



## IAPD Report

# Tanner Specht

CRD# 7616590

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## **IAPD Information About Representatives**

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### **What is included in a IAPD report?**

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### **Where did this information come from?**

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### **How current is this information?**

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### **Need help interpreting this report?**

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### **What if I want to check the background of an Individual Broker or Brokerage Firm?**

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### **Are there other resources I can use to check the background of investment professionals?**

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### Tanner Specht (CRD# 7616590)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/06/2026**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	AMERITAS INVESTMENT COMPANY, LLC	CRD# 14869	04/24/2025
<b>IA</b>	AMERITAS ADVISORY SERVICES, LLC	CRD# 317245	04/24/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **22** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	THRIVENT INVESTMENT MANAGEMENT INC.	18387	MINNEAPOLIS, MN	12/01/2023 - 02/26/2025
<b>IA</b>	THRIVENT INVESTMENT MANAGEMENT INC.	18387	Beatrice, NE	12/01/2023 - 02/26/2025
<b>IA</b>	LPL FINANCIAL LLC	6413	FAIRBURY, NE	08/08/2023 - 11/14/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **22** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

### Employment 1 of 2

Firm Name: **AMERITAS INVESTMENT COMPANY, LLC**

Main Address: 5900 "O" STREET  
LINCOLN, NE 68510-2234

Firm ID#: 14869

	Regulator	Registration	Status	Date
<b>B</b>	FINRA	General Securities Representative	Approved	04/24/2025
<b>B</b>	Arizona	Agent	Approved	01/06/2026
<b>B</b>	California	Agent	Approved	01/06/2026
<b>B</b>	Colorado	Agent	Approved	01/06/2026
<b>B</b>	Florida	Agent	Approved	01/06/2026
<b>B</b>	Hawaii	Agent	Approved	01/06/2026
<b>B</b>	Idaho	Agent	Approved	01/06/2026
<b>B</b>	Illinois	Agent	Approved	01/06/2026
<b>B</b>	Indiana	Agent	Approved	01/06/2026
<b>B</b>	Iowa	Agent	Approved	01/06/2026
<b>B</b>	Kansas	Agent	Approved	01/06/2026
<b>B</b>	Minnesota	Agent	Approved	01/06/2026
<b>B</b>	Missouri	Agent	Approved	01/06/2026



## Qualifications

	Regulator	Registration	Status	Date
B	Montana	Agent	Approved	01/06/2026
B	Nebraska	Agent	Approved	04/24/2025
B	Nevada	Agent	Approved	01/06/2026
B	New York	Agent	Approved	01/06/2026
B	North Carolina	Agent	Approved	01/06/2026
B	Pennsylvania	Agent	Approved	01/06/2026
B	South Dakota	Agent	Approved	01/06/2026
B	Texas	Agent	Approved	01/06/2026
B	Wisconsin	Agent	Approved	01/06/2026
B	Wyoming	Agent	Approved	01/06/2026

## Branch Office Locations

### AMERITAS ADVISORY SERVICES

1801 PINE LAKE RD  
Lincoln, NE 68512

## Employment 2 of 2

Firm Name: **AMERITAS ADVISORY SERVICES, LLC**  
Main Address: 5900 O STREET  
LINCOLN, NE 68510  
Firm ID#: 317245

	Regulator	Registration	Status	Date
IA	Nebraska	Investment Adviser Representative	Approved	04/24/2025
IA	Texas	Investment Adviser Representative	Approved	01/06/2026

## Branch Office Locations



## Qualifications

**AMERITAS ADVISORY SERVICES, LLC**  
1801 PINE LAKE RD  
LINCOLN, NE 68512



## Qualifications



### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.**

#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams

Exam	Category	Date
<b>B</b> General Securities Representative Examination (S7TO)	Series 7TO	05/16/2023
<b>B</b> Securities Industry Essentials Examination (SIE)	SIE	03/30/2023

#### State Securities Law Exams

Exam	Category	Date
<b>IA</b> <b>B</b> Uniform Combined State Law Examination (S66)	Series 66	07/19/2023



### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	12/01/2023 - 02/26/2025	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	MINNEAPOLIS, MN
IA	12/01/2023 - 02/26/2025	THRIVENT INVESTMENT MANAGEMENT INC.	CRD# 18387	Beatrice, NE
IA	08/08/2023 - 11/14/2023	LPL FINANCIAL LLC	CRD# 6413	FAIRBURY, NE
B	05/16/2023 - 11/14/2023	LPL FINANCIAL LLC	CRD# 6413	FAIRBURY, NE

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2025 - Present	AMERITAS ADVISORY SERVICES, LLC	REGISTERED IA ADMIN REP	Y	LINCOLN, NE, United States
04/2025 - Present	AMERITAS INVESTMENT COMPANY, LLC	REGISITERED ADMIN REP	Y	LINCOLN, NE, United States
03/2025 - Present	Union Bank and Trust	Investment Relationship Coordinator	Y	Lincoln, NE, United States
11/2023 - 02/2025	Thrivent Financial	Corporate Employee Licensed	Y	Appleton, WI, United States
11/2023 - 02/2025	Thrivent Investment Management Inc.	Registered Representative	Y	Minneapolis, MN, United States
09/2022 - 10/2023	Ave Wealth Management - Holliday Planning Llc, Lpl Broker Dealer	Advisor Assistant While Becoming Licensed.	Y	Fairbury, NE, United States
08/2022 - 10/2023	Lpl Financial Llc	Registered Representative	Y	FAIRBURY, NE, United States
05/2019 - 09/2022	State Of Nebraska - Lancaster County Juvenile Probation	Assistant Probation Officer / Probation Officer	N	Lincoln, NE, United States
07/2018 - 05/2019	Cedars Youth Services	Juvenile Drug Court Tracker	N	Lincoln, NE, United States
01/2016 - 05/2018	University Of Nebraska - Lincoln	Full-Time Student	N	Lincoln, NE, United States





## Registration & Employment History



### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
10/2012 - 05/2018	Endicott Clay Products	General Laborer - Part Time Summer And Weekend Work	N	Endicott, NE, United States



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Union Bank and Trust; SAME AS BRANCH; INV REL; CLIENT SERVICES; EMPLOYEE; START DATE=03/2025; HRS/MO=160; TRADING HRS/MO=130; CLIENT SERVICES



## End of Report

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