



## IAPD Report

### Pierce A Giancaterino

CRD# 7622887

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page  
<http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### **Pierce A Giancaterino (CRD# 7622887)**

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **01/09/2026**.

### **CURRENT EMPLOYERS**

	Firm	CRD#	Registered Since
<b>B</b>	LPL FINANCIAL LLC	CRD# 6413	01/08/2026
<b>IA</b>	GOOD LIFE ADVISORS, LLC	CRD# 171898	01/09/2026

### **QUALIFICATIONS**

This representative is currently registered in **1** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration. Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### **REGISTRATION HISTORY**

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
<b>B</b>	LPL FINANCIAL LLC	6413	SCRANTON, PA	07/25/2025 - 11/20/2025
<b>IA</b>	LPL FINANCIAL LLC	6413	SCRANTON, PA	07/25/2025 - 11/20/2025
<b>IA</b>	AMERIPRISE FINANCIAL SERVICES, LLC	6363	ALLENTOWN, PA	10/09/2023 - 02/24/2025

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### **DISCLOSURE INFORMATION**

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

**No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **GOOD LIFE ADVISORS, LLC**

Main Address: 2395 LANCASTER PIKE  
READING, PA 19607

Firm ID#: 171898

Regulator	Registration	Status	Date
IA Pennsylvania	Investment Adviser Representative	Approved	01/09/2026

#### Branch Office Locations

**GOOD LIFE ADVISORS, LLC**  
2395 LANCASTER PIKE  
READING, PA 19607

#### Employment 2 of 2

Firm Name: **LPL FINANCIAL LLC**

Main Address: 1055 LPL WAY  
FORT MILL, SC 29715

Firm ID#: 6413

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	01/08/2026
B Pennsylvania	Agent	Approved	01/08/2026

#### Branch Office Locations

**LPL FINANCIAL LLC**  
2395 LANCASTER PIKE  
READING, PA 19607



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**

#### Principal/Supervisory Exams

Exam	Category	Date
No information reported.		

#### General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	07/24/2023
B Securities Industry Essentials Examination (SIE)	SIE	01/21/2023

#### State Securities Law Exams

Exam	Category	Date
B Uniform Securities Agent State Law Examination (S63)	Series 63	07/05/2025
IA Uniform Investment Adviser Law Examination (S65)	Series 65	10/05/2023

### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
	07/25/2025 - 11/20/2025	LPL FINANCIAL LLC	CRD# 6413	SCRANTON, PA
	07/25/2025 - 11/20/2025	LPL FINANCIAL LLC	CRD# 6413	SCRANTON, PA
	10/09/2023 - 02/24/2025	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	ALLENTOWN, PA
	07/24/2023 - 02/24/2025	AMERIPRISE FINANCIAL SERVICES, LLC	CRD# 6363	ALLENTOWN, PA

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
11/2025 - Present	LPL Financial	Registered Representative	Y	Reading, PA, United States
07/2025 - 11/2025	LPL Financial	Registered Representative	Y	Scranton, PA, United States
02/2025 - 07/2025	Self-Employed	Severance and Unemployed	N	Northampton, PA, United States
08/2022 - 02/2025	AMERIPRISE FINANCIAL SERVICES, LLC	Registered Rep and Investment Analyst	Y	Allentown, PA, United States
11/2021 - 08/2022	Self-Employed	Self-Employed Market Trader	Y	Whitehall, PA, United States
08/2015 - 05/2022	University of Pittsburgh & St. Joseph's University	Student, undergraduate and graduate.	N	Pittsburgh, PA, United States
10/2019 - 10/2021	Brinker Capital Inc.	Client Services Associate	Y	Berwyn, PA, United States
05/2018 - 05/2019	Amazon	Campus Associate	N	Pittsburgh, PA, United States
05/2017 - 08/2017	B. Braun Medical Inc.	Quality Assurance Intern	N	Allentown, PA, United States
01/2017 - 04/2017	PricewaterhouseCoopers	Wealth Management Tax Services Intern	N	Pittsburgh, PA, United States
06/2015 - 08/2016	Bed, Bath & Beyond	Sales Associate	N	Whitehall, PA, United States
06/2015 - 08/2016	Lehigh Valley Iron Pigs	Usher	N	Allentown, PA, United States



## Registration & Employment History

### EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
01/2014 - 08/2016	Lehigh Valley Laser Tag	Referee	N	Allentown, PA, United States

### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

1.12/23/2025-The Good Life Companies-DBA for LPL Business (entity for LPL business)-Inv Related-160 Total Hours Per Month-160 Hours During Trading- At reported business location (s). location (s).

2.12/23/2025- Good Life Financial Advisors- DBA for LPL Business (entity for LPL business)-Inv Related-16- Total Hours Per Month-160 Hours During Trading- At reported business location (s).



## **End of Report**

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