



## IAPD Report

# Luis Daniel Medina

CRD# 7332592

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Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Luis Daniel Medina (CRD# 7332592)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/18/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	LMPG WEALTH ADVISORS, LLC	CRD# 328251	10/17/2023

### QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **2** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CMG CAPITAL MANAGEMENT GROUP, INC.	110750	Coral Gables, FL	02/26/2021 - 11/16/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Criminal	1





## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **2** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

### Employment 1 of 1

Firm Name: **LMPG WEALTH ADVISORS, LLC**  
Main Address: 801 BRICKELL AVE  
SUITE 800  
MIAMI, FL 33131  
Firm ID#: 328251

	Regulator	Registration	Status	Date
	Florida	Investment Adviser Representative	Approved	10/17/2023
	Texas	Investment Adviser Representative	Restricted Approval	04/21/2025

### Branch Office Locations

**LMPG WEALTH ADVISORS, LLC**  
1825 PONCE DE LEON BLVD #152  
CORAL GABLES, FL 33134-4418



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 0 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
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No information reported.

#### State Securities Law Exams

Exam	Category	Date
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<b>IA</b> Uniform Investment Adviser Law Examination (S65)	Series 65	01/25/2021
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	02/26/2021 - 11/16/2023	CMG CAPITAL MANAGEMENT GROUP, INC.	CRD# 110750	Coral Gables, FL

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2024 - Present	Integral Wealth Securities LLC	Registered Representative	Y	Miami, FL, United States
10/2023 - Present	LMPG Wealth Advisors, LLC.	Principal & CIO	Y	CORAL GABLES, FL, United States
02/2013 - Present	Helix Capital Group, LLC	Managing Member	Y	Coral Gables, FL, United States
11/2020 - 10/2023	CMG Capital Management Group	Head of Investment Strategy & Senior Wealth Manager	Y	Malvern, PA, United States
06/2018 - 10/2020	CN	Administration	N	Quebec, Canada

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Helix Capital Group, LLC ; investment related; 1248 San Miguel Ave, Coral Gables, FL; Family Limited partnership used for private transactions and holding co. business; Authorized Member; 2013.



## Disclosure Summary

### Disclosure Information

#### What you should know about reported disclosure events:

##### (1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

##### (2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

##### (3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
  - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
  - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
  - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
  - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
  - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
  - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

##### (4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



## DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

### Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

#### Disclosure 1 of 1

<b>Reporting Source:</b>	Individual
<b>If charge(s) were brought against an organization over which individual exercised control:</b>	
<b>Organization Name:</b>	Not applicable
<b>Investment Related Business:</b>	
<b>Position:</b>	
<b>Formal Charges were brought in:</b>	State Court
<b>Name of Court:</b>	Broward County Criminal Court
<b>Location of Court:</b>	Broward County, Florida
<b>Docket/Case #:</b>	07015923CF10A
<b>Charge Date:</b>	03/07/2007
<b>Charge(s) 1 of 2</b>	
<b>Formal Charge(s)/Description:</b>	Obstruction with Violence
<b>No of Counts:</b>	1
<b>Felony or Misdemeanor:</b>	Felony
<b>Plea for each charge:</b>	Not Guilty
<b>Disposition of charge:</b>	Withdrawn
<b>Charge(s) 2 of 2</b>	



<b>Formal Charge(s)/Description:</b>	Aggravated Assault on Law Enforcement Officer
<b>No of Counts:</b>	5
<b>Felony or Misdemeanor:</b>	Felony
<b>Plea for each charge:</b>	Not Guilty
<b>Disposition of charge:</b>	Two of the Aggravated Assault charges were withdrawn and three charges were amended to a second degree misdemeanor
<b>Date of Amended Charge:</b>	09/23/2010
<b>Charge was Amended or reduced to:</b>	Misdemeanor 2 Simple Assault
<b>Amended No of Counts:</b>	3
<b>Amended Charge:</b>	Misdemeanor
<b>Amended Plea:</b>	Nolo Contendere (no contest)
<b>Disposition of Amended Charge:</b>	Disposed - Probation
<b>Current Status:</b>	Final
<b>Status Date:</b>	10/05/2011
<b>Disposition Date:</b>	09/23/2010
<b>Sentence/Penalty:</b>	State probation (12 months); Start Date: 09/23/2010; End Date: 10/05/2011
<b>Broker Statement</b>	<p>In the early morning hours of March 28, 2007, the Broward Sheriff's Office conducted a warrantless entry at my residence in Weston, FL. I accurately believed it to be an unlawful entry. LEO gave me commands, and I failed to cooperate. Since they had no warrant, permission, or probable cause to enter my home, I exercised my constitutional and legal rights by stating that they were in my home illegally and asked that they leave my residence. LEO responded by exercising use of force for my decision not to cooperate. Immediately prior to LEO's use of force, I called a Brown University professor to explain the situation that was occurring and to have a witness of the events as they took place, even if only through audio (the professor was a named witness at my trial in September 2010). After emergency physicians monitored and treated me in the local hospital's ICU for multiple injuries, LEO charged me with aggravated assault and obstruction with violence to cover up their police misconduct (including excessive force, false arrest, and perjury). The initial assistant state attorney who evaluated the state's case against me dropped all charges. The state later discovered my intent to file a complaint with the FBI and to sue for damages. They responded by reopening the case approximately six months later and pursued almost four years of litigation before going to trial. Throughout the pretrial period, the state and LEO failed to cooperate with depositions and to provide materials in a timely manner. At the trial, the assistant state attorney who prosecuted the case identified inconsistencies with LEOs' trial testimony, affidavits, and depositions. She also discovered that the former assistant state attorneys who worked the case during the pretrial period failed to disclose materially exculpatory evidence. It was at that point, midway through the trial, the state attorney's office approached my defense counsel and negotiated a plea agreement, which my counsel strongly advised to accept given the risks of continuing the trial. The assistant state attorney requested that the court adjudicate me to add an additional barrier to prevent me from pursuing legal recourse. I continue to maintain my innocence to all allegations: I agreed to the plea agreement solely on the professional legal recommendation of my defense counsel and the calculus of the risk for continuing a trial, not any wrongdoing.</p>



## End of Report

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