



## IAPD Report

### David Eric Dillman

CRD# 7705460

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When communicating online or investing with any professional, make sure you know who you're dealing with. [Imposters](#) might link to sites like BrokerCheck from [phishing](#) or similar scam websites, or through [social media](#), trying to steal your personal information or your money.

Please contact FINRA with any concerns.



## IAPD Information About Representatives

IAPD offers information on all current-and many former representatives. Investors are strongly encouraged to use IAPD to check the background of representatives before deciding to conduct, or continue to conduct, business with them.

### What is included in a IAPD report?

IAPD reports for individual representatives include information such as employment history, professional qualifications, disciplinary actions, criminal convictions, civil judgments and arbitration awards.

It is important to note that the information contained in an IAPD report may include pending actions or allegations that may be contested, unresolved or unproven. In the end, these actions or allegations may be resolved in favor of the representative, or concluded through a negotiated settlement with no admission or finding of wrongdoing.

### Where did this information come from?

The information contained in IAPD comes from the Investment Adviser Registration Depository (IARD) and FINRA's Central Registration Depository, or CRD, (see more on CRD below) and is a combination of:

- information the states require representatives and firms to submit as part of the registration and licensing process, and
- information that state regulators report regarding disciplinary actions or allegations against representatives.

### How current is this information?

Generally, representatives are required to update their professional and disciplinary information in IARD within 30 days.

### Need help interpreting this report?

For help understanding how to read this report, please consult NASAA's IAPD Tips page <http://www.nasaa.org/IAPD/IARReports.cfm>

### What if I want to check the background of an Individual Broker or Brokerage Firm?

To check the background of an Individual Broker or Brokerage firm, you can search for the firm or individual in IAPD. If your search is successful, click on the link provided to view the available licensing and registration information in FINRA's BrokerCheck website.

### Are there other resources I can use to check the background of investment professionals?

It is recommended that you learn as much as possible about an individual representative or Investment Adviser firm before deciding to work with them. Your state securities regulator can help you research individuals and certain firms doing business in your state. The contact information for state securities regulators can be found on the website of the North American Securities Administrators Association <http://www.nasaa.org>



## Report Summary

### David Eric Dillman (CRD# 7705460)

The report summary provides an overview of the representative's professional background and conduct. The information contained in this report has been provided by the representative, investment adviser and/or securities firms, and/or securities regulators as part of the states' investment adviser registration and licensing process. The information contained in this report was last updated by the representative, a previous employing firm, or a securities regulator on **09/30/2025**.

### CURRENT EMPLOYERS

	Firm	CRD#	Registered Since
<b>B</b>	EMPOWER FINANCIAL SERVICES, INC.	CRD# 13109	01/31/2025
<b>IA</b>	EMPOWER ADVISORY GROUP, LLC	CRD# 112058	09/30/2025

### QUALIFICATIONS

This representative is currently registered in **1** SRO(s) and **46** jurisdiction(s).

Is this representative currently Inactive or Suspended with any regulator? **No**

**Note:** Not all jurisdictions require IAR registration or may have an exemption from registration.

Additional information including this individual's qualification examinations and professional designations is available in the Detailed Report.

### REGISTRATION HISTORY

This representative was previously registered with the following firm(s):

FIRM	CRD#	LOCATION	REGISTRATION DATES
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No information reported.

For additional registration and employment history details as reported by the individual, refer to the Registration and Employment History section of the Detailed Report.

### DISCLOSURE INFORMATION

Disclosure events include certain criminal charges and convictions, formal investigations and disciplinary actions initiated by regulators, customer disputes and arbitrations, and financial disclosures such as bankruptcies and unpaid judgments or liens.

Are there events disclosed about this representative? **No**



## Qualifications

### REGISTRATIONS

This section provides the SRO, states and U.S. territories in which the representative is currently registered and licensed, the category of each registration, and the date on which the registration becomes effective. This section also provides, for each firm with which the representative is currently employed, the address of each location where the representative works. This individual is currently registered with **46** jurisdiction(s) and 1 SRO(s) through his or her employer(s).

#### Employment 1 of 2

Firm Name: **EMPOWER ADVISORY GROUP, LLC**  
Main Address: 8515 EAST ORCHARD RD 4T2  
GREENWOOD VILLAGE, CO 80111  
Firm ID#: 112058

Regulator	Registration	Status	Date
IA Colorado	Investment Adviser Representative	Approved	09/30/2025

#### Branch Office Locations

**EMPOWER ADVISORY GROUP, LLC**  
DURANGO, CO

#### Employment 2 of 2

Firm Name: **EMPOWER FINANCIAL SERVICES, INC.**  
Main Address: 8515 E ORCHARD ROAD  
GREENWOOD VILLAGE, CO 80111  
Firm ID#: 13109

Regulator	Registration	Status	Date
B FINRA	General Securities Representative	Approved	01/31/2025
B Alabama	Agent	Approved	02/27/2025
B Alaska	Agent	Approved	02/27/2025
B Arizona	Agent	Approved	02/27/2025
B Arkansas	Agent	Approved	02/27/2025
B California	Agent	Approved	02/27/2025
B Colorado	Agent	Approved	02/27/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Connecticut	Agent	Approved	02/27/2025
<b>B</b> Delaware	Agent	Approved	02/27/2025
<b>B</b> Georgia	Agent	Approved	02/27/2025
<b>B</b> Hawaii	Agent	Approved	02/27/2025
<b>B</b> Idaho	Agent	Approved	02/27/2025
<b>B</b> Illinois	Agent	Approved	02/27/2025
<b>B</b> Indiana	Agent	Approved	02/27/2025
<b>B</b> Iowa	Agent	Approved	02/27/2025
<b>B</b> Kansas	Agent	Approved	02/27/2025
<b>B</b> Kentucky	Agent	Approved	02/27/2025
<b>B</b> Maine	Agent	Approved	02/27/2025
<b>B</b> Massachusetts	Agent	Approved	02/27/2025
<b>B</b> Michigan	Agent	Approved	02/27/2025
<b>B</b> Minnesota	Agent	Approved	02/27/2025
<b>B</b> Mississippi	Agent	Approved	02/27/2025
<b>B</b> Missouri	Agent	Approved	02/27/2025
<b>B</b> Montana	Agent	Approved	02/27/2025
<b>B</b> Nebraska	Agent	Approved	02/27/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> Nevada	Agent	Approved	02/27/2025
<b>B</b> New Hampshire	Agent	Approved	02/27/2025
<b>B</b> New Jersey	Agent	Approved	02/27/2025
<b>B</b> New Mexico	Agent	Approved	02/27/2025
<b>B</b> New York	Agent	Approved	02/27/2025
<b>B</b> North Carolina	Agent	Approved	02/27/2025
<b>B</b> North Dakota	Agent	Approved	02/27/2025
<b>B</b> Oklahoma	Agent	Approved	02/27/2025
<b>B</b> Oregon	Agent	Approved	02/27/2025
<b>B</b> Pennsylvania	Agent	Approved	02/27/2025
<b>B</b> Rhode Island	Agent	Approved	02/27/2025
<b>B</b> South Carolina	Agent	Approved	02/27/2025
<b>B</b> South Dakota	Agent	Approved	02/27/2025
<b>B</b> Tennessee	Agent	Approved	02/27/2025
<b>B</b> Texas	Agent	Approved	02/27/2025
<b>B</b> Utah	Agent	Approved	02/27/2025
<b>B</b> Vermont	Agent	Approved	02/27/2025
<b>B</b> Virginia	Agent	Approved	02/27/2025
<b>B</b> Washington	Agent	Approved	02/27/2025



### Qualifications

Regulator	Registration	Status	Date
<b>B</b> West Virginia	Agent	Approved	02/27/2025
<b>B</b> Wisconsin	Agent	Approved	02/27/2025
<b>B</b> Wyoming	Agent	Approved	02/27/2025

### Branch Office Locations

**BENEFITSCORP EQUITIES, INC.**  
DURANGO, CO



## Qualifications

### PASSED INDUSTRY EXAMS

This section includes all industry exams that the representative has passed. Under limited circumstances, a representative may attain registration after receiving an exam waiver based on a combination of exams the representative has passed and qualifying work experience. Likewise a new exam requirement may be grandfathered based on a representative's specific qualifying work experience. Exam waivers and grandfathering are not included below.

**This individual has passed 0 principal/supervisory exams, 2 general industry/product exams, and 2 state securities law exams.**


#### Principal/Supervisory Exams

Exam	Category	Date
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No information reported.

#### General Industry/Product Exams



Exam	Category	Date
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 General Securities Representative Examination (S7TO)	Series 7TO	01/31/2025
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 Securities Industry Essentials Examination (SIE)	SIE	10/26/2024
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#### State Securities Law Exams

Exam	Category	Date
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  Uniform Combined State Law Examination (S66)	Series 66	09/27/2025
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 Uniform Securities Agent State Law Examination (S63)	Series 63	02/07/2025
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### PROFESSIONAL DESIGNATIONS

This section details that the representative has reported **0** professional designation(s).

No information reported.

Only professional designations listed in Question 8 of the Form U4 will appear in this section if the appropriate box is checked and verified by the issuing organization at the time of the filing. Learn more about eligible designations at [IARD](#) and [NASAA](#).



## Registration & Employment History

### PREVIOUSLY REGISTERED WITH THE FOLLOWING FIRMS

This representative held registrations with the following firms:

No information reported.

### EMPLOYMENT HISTORY

Below is the representative's employment history for up to the last 10 years.

Employment Dates	Employer Name	Position	Investment Related	Employer Location
09/2025 - Present	EMPOWER ADVISORY GROUP, LLC	REGISTERED INVESTMENT ADVISER REP	Y	GREENWOOD VILLAGE, CO, United States
01/2025 - Present	EMPOWER FINANCIAL SERVICES, INC	REGISTERED REP	Y	GREENWOOD VILLAGE, CO, United States
03/2023 - 01/2025	Empower Financial Services, Inc	NRF	Y	Greenwood Village, CO, United States
06/2022 - 01/2023	SW Colorado Education Collaborative	Director of Career Pathways and Operations	N	Durango, CO, United States
01/2022 - 06/2022	Bayfield School District	Temporary Business, Marketing and Finance Instructor, grades 9-12, FBLA Advisor	N	Bayfield, CO, United States
08/2021 - 12/2021	Unemployed	intentionally unemployed to help support family/school aged children	N	Durango, CO, United States
04/2021 - 07/2021	Sorrel Sky Art Gallery	Retail Art Advisor	N	Durango, CO, United States
06/2018 - 03/2021	Unemployed	Intentionally unemployed after retirement from teaching career to support family and school-aged children.	N	Durango, CO, United States
08/2013 - 08/2018	Durango 9-R School District	Business and Marketing teacher to students grades 9-12, DECA advisor. Retired from career in teaching in August, 2018	N	Durango, CO, United States



## Registration & Employment History



### OTHER BUSINESS ACTIVITIES

This section includes information, if any, as provided by the representative regarding other business activities the representative is currently engaged in either as a proprietor, partner, officer, director, employee, trustee, agent, or otherwise. This section does not include non-investment related activity that is exclusively charitable, civic, religious, or fraternal and is recognized as tax exempt.

Name: David Dillman

- Non investment related
- 3015 E 7th Ave, Durango, CO 81301
- I keep athletic e-statistics for HS Teams, primarily basketball
- sole proprietor
- owner
- 11-1-2024
- approximate hours: 10-15 hours per month in season
- 0 hours during security trading hours, games are evenings and weekends
- I track shots, assists, rebounds, fouls, etc and provide the coaches with in-game analytics.

-Name: Colorado School and Public Employees Retirement Association (CSPERA)

- Yes, this business is investment related
- 1085 Peoria St, Aurora, CO 80011
- CSPERA's purpose is to promote and protect the economic well-being and quality of life for both active employed and those already retired PERA participants.
- Volunteer
- local unit (San Juan) representative.
- June 2019
- less than 1 hour per month on average of time devoted to CSPERA
- 0 hours during securities trading hours
- I help keep local members informed of issues related to Colorado PERA. This includes responding to and sending occasional emails to local members.



## End of Report

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