



IAPD Report

Leah N Cook

CRD# 7387114

<u>Section Title</u>	<u>Page(s)</u>
Report Summary	1
Qualifications	2 - 3
Registration and Employment History	4 - 5



When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Leah N Cook (CRD# 7387114)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **12/15/2025**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	PURSHE KAPLAN STERLING INVESTMENTS	CRD# 35747	11/24/2025
IA	ASSET ONE WEALTH MANAGEMENT, LLC	CRD# 336350	12/15/2025

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and 1 jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
IA	CETERA INVESTMENT ADVISERS LLC	105644	Findlay, OH	04/11/2025 - 11/24/2025
B	CETERA WEALTH SERVICES, LLC	13572	Findlay, OH	04/07/2025 - 11/24/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **ASSET ONE WEALTH MANAGEMENT, LLC**
Main Address: 11201 SE 8TH STREET, SUITE 105
BELLEVUE, WA 98004
Firm ID#: 336350

Regulator	Registration	Status	Date
IA Ohio	Investment Adviser Representative	Approved	12/15/2025

Branch Office Locations

ASSET ONE WEALTH MANAGEMENT, LLC
520 South Main St, Suite A
Findlay, OH 45840

Employment 2 of 2

Firm Name: **PURSHE KAPLAN STERLING INVESTMENTS**
Main Address: 80 STATE STREET
ALBANY, NY 12207
Firm ID#: 35747

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	11/24/2025
B FINRA	Invest. Co and Variable Contracts	Approved	11/24/2025
B Ohio	Agent	Approved	11/24/2025

Branch Office Locations

80 STATE STREET
ALBANY, NY 12207

520 South Main St
Suite A
Findlay, OH 45840



Qualifications

PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 3 general industry/product exams, and 2 state securities law exams.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
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General Securities Representative Examination (S7TO)	Series 7TO	05/13/2025
Investment Company Products/Variable Contracts Representative Examination (S6TO)	Series 6TO	04/05/2025
Securities Industry Essentials Examination (SIE)	SIE	09/05/2023

State Securities Law Exams

Exam	Category	Date
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Uniform Investment Adviser Law Examination (S65)	Series 65	10/29/2024
Uniform Securities Agent State Law Examination (S63)	Series 63	09/11/2024

PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
IA	04/11/2025 - 11/24/2025	CETERA INVESTMENT ADVISERS LLC	CRD# 105644	Findlay, OH
B	04/07/2025 - 11/24/2025	CETERA WEALTH SERVICES, LLC	CRD# 13572	Findlay, OH

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	Asset One Wealth Management dba AK Wealth Management	Chief Operating Officer	Y	Findlay, OH, United States
11/2025 - Present	Purshe Kaplan Sterling Investments	Registered Representative	Y	Albany, NY, United States
04/2025 - 11/2025	CETERA INVESTMENT ADVISERS LLC	INVESTMENT ADVISOR REPRESENTATIVE	Y	SCHAUMBURG, IL, United States
11/2023 - 11/2025	CETERA WEALTH SERVICES, LLC	REGISTERED REPRESENTATIVE	Y	EL SEGUNDO, CA, United States
06/2021 - 10/2025	ALLEN-KRAMP WEALTH MANAGEMENT	DIRECTOR OF CLIENT EXPERIENCE	Y	Findlay, OH, United States
06/2021 - 11/2023	CETERA ADVISOR NETWORKS	NON-REGISTERED ASSOCIATED PERSON	Y	EL SEGUNDO, CA, United States
03/2020 - 06/2021	HART	Account Executive	N	Toledo, OH, United States
04/2018 - 01/2020	TDC Marketing	Director of Client Services and Strategic Initiatives	Y	Maumee, OH, United States
03/2012 - 04/2018	Concentrek Group	Vice President of Client Services	N	Toledo, OH, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1) Asset One Wealth Management dba AK Wealth Management; Yes; At Registered Location; RIA; COO; 11/2025; 160; 150; Para Planner, account opening, office management.



Registration & Employment History



OTHER BUSINESS ACTIVITIES

- 2) Flag City Vet Fest; No; 1100 East Main Cross St Suite 123 Findlay, OH 45840; Charitable/Non-Profit Organization; Volunteer Leader; 02/2025; 1; 0; Gather volunteers for the event, work the event and hold monthly meetings leading up to the event which takes place each June.
- 3) Hancock County Chamber of Commerce; No; 123 East Main St Findlay, OH 45840; Civic Organization; Chamber Ambassador; 04/2023; 1; 0; Volunteer position to meet other business professionals and attend ribbon cutting ceremonies.
- 4) Habitat for Humanity; No; 1200 Commerce Parkway Findlay, OH 45840; Charitable or Non-Profit Organization; Media Relations Committee Chair; 05/2025; 1; 1; Coordinate media, including press releases, pitching stories. Media advisory, news releases with new home builds, zoom meeting 1x per month.
- 5) Silent Watch; No; 969 Woodview Dr Ashland, OH 44805; Charitable or Non-Profit Organization; Hancock County Host; 09/2022; 1; 0; Volunteer Lead for the Hancock County event that raises awareness against veteran suicide each September.
- 6) Notary; No; At Registered Location; Notary; Notary Public; 01/2022; 1; 1; Notary Public.



End of Report

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