



IAPD Report

MARY ROSE LIMOGES

CRD# 734263

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Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

MARY ROSE LIMOGES (CRD# 734263)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **04/14/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
IA	CENTURION COUNSEL INC	CRD# 110083	11/20/2025

QUALIFICATIONS

This representative is currently registered in **0** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	OSAIC WEALTH, INC.	23131	SAN DIEGO, CA	12/14/2010 - 07/08/2025
B	OSAIC WEALTH, INC.	23131	SAN DIEGO, CA	03/13/2002 - 07/08/2025
B	CENTURION INSTITUTIONAL SERVICES, INC.	33531	ESCONDIDO, CA	05/04/1995 - 05/06/2002

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **Yes**

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Civil Event	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **1** jurisdiction(s) and **0** SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **CENTURION COUNSEL INC**
Main Address: 4995 MURPHY CANYON ROAD
SUITE 300
SAN DIEGO, CA 92123
Firm ID#: 110083

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved - Pending IAR CE	01/01/2026

Branch Office Locations

CENTURION COUNSEL INC
4995 MURPHY CANYON ROAD
SUITE 300
SAN DIEGO, CA 92123



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 2 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	Municipal Fund Securities Principal Examination (S51)	Series 51	02/28/2008
	General Securities Principal Examination (S24)	Series 24	05/20/1985

General Industry/Product Exams

	Exam	Category	Date
	Securities Industry Essentials Examination (SIE)	SIE	10/01/2018
	General Securities Representative Examination (S7)	Series 7	07/18/1981

State Securities Law Exams

	Exam	Category	Date
	Uniform Securities Agent State Law Examination (S63)	Series 63	12/09/1986

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	12/14/2010 - 07/08/2025	OSAIC WEALTH, INC.	CRD# 23131	SAN DIEGO, CA
B	03/13/2002 - 07/08/2025	OSAIC WEALTH, INC.	CRD# 23131	SAN DIEGO, CA
B	05/04/1995 - 05/06/2002	CENTURION INSTITUTIONAL SERVICES, INC.	CRD# 33531	ESCONDIDO, CA
B	04/08/1983 - 03/13/2002	PIM FINANCIAL SERVICES, INC.	CRD# 10547	SAN MARCOS, CA
B	03/04/1988 - 01/09/1990	WARNER BECK INCORPORATED	CRD# 11076	SAN DIEGO, CA
B	11/12/1986 - 10/04/1988	NAP FINANCIAL CORPORATION	CRD# 16023	
B	08/17/1981 - 02/19/1984	LA JOLLA SECURITIES, INC.	CRD# 8182	
B	07/20/1981 - 11/05/1981	AMERICAN PACIFIC SECURITIES CORPORATION	CRD# 5003	

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2025 - Present	Centurion Counsel, Inc.	Investment Adviser Representative	Y	San Diego, CA, United States
09/2025 - 10/2025	Centurion Counsel, Inc.	CCO	Y	San Diego, CA, United States
03/2002 - 07/2025	Osaic Wealth, Inc	Osaic Wealth, Inc	Y	San Diego, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

PUPPY TOES, INC.

POSITION: Secretary NATURE: Corporation INVESTMENT RELATED: No NUMBER OF HOURS: 5 SECURITIES TRADING



Registration & Employment History



OTHER BUSINESS ACTIVITIES

HOURS: 5 START DATE: 02/01/2013

ADDRESS: 1282 Pacific Oaks Place, Escondido CA 92129, United States

DESCRIPTION: Secretary of corporation



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Regulatory Event	2
Civil Event	1

Regulatory Event

This disclosure event may include a final, formal proceeding initiated by a regulatory authority (e.g., a state securities agency, a federal regulator such as the Securities and Exchange Commission or the Commodities Futures Trading Commission, or a foreign financial regulatory body) for a violation of investment-related rules or regulations. This disclosure event may also include a revocation or suspension of an Investment Adviser Representative's authority to act as an attorney, accountant or federal contractor.

Disclosure 1 of 2

Reporting Source: Regulator

Regulatory Action Initiated By: OHIO

Sanction(s) Sought: Denial

Other Sanction(s) Sought:

Date Initiated: 03/12/1999

Docket/Case Number: 99-099

Employing firm when activity occurred which led to the regulatory action: PIM FINANCIAL SERVICES, INC.

Product Type: No Product

Other Product Type(s):

Allegations: THE APPLICANT WAS NOT OF GOOD BUSINESS REPUTE.

Current Status: Final

Resolution: Order

Resolution Date: 03/12/1999

Sanctions Ordered: Revocation/Expulsion/Denial

Other Sanctions Ordered:

Sanction Details: ON MARCH 12, 1999, THE OHIO DIVISION OF SECURITIES ISSUED A FINAL ORDER TO DENY APPLICATION FOR SECURITIES SALESMAN LICENSE, DIVISION ORDER NUMBER 99-099, AGAINST MARY ROSE LIMOGES. THE



Regulator Statement DIVISION FOUND THAT LIMOGES WAS NOT OF GOOD BUSINESS REPUTE AS THAT TERM IS DEFINED IN OHIO ADMINISTRATIVE CODE RULE 1301:6-3-19(D)(G) AND (9) AND OHIO REVISED CODE 1707.19(A).

THE APPLICANT'S APPLICATION WAS DENIED. LIMOGES'S STATUS IN OHIO WITH PIM FINANCIAL STATES T_NOREG WHEN IT SHOULD SHOW A DENIED STATUS IN OHIO. THIS IS DUE TO THE FACT THAT LIMOGES FILED A FORM U-5 AFTER THE DIVISION FINALIZED THE FINAL ORDER, AT THAT TIME A U-5 WAS NO LONGER AN OPTION.

Reporting Source: Individual
Regulatory Action Initiated By: STATE OF OHIO
Sanction(s) Sought: Denial
Other Sanction(s) Sought:
Date Initiated: 03/12/1999
Docket/Case Number: 99-099
Employing firm when activity occurred which led to the regulatory action: PIM FINANCIAL SERVICES, INC.

Product Type: Other
Other Product Type(s): ALL SECURITIES BUSINESS
Allegations: APPLICANT WAS DENIED REGISTRATION DUE TO PRIOR REGULATORY ACTIONS.
Current Status: Final
Resolution: Order
Resolution Date: 03/12/1999
Sanctions Ordered: Revocation/Expulsion/Denial
Other Sanctions Ordered: NONE
Sanction Details: NONE

Broker Statement ON 3/12/99 THE OHIO DIVISION OF SECURITIES ISSUED A FINAL ORDER TO DENY APPLICATION FOR SECURITIES SALES PERSON ORDER NUMBER 99-099. THE DIVISION FOUND THAT LIMOGES WAS NOT OF GOOD BUSINESS REPUTE AS DEFINED IN OHIO ADMIN. CODE 1301:6-3-19 (D) (G). THE OHIO ORDER TO DENY REGISTRATION IS REPORTED UNDER 14D4 AND 14D5. 4D2 DOES NOT APPLY BECAUSE THE STATE OF OHIO DID NOT FIND THAT THE REGISTERED REP. WAS INVOLVED IN AN INVESTMENT-RELATED VIOLATION.

Disclosure 2 of 2

Reporting Source: Regulator
Regulatory Action Initiated By: NATIONAL ASSOCIATION OF SECURITIES DEALERS, INC.
Sanction(s) Sought:
Other Sanction(s) Sought:



Date Initiated: 06/19/1998
Docket/Case Number: C02980026
Employing firm when activity occurred which led to the regulatory action: PIM FINANCIAL SERVICES, INC.

Product Type:
Other Product Type(s):

Allegations:
Current Status: Final
Resolution: Acceptance, Waiver & Consent(AWC)

Resolution Date: 06/19/1998
Sanctions Ordered: Censure
Monetary/Fine \$10,000.00

Other Sanctions Ordered:

Sanction Details:

Regulator Statement
ON JUNE 19, 1998, DISTRICT NO. 2 NOTIFIED RESPONDENT PIM FINANCIAL SECURITIES, INC., JACK K. HEILBRON AND MARY R. LIMOGES THAT THE LETTER OF ACCEPTANCE, WAIVER AND CONSENT NO. C02980026 WAS ACCEPTED; THEREFORE, THEY ARE CENSURED AND FINED \$10,000, JOINTLY AND SEVERALLY - SEC RULE 15c2-4(b)(2), NASD RULE 2110 - RESPONDENT MEMBER, ACTING THROUGH HEIBRON AND LIMOGES, RECEIVED FROM PUBLIC CUSTOMERS FUNDS TOTALING \$285,000 IN CONNECTION WITH A CONTINGENT OFFERING AND FAILED TO PROMPTLY TRANSMIT INVESTOR FUNDS IN CONNECTION WITH THE OFFERING TO AN ESTABLISHED BANK ESCROW ACCOUNT).

\$10,000.00 PAID J&S ON 7/17/98, INVOICE #98-02-545

Reporting Source: Individual
Regulatory Action Initiated By: NASD
Sanction(s) Sought: Censure
Other Sanction(s) Sought: FINE, JOINT AND SEVERAL, \$10,000.

Date Initiated: 06/19/1998
Docket/Case Number: C02980026
Employing firm when activity occurred which led to the regulatory action: PIM FINANCIAL SERVICES, INC.
Product Type: Other
Other Product Type(s): REIT



Allegations:	FAILURE TO PROMPTLY TRANSMIT FUNDS RECEIVED TO A PROPERLY ESTABLISHED ESCROW ACCOUNT FOR A CONTINGENT OFFERING.
Current Status:	Final
Resolution:	Other
Resolution Date:	06/19/1998
Sanctions Ordered:	Censure Monetary/Fine \$10,000.00
Other Sanctions Ordered:	
Sanction Details:	CENSURED AND FINED JOINTLY AND SEVERALLY IN THE AMOUNT OF \$10,000.



Civil Event

This disclosure event involves an injunction issued by a foreign or domestic court in connection with investment-related activity, a finding by a domestic or foreign court of a violation of any investment-related statute or regulation, or an action dismissed by a domestic or foreign court pursuant to a settlement agreement.

Disclosure 1 of 1

Reporting Source:	Individual
Initiated By:	OKLAHOMA DEPT. OF SECURITIES
Relief Sought:	Other
Other Relief Sought:	7000000.00
Date Court Action Filed:	04/16/1996
Product Type:	Other
Other Product Types:	GOVERNMENT SECURITIES.
Court Details:	OKLAHOMA STATE COURT CASE# CJ-94-939-65
Employing firm when activity occurred which led to the action:	PIM FINANCIAL SERVICES, INC.
Allegations:	EXCESSIVE AND UNDISCLOSED MARK-UPS.
Current Status:	Final
Resolution:	Settled
Resolution Date:	03/27/2000
Sanctions Ordered or Relief Granted:	
Other Sanctions:	NONE.
Sanction Details:	ACTION DISMISSED PURSUANT TO A SETTLEMENT AGREEMENT. \$217,400.00. NO OTHER SANCTIONS ORDERED.



End of Report

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