening of January 30, 2014 I was on my way home from work a little after midnight. Upon coming to a stop sign I made a right-hand turn without making a complete stop. This is where the officer says he was coming the opposite direction and attempted to turn around to pursue me for making an incomplete stop. That evening there was heavy rain and I was on a motorcycle. If I remember correctly the report said that he pursued me at 30 miles an hour for 15 seconds, or he pursued me at 15 miles an hour for 30 seconds because it was raining. I was doing the speed limit, which was 45 or 50 miles an hour. I had no sense that he was even behind me, let alone trying to pull me over. I did not hear him, I did not see him, Iâ??m crouched down on my motorcycle focusing on the road and the rain. Once I arrive home, I start getting ready for bed then someone knocked at the door. At this point itâ??s around 1 AM. I open the door and there are two officers who asked me to come out and talk with them. When I stepped outside they asked me questions about where I was earlier that night. I told them I just got home from work. They said ok and asked me to wait outside with them until another officer arrived to talk with me. The other officer (The one who attempted to pull me over) finally arrived to the house and asked me questions. He said that he tried to pull me over and asked why I did not stop for him. I told him I did not see him or hear him trying to pull me over. He told me that I was lying to him and then arrested me. He told me that he was charging me with â??fleeing and evading an officerâ? and that it was a felony charge. As he is arresting me he said that if I would have just told him the truth he would not be arresting me. But since I lied he is going to teach me a lesson. I spent that night and the next day in jail, then was released when I paid bond. I was appointed a public defender given that I could not afford an attorney. In Explaining my story to the public defender he counseled me that I had a very low chance of winning my case. More often than not a judge will side with an officers word than a defendant's word. However, he said that he would try to help me by telling the prosecutor my story and go from there. On court day the prosecutor worked with my public defender saying that since I am young and still have college and a career ahead of me, he would reduce the charge from a â??fleeing and evadingâ? felony; to a â??resisting arrest without violenceâ? misdemeanor. But only if I would plead guilty to the misdemeanor, pay all the fees and fines, and do 1 year probation.

End of Report

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