



IAPD Report

Robert Perez

CRD# 7669278

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



Report Summary

Robert Perez (CRD# 7669278)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **07/30/2024**.

CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
B	J.P. MORGAN SECURITIES LLC	CRD# 79	05/06/2024
IA	J.P. MORGAN SECURITIES LLC	CRD# 79	05/06/2024

QUALIFICATIONS

This representative is currently registered in **26** SRO(s) and **1** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

Note: Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

	FIRM	CRD#	LOCATION	REGISTRATION DATES
B	MORGAN STANLEY	149777	Long Beach, CA	09/06/2023 - 09/08/2023

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative?

No



Qualifications



REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with 1 jurisdiction(s) and 26 SRO(s) through his or her employer(s).

Employment 1 of 1

Firm Name: **J.P. MORGAN SECURITIES LLC**

Main Address: 383 MADISON AVE
NEW YORK, NY 10179

Firm ID#: 79

	Regulator	Registration	Status	Date
B	BOX Exchange LLC	General Securities Representative	Approved	05/06/2024
B	Cboe BYX Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	Cboe BZX Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	Cboe C2 Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	Cboe EDGA Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	Cboe EDGX Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	Cboe Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	FINRA	General Securities Representative	Approved	05/06/2024
B	Investors' Exchange LLC	General Securities Representative	Approved	05/06/2024
B	Long-Term Stock Exchange, Inc.	General Securities Representative	Approved	05/06/2024
B	MEMX LLC	General Securities Representative	Approved	05/06/2024
B	MIAX Emerald, LLC	General Securities Representative	Approved	05/06/2024
B	MIAX PEARL, LLC	General Securities Representative	Approved	05/06/2024



Qualifications

	Regulator	Registration	Status	Date
B	MIAX Sapphire	General Securities Representative	Approved	09/23/2024
B	Miami International Securities Exchange, LLC	General Securities Representative	Approved	05/06/2024
B	NYSE American LLC	General Securities Representative	Approved	05/06/2024
B	NYSE Arca, Inc.	General Securities Representative	Approved	05/06/2024
B	NYSE National, Inc.	General Securities Representative	Approved	05/06/2024
B	NYSE Texas, Inc.	General Securities Representative	Approved	05/06/2024
B	Nasdaq BX, Inc.	General Securities Representative	Approved	05/06/2024
B	Nasdaq GEMX, LLC	General Securities Representative	Approved	05/06/2024
B	Nasdaq ISE, LLC	General Securities Representative	Approved	05/06/2024
B	Nasdaq MRX, LLC	General Securities Representative	Approved	05/06/2024
B	Nasdaq PHLX LLC	General Securities Representative	Approved	05/06/2024
B	Nasdaq Stock Market	General Securities Representative	Approved	05/06/2024
B	New York Stock Exchange	General Securities Representative	Approved	05/06/2024
B	California	Agent	Approved	05/06/2024
IA	California	Investment Adviser Representative	Approved	05/06/2024

Branch Office Locations

J.P. MORGAN SECURITIES LLC

2654 Main St
Santa Monica, CA 90405



Qualifications



PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 1 state securities law exam.

Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

General Industry/Product Exams

Exam	Category	Date
B General Securities Representative Examination (S7TO)	Series 7TO	09/06/2023
B Securities Industry Essentials Examination (SIE)	SIE	07/12/2023

State Securities Law Exams

Exam	Category	Date
IA B Uniform Combined State Law Examination (S66)	Series 66	12/21/2023



PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.



Registration & Employment History

PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

	Registration Dates	Firm Name	ID#	Branch Location
B	09/06/2023 - 09/08/2023	MORGAN STANLEY	CRD# 149777	Long Beach, CA

EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2024 - Present	J.P. Morgan Securities LLC	Licensed Banker	Y	Santa Monica, CA, United States
04/2024 - Present	JPMorgan Chase Bank, N.A.	Relationship Banker	Y	Santa Monica, CA, United States
11/2019 - Present	Colton Rental Property	Owner/Partner	N	Colton, CA, United States
04/2024 - 06/2024	Chick-fil-a	Employee/Contractor	N	Los Angeles, CA, United States
09/2023 - 03/2024	Unemployed	Unemployed	N	Los Angeles, CA, United States
01/2023 - 08/2023	Morgan Stanley	Intern (FARS)	Y	Long Beach, CA, United States
05/2022 - 12/2022	Unemployed	Unemployed	N	Los Angeles, CA, United States
07/2019 - 04/2022	Nichols Restaurant Inc	Associate	N	Marina Del Rey, CA, United States
05/2018 - 03/2020	EST 1977 SMPS Co	Associate	N	Santa Monica, CA, United States
01/2018 - 05/2018	AppleBee's	Cook/Host	N	Olathe, KS, United States
11/2017 - 01/2018	PSEB (PacSun Eddie Bauer)/Pacific Sunwear of California, Inc.	Seasonal Sales	N	Overland Park, KS, United States
11/2017 - 12/2017	Cheddar's Casual Caf	Host	N	Overland Park, KS, United States
11/2017 - 12/2017	Darden Restaurants, Inc.	Host Tip Share	N	Overland Park, KS, United States
08/2017 - 10/2017	Unemployed	Unemployed	N	Olathe, KS, United States
04/2016 - 07/2017	EST 1977 SMPS Co	Associate	N	Santa Monica, CA, United States



Registration & Employment History



EMPLOYMENT HISTORY

Employment Dates	Employer Name	Position	Investment Related	Employer Location
04/2014 - 03/2016	Unemployed	Unemployed	N	Los Angeles, CA, United States



OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Entity Name: Colton Rental Property

Investment related: No

"Address: 788 N 9th st Colton, CA 92324"

Nature of the other business: Real Estate

Position/Title/Relationship: Owner/Partner

Start Date: 11/01/2019

Approximate # of hours a week: 0-10

Approximate # of hours during securities trading hours: 0

Briefly describe your duties: This is a rental property that I am the sole owner of. My responsibilities include sending monthly invoices as well as maintaining the property. There is no connection to JPMC and my role is not similar to my role at JPMC.



End of Report

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