



IAPD Report

Kurt Jasin

CRD# 7409453

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Kurt Jasin (CRD# 7409453)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/22/2026**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	SANFORD C. BERNSTEIN & CO., LLC	CRD# 104474	08/12/2021
IA	ALLIANCEBERNSTEIN L.P.	CRD# 108477	08/30/2021

QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **18** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

Yes

The following types of events are disclosed about this representative:

Type	Count
Criminal	1



Qualifications

REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **18** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

Employment 1 of 2

Firm Name: **SANFORD C. BERNSTEIN & CO., LLC**
Main Address: 501 COMMERCE STREET
NASHVILLE, TN 37203
Firm ID#: 104474

	Regulator	Registration	Status	Date
	FINRA	General Securities Representative	Approved	08/12/2021
	Arizona	Agent	Approved	06/06/2022
	Arkansas	Agent	Approved	04/25/2025
	California	Agent	Approved	08/27/2021
	Colorado	Agent	Approved	07/16/2026
	District of Columbia	Agent	Approved	01/29/2024
	Florida	Agent	Approved	06/15/2023
	Idaho	Agent	Approved	07/18/2022
	Maryland	Agent	Approved	12/23/2024
	Massachusetts	Agent	Approved	09/06/2024
	Minnesota	Agent	Approved	04/01/2025
	Nevada	Agent	Approved	07/23/2024
	New York	Agent	Approved	11/13/2025



Qualifications

Regulator	Registration	Status	Date
B Ohio	Agent	Approved	06/08/2023
B Oregon	Agent	Approved	08/10/2023
B Puerto Rico	Agent	Approved	05/23/2022
B Tennessee	Agent	Approved	01/15/2026
B Texas	Agent	Approved	07/23/2026
B Washington	Agent	Approved	06/12/2023

Branch Office Locations

SANFORD C. BERNSTEIN & CO., LLC
1999 AVENUE OF THE STARS, SUITE 2150
LOS ANGELES, CA 90067

SANFORD C. BERNSTEIN & CO., LLC
Huntington Beach, CA

Employment 2 of 2

Firm Name: **ALLIANCEBERNSTEIN L.P.**
Main Address: 501 COMMERCE STREET
NASHVILLE, TN 37203
Firm ID#: 108477

Regulator	Registration	Status	Date
IA California	Investment Adviser Representative	Approved	08/30/2021
IA Florida	Investment Adviser Representative	Approved	05/25/2023
IA Texas	Investment Adviser Representative	Restricted Approval	07/23/2026

Branch Office Locations

ALLIANCEBERNSTEIN L.P.
1999 Avenue of the Stars
Suite 2150
Los Angeles, CA 90067



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	08/11/2021
B Securities Industry Essentials Examination (SIE)	SIE	07/23/2021

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	08/27/2021



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
07/2021 - Present	Alliance Bernstein	Vice President - Financial Advisor	Y	Los Angeles, CA, United States
05/2017 - 06/2021	Gartner, Inc.	Client Director	N	Manhattan Beach, CA, United States
03/2014 - 05/2017	Maxwell Health	Director of Sales, West Region	N	Hermosa Beach, CA, United States

OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Count: 3

1) BusinessName: Holly Rental Property

INVESTMENT RELATED: No

ADDRESS: 19182 Holly Lane Unit D, Huntington Beach, CA 92648

NATURE OF BUSINESS: This is an investment rental property

POSITION: Owner (50%)

RELATIONSHIP: 2006-05-01

APPROXIMATE NUMBER HOURS: 1 hours/month, 0 hours during trading hours

YOUR DUTIES: Addressing tenant questions if and when they arise.

2) BusinessName: Bermuda32026 LLC

INVESTMENT RELATED: No

ADDRESS: 16851 Saybrook Lane Huntington Beach, CA 92649

NATURE OF BUSINESS: I am a passive investor into a social club called Bermuda Clubs. The offer co-working space, golf simulators, and gyms.

POSITION: Managing Member

RELATIONSHIP: 2026-01-02

APPROXIMATE NUMBER HOURS: 1 hours/month, 0 hours during trading hours

YOUR DUTIES: I am not involved in this operating business. I created an LLC as there are 3 total investors which are friends and family (including me) that invested our capital into the Bermuda Club. The LLC will be the LP. A K1 will be issued to Bermuda32026 LLC and then K1's will be issues to the investors.

3) BusinessName: Bermuda32026 LLC investing in Bermuda Clubs

INVESTMENT RELATED: No

ADDRESS: 16851 Saybrook Lane Huntington Beach, CA 92649



Registration & Employment History



OTHER BUSINESS ACTIVITIES

NATURE OF BUSINESS: I am a passive investor into a social club called Bermuda Clubs. The offer co-working space, golf simulators, and gyms.

POSITION: limited partner

RELATIONSHIP: 2026-01-02

APPROXIMATE NUMBER HOURS: 1 hours/month, 0 hours during trading hours

YOUR DUTIES: I am not involved with the operations of this business whatsoever. However, I created an LLC as there are 3 total investors (including me) that invested our capital. One investor is my parents and the other is my best friend. The LLC will be the LP and own shares in the operating business. A K1 will be issued to Bermuda32026 LLC and then K1's will be issued to the three investors.



Disclosure Summary

Disclosure Information

What you should know about reported disclosure events:

(1) Certain thresholds must be met before an event is reported to IARD, for example:

- A law enforcement agency must file formal charges before an Investment Adviser Representative is required to report a particular criminal event.;
- A customer dispute must involve allegations that an Investment Adviser Representative engaged in activity that violates certain rules or conduct governing the industry and that the activity resulted in damages of at least \$5,000.

(2) Disclosure events in IAPD reports come from different sources:

As mentioned in the "About IAPD" section on page 1 of this report, information contained in IAPD comes from Investment Adviser Representatives, firms and regulators. When more than one of these sources reports information for the same disclosure event, all versions of the event will appear in the IAPD report. The different versions will be separated by a solid line with the reporting source labeled.

(3) There are different statuses and dispositions for disclosure events:

- A disclosure event may have a status of *pending*, *on appeal*, or *final*.
 - A "pending" disclosure event involves allegations that have not been proven or formally adjudicated.
 - A disclosure event that is "on appeal" involves allegations that have been adjudicated but are currently being appealed.
 - A "final" disclosure event has been concluded and its resolution is not subject to change.
- A final disclosure event generally has a disposition of *adjudicated*, *settled* or *otherwise resolved*.
 - An "adjudicated" matter includes a disposition by (1) a court of law in a criminal or civil matter, or (2) an administrative panel in an action brought by a regulator that is contested by the party charged with some alleged wrongdoing.
 - A "settled" matter generally represents a disposition wherein the parties involved in a dispute reach an agreement to resolve the matter. Please note that Investment Adviser Representatives and firms may choose to settle customer disputes or regulatory matters for business or other reasons.
 - A "resolved" matter usually includes a disposition wherein no payment is made to the customer or there is no finding of wrongdoing on the part of the Investment Adviser Representative. Such matters generally involve customer disputes.

(4) You may wish to contact the Investment Adviser Representatives to obtain further information regarding any of the disclosure events contained in this IAPD report.



DISCLOSURE EVENT DETAILS

When evaluating this information, please keep in mind that some items may involve pending actions or allegations that may be contested and have not been resolved or proven. The event may, in the end, be withdrawn, dismissed, resolved in favor of the Investment Adviser Representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

This report provides the information exactly as it was reported to the Investment Adviser Registration Depository. Some of the specific data fields contained in the report may be blank if the information was not provided.

The following types of events are disclosed about this representative:

Type	Count
Criminal	1

Criminal

This disclosure event involves a criminal charge against the Investment Adviser Representative that has resulted in a dismissal, plea, acquittal or conviction. The criminal matter may relate to any felony or certain misdemeanor offenses (e.g., bribery, perjury, forgery, counterfeiting, extortion, fraud, wrongful taking of property).

Disclosure 1 of 1

Reporting Source:	Individual
Formal Charges were brought in:	State Court
Name of Court:	Harbor Justice Center Newport Beach
Location of Court:	Newport Beach, CA
Docket/Case #:	01HF0215
Charge Date:	01/05/2001
Charge(s) 1 of 2	
Formal Charge(s)/Description:	Possession of controlled substance with intent to sell.
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty
Disposition of charge:	Dismissed
Charge(s) 2 of 2	
Formal Charge(s)/Description:	Possession of a controlled substance
No of Counts:	1
Felony or Misdemeanor:	Felony
Plea for each charge:	Not Guilty
Disposition of charge:	Pled guilty
Date of Amended Charge:	11/02/2005



Charge was Amended or reduced to:	Possession of a controlled substance
Amended No of Counts:	1
Amended Charge:	Misdemeanor
Amended Plea:	Not Guilty
Disposition of Amended Charge:	Dismissed
Current Status:	Final
Status Date:	11/02/2005
Disposition Date:	11/02/2005
Sentence/Penalty:	I was sentence to 90 days in jail and 3 years of formal probation. I turned myself into jail around August of 2001 and was immediately released to complete 60 days of formal community service. After 40 days I asked the court for a delay in my sentence so I could complete another quarter of college. That was granted. I completed the final 20 days sometime Feb/March 2002. No fines were assessed other than the cost of probation. Those were paid in full.
Broker Statement	I would like to note that I was 23 years at the time of this arrest. I had been living my own since age 17 when I left home. During this time I was working and paying my own way to obtain my Bachelor of Science in Engineering from the University of CA, Irvine. I graduated in May 2002. This was a difficult time in my life and one that taught me a major lesson. During my guilty plea I was advised by the judge that after successfully completing probation, I was eligible to petition the court to reduce the charges. In 2005, I successfully petitioned the court and my charges were reduced to a misdemeanor, a not guilty plea was entered, and the case was dismissed.



End of Report

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