User Guidance

www.adviserinfo.sec.gov

IAPD Report

KYLE MASON

CRD# 7371368

When communicating online or investing with any professional, make sure you know who you're dealing with. Imposters might link to sites like BrokerCheck from phishing or similar scam websites, or through social media, trying to steal your personal information or your money.

Please contact FINRA with any concerns.

IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page http://www.nasaa.org/IAPD/IARReports.cfm

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association http://www.nasaa.org

Report Summary

KYLE MASON (CRD# 7371368)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/06/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	03/19/2024
IA	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	03/20/2024

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **28** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.
Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	HOUSTON, TX	05/16/2023 - 09/05/2023
IA	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	7691	HOUSTON, TX	05/16/2023 - 09/05/2023
IA	VALIC FINANCIAL ADVISORS, INC.	42803	HOUSTON, TX	07/01/2021 - 04/21/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**

Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works.
This individual is currently registered with **28** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **VALIC FINANCIAL ADVISORS, INC.**
Main Address: 2919 ALLEN PKWY
HOUSTON, TX 77019
Firm ID#: 42803

	Regulator	Registration	Status	Date
B	FINRA	General Securities Representative	Approved	03/19/2024
B	Alabama	Agent	Approved	04/09/2024
B	Arizona	Agent	Approved	04/24/2024
B	Arkansas	Agent	Approved	04/09/2024
B	California	Agent	Approved	04/03/2025
IA	California	Investment Adviser Representative	Approved	04/03/2025
B	Colorado	Agent	Approved	04/09/2024
B	Florida	Agent	Approved	04/09/2024
IA	Florida	Investment Adviser Representative	Approved	04/04/2025
B	Georgia	Agent	Approved	04/11/2024
IA	Georgia	Investment Adviser Representative	Approved	04/09/2025
B	Illinois	Agent	Approved	05/05/2025
IA	Illinois	Investment Adviser Representative	Approved	05/05/2025

Qualifications

	Regulator	Registration	Status	Date
B	Iowa	Agent	Approved	04/11/2024
B	Kansas	Agent	Approved	04/09/2024
IA	Kansas	Investment Adviser Representative	Approved	03/24/2026
B	Louisiana	Agent	Approved	04/09/2024
IA	Minnesota	Investment Adviser Representative	Approved	08/26/2025
B	Minnesota	Agent	Approved	09/09/2025
B	Mississippi	Agent	Approved	04/10/2024
B	Missouri	Agent	Approved	04/09/2024
IA	Missouri	Investment Adviser Representative	Approved	03/24/2026
IA	Montana	Investment Adviser Representative	Approved	11/06/2024
B	Montana	Agent	Approved	11/12/2024
B	Nebraska	Agent	Approved	04/09/2024
B	Nevada	Agent	Approved	04/09/2024
B	New Mexico	Agent	Approved	04/09/2024
B	New York	Agent	Approved	04/03/2025
IA	New York	Investment Adviser Representative	Approved	04/03/2025
B	North Carolina	Agent	Approved	04/09/2024
IA	North Carolina	Investment Adviser Representative	Approved	04/02/2025
B	Oklahoma	Agent	Approved	04/09/2024

Qualifications

	Regulator	Registration	Status	Date
B	Oregon	Agent	Approved	05/07/2024
B	Pennsylvania	Agent	Approved	04/04/2025
IA	Pennsylvania	Investment Adviser Representative	Approved	04/04/2025
B	South Carolina	Agent	Approved	04/09/2024
IA	South Carolina	Investment Adviser Representative	Approved	04/03/2025
B	Texas	Agent	Approved	03/20/2024
IA	Texas	Investment Adviser Representative	Approved	03/20/2024
B	Utah	Agent	Approved	04/09/2024
IA	Utah	Investment Adviser Representative	Approved	03/24/2026
B	Virginia	Agent	Approved	04/03/2025
IA	Virginia	Investment Adviser Representative	Approved	04/03/2025
B	Wyoming	Agent	Approved	04/18/2024

Branch Office Locations

VALIC FINANCIAL ADVISORS, INC.
2919 ALLEN PARKWAY
9th FLOOR - RETIREMENT EDUCATION CENTER
HOUSTON, TX 77019

Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

	Exam	Category	Date
	No information reported.		

General Industry/Product Exams

	Exam	Category	Date
B	General Securities Representative Examination (S7TO)	Series 7TO	06/08/2021
B	Securities Industry Essentials Examination (SIE)	SIE	01/05/2021

State Securities Law Exams

	Exam	Category	Date
IA B	Uniform Combined State Law Examination (S66)	Series 66	06/28/2021

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **2** professional designation(s).

Certified Financial Planner

Chartered Financial Consultant

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at IARD and NASAA.

Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	05/16/2023 - 09/05/2023	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	HOUSTON, TX
IA	05/16/2023 - 09/05/2023	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	CRD# 7691	HOUSTON, TX
IA	07/01/2021 - 04/21/2023	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	HOUSTON, TX
B	06/08/2021 - 04/21/2023	VALIC FINANCIAL ADVISORS, INC.	CRD# 42803	HOUSTON, TX

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
03/2024 - Present	AGIA	Agent	N	Houston, TX, United States
03/2024 - Present	VALIC FINANCIAL ADVISOR, INC.	REGISTERED REPRESENTATIVE	Y	HOUSTON, TX, United States
09/2023 - 03/2024	Unemployed	Unemployed	N	Houston, TX, United States
05/2023 - 09/2023	Bank of America, N.A.	FSA - Merrill	Y	Houston, TX, United States
04/2023 - 09/2023	MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED	FSA - Merrill	Y	Houston, TX, United States
12/2022 - 04/2023	AGIA	Agent	N	Houston, TX, United States
05/2021 - 04/2023	VALIC FINANCIAL ADVISORS	REGISTERED REPRESENTATIVE	Y	HOUSTON, TX, United States
01/2012 - 05/2021	Mason Luxury Homes	real estate broker and owner	N	The Woodlands, TX, United States
01/2020 - 12/2020	Texas A and M International University	Student	N	Laredo, TX, United States
01/2019 - 12/2019	Sam Houston State University	Student	N	Huntsville, TX, United States

Registration & Employment History

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

AGIA
POSITION: Agent NATURE: Non-securities insurance products INVESTMENT RELATED: No NUMBER OF HOURS: 10 SECURITIES TRADING HOURS: 1 START DATE: 03/18/2024
ADDRESS: 2929 Allen Parkway, Houston TX 77019, United States
DESCRIPTION: Appointed as agent to sell non-securities insurance products for AGIA

KYLE MASON
POSITION: affiliate marketer NATURE: As an individual I post images of furniture and apparel on the social bookmarking web site Pinterest.com. Some of the images posted come from Amazon.com. If a consumer clicks on an image that I have posted on Pinterest and arrives on Amazon.com in order to purchase the product featured in the posted image, I am paid a commission by Amazon.com via their affiliate program. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2000
ADDRESS: 2724 Kipling St. APT 817, Houston TX 77098, United States
DESCRIPTION: I perform affiliate marketing as an individual. I use Microsoft Power Automate to post images on Pinterest.com.

KYLE MASON
POSITION: affiliate marketer NATURE: As an individual I post images of furniture and apparel on the social bookmarking web site Pinterest.com. Some of the images posted come from Amazon.com. If a consumer clicks on an image that I have posted on Pinterest and arrives on Amazon.com in order to purchase the product featured in the posted image, I am paid a commission by Amazon.com via their affiliate program. INVESTMENT RELATED: No NUMBER OF HOURS: 1 SECURITIES TRADING HOURS: 0 START DATE: 01/01/2000
ADDRESS: 15 S AVONLEA CIR, SPRING TX 77382, United States
DESCRIPTION: I perform affiliate marketing as an individual. I use Microsoft Power Automate to post images on Pinterest.com.

End of Report

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